



# Adhesion of filamentous fungi isolated from drinking water under different process conditions

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## ABSTRACT

Filamentous fungi (ff) are consistently recognized as drinking water (DW) inhabitants, typically harboured in biofilms. Their sessile behaviour is still poorly understood. This study aimed the evaluation of the influence of several abiotic factors (substratum, hydrodynamic conditions and nutrient availability) on biofilm formation by *Penicillium brevicompactum* and *P. expansum* isolated from DW. Fungal adhesion was quantified on high density polyethylene (HDPE) and polyvinyl chloride (PVC) surfaces using synthetic tap water (STW) and R2A broth, under stagnant or agitated (25 and 150 rpm) conditions. Fungal spore numbers were assessed after staining with Calcofluor White MR2 and epifluorescence microscopy. The surface charge and physicochemical properties of spores were characterized for a mechanistic understanding on the adhesion process. The adhesion kinetics of spores was represented accurately by the Logistic model, in which adhesion increased with time until a maximum level attained before spore germination (8 h after incubation). In general, *P. brevicompactum* demonstrated to adhere in a higher extent than *P. expansum* ( $12 \times 10^4$  spores/cm<sup>2</sup> vs  $1.7 \times 10^4$  spores/cm<sup>2</sup>). Moreover, fungal adhesion was potentiated under stagnation and using R2A broth. HDPE and PVC allowed spore adhesion at similar extents. Adhesion predictions based on the extended Derjaguin, Landau, Verwey and Overbeek (XDLVO) theory corroborated the experimental results, highlighting the role of physicochemical surface properties on the adhesion of spores. These results allowed to refine a model for ff biofilm formation. The overall results help to understand key aspects determining the presence of *P. brevicompactum* and *P. expansum* biofilms in DW, where stagnant conditions and the presence of nutrients should be avoided to prevent ff biofilm formation.

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## 1. Introduction

Drinking water (DW) is a basic need for human survival and societal development. DW should be chemically and microbiologically safe, adequate in quantity and acceptable in terms of taste, odour and appearance (Simões and Simões, 2013). The provision of safe DW is still a challenge for DW companies. In fact, even after physical treatments and chemical disinfection, microorganisms can enter the DW distribution system (DWDS), survive under such oligotrophic conditions and colonize the pipe walls, forming biofilms (WHO, 2004). The presence of DW biofilms may induce the degradation of organoleptic properties, promote corrosion, blockage of water pipes, potential accumulation and dispersion of

pathogens, health complications associated with mycotoxins, and cause disinfectant demand (Paterson et al., 1997; Percival and Walker, 1999; Siqueira et al., 2011).

Fungi appeared about a billion years ago and are an abundant and diverse group of eukaryotic organisms (Viegas et al., 2016). In general, there are three groups of fungi: filamentous fungi (ff) – also called moulds, yeasts, and mushrooms (Hageskal et al., 2009). The incidence of outbreaks of fungal infections is increasing with mortality rates often higher than 50% (Brown et al., 2012). In 2015, 30 cases of pulmonary infections occurred in the Dominican Republic due to exposure to soil contaminated with spores of *Histoplasma capsulatum* in tunnels used to access a hydroelectric dam (Armstrong et al., 2017). During 2008–2013, 21 cases of *Bipolaris* surgical site infections in cardiothoracic surgery patients were described in Texas, Arkansas and Florida, in which 16 case-patients died (76%) (Vallabhaneni et al., 2016). DW-related outbreaks have not been described yet, but the occurrence of ff and their potential

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for waterborne diseases has been reported (Babič et al., 2017; Skaar and Hageskal, 2015). Thus, ff are receiving focus as DW contaminants and their presence in DW is increasingly being recognized as an emerging public health issue (Fisher et al., 2018).

Fungal survival and proliferation in DW are thought to be related to their ability to form biofilms (Simões et al., 2015). However, information on the sessile behaviour of ff is still scarce. Harding et al. (2009) proposed a pioneer model for ff biofilm formation based on models for bacteria and yeast - comprising different stages: propagule adsorption, active attachment, microcolony formation I, microcolony formation II (or initial maturation), maturation (or reproductive development), and dispersal/planktonic phase. The current state of the art proposes that the setup for ff biofilm starts with spore adhesion (Doggett, 2000; Simões et al., 2015), followed by their germination, which will only begin under suitable environmental conditions (Bosh et al., 1995). Afterwards, hyphal differentiation and substratum invasion produce a complex hyphae net (mycelium), forming a mature biofilm. Similarly to bacterial biofilms, production of polymeric extracellular matrix and quorum-sensing substances exist in ff biofilms (Blankenship and Mitchell, 2006). Finally, ff detachment occurs through the release of several forms (spores, sporangia, hyphal fragments) in response to specific environmental cues, biological stimuli or stress (Harding et al., 2009). In water systems, hyphal fragments can disperse by water flow, which contribute to establish a new biofilm (Siqueira and Lima, 2013).

The application of general principles of microbial adhesion to the spore adhesion process proposes that spore-surface interaction starts with instantaneous and reversible physicochemical phenomena, resulting from Liftshitz-van der Waals (LW) attraction forces, electrostatic double layer (EL) forces and Lewis acid base (AB) interactions, in addition to Brownian motion, gravitational forces and hydrophobic interactions (Jones, 1994; Pavithra and Doble, 2008). The succeeding step is characterized by irreversible molecular reactions and cell interactions between related entities (spore-spore and spore-substratum) (Simões et al., 2010). Moreover, in natural environments, adhesion may be influenced by abiotic and biotic factors, namely microbial density, metabolic state, physicochemical characteristics, production of extracellular polymeric substances (EPS), substratum properties (chemical composition, surface charge, hydrophobicity, roughness and texture) and by environmental factors (temperature, pH, time of exposure, fluid flow and concentration of disinfectant) (Simões et al., 2010).

The extended Derjaguin, Landau, Verwey and Overbeek (XDLVO) theory has been applied in different fields, including on the understanding and prediction of microbial adhesion (Absolom et al., 1983; Bayouhd et al., 2009; Liu et al., 2007). This approach describes the total adhesion energy between the biological entity ( $S$ ) and the substratum ( $m$ ) in water ( $w$ ) ( $G_{Swm}$ ) as a function of the separation distance between the interacting surfaces ( $H$ ) (Bayouhd et al., 2009):

$$G_{Swm}(H) = G_{Swm}^{EL}(H) + G_{Swm}^{LW}(H) + G_{Swm}^{AB}(H) \quad (1)$$

From a thermodynamic point of view, adhesion occurs when  $G_{Swm}$  is negative, and repulsion occurs when  $G_{Swm}$  is positive (Equation (1)). However, this approach has limitations in predicting bacterial adhesion, as it only considers physicochemical forces and disregards biological factors (Katsikogianni and Missirlis, 2004). On the other hand, fungal spores are characterized for their dormant state and an overall homogenous surface structure, with potential colloidal-like behaviour (Amiri et al., 2005; Zhang and Zhang, 2016).

The purpose of the present work was to understand the

influence of selected abiotic factors on fungal adhesion by spores of *Penicillium brevicompactum* and *P. expansum*, two ff isolated from DW (Gonçalves et al., 2006a). *Penicillium* spp. are the most frequent ff genera found in DW (Gonçalves et al., 2006a; Hageskal et al., 2006; Pereira et al., 2009). Adhesion was assessed by *in vitro* tests using microtiter plates and by contact angles measurement for further prediction of adhesion by the XDLVO theory. High density polyethylene (HDPE) and polyvinyl chloride (PVC) coupons were selected as substrata typically found in DWDS (Simões, 2013). Distinct agitation speeds (0, 25 and 150 rpm) and nutrient availability (R2A broth and synthetic tap water – STW) were used for evaluation of spore adhesion.

## 2. Materials and methods

### 2.1. Fungi and culture conditions

*P. brevicompactum* MUM 05.17 and *P. expansum* MUM 00.02 were selected for this study due their high occurrence in the tap water of the north of Portugal (Gonçalves et al., 2006a). These ff were supplied by the Micoteca da Universidade do Minho fungal culture collection (MUM, Braga, Portugal).

### 2.2. Stock solution of fungal spores

Stock solutions of spores were prepared according to Simões et al. (2015). Spores of *P. brevicompactum* and *P. expansum* were harvested from 7-day old pure cultures in malt extract agar (MEA), at 25 °C by flooding the surface of the agar plates with 2 mL of TWS solution (0.85% NaCl plus 0.05% Tween 80) and rocking gently. The suspension was homogenized by vortexing and used for large-scale production of spores. The final suspension of spores was homogenized and quantified using a Neubauer count chamber. Several aliquots of suspensions of spores with 10% of glycerol (HiMedia, India) were cryopreserved at –80 °C. Stock suspensions were resuspended in a volume of R2A broth or STW [media composition previously described by Gomes et al. (2018)] necessary to achieve a density of 10<sup>5</sup> spores/mL for adhesion assays.

### 2.3. Surface materials

HDPE and PVC were used as substratum for adhesion assays as these represent pipe materials currently used in DWDS (Simões, 2013). For assays in 24-wells microtiter plates, coupons (1 cm × 1 cm) were washed in a commercial detergent and rinsed in sterile distilled water (Simões et al., 2007a). In order to remove any remaining detergent, they were immersed in ethanol at 70% (v/v) for 10 s, following by rinse three times with sterile distilled water. After air dry at room temperature, both sides of coupons were exposed to ultraviolet (UV) radiation for 30 min. The coupons were inserted in the bottom of 24-wells microtiter plates (Orange Scientific, USA) and new UV radiation exposure for 30 min was performed.

### 2.4. Surface contact angles measurements

Spore mats were prepared for contact angle measurements as described for bacterial cells by Busscher et al. (1984). Briefly, suspensions of spores (10 mL of 1 × 10<sup>5</sup> spores/mL in saline solution) were filtered through a 0.45 μm membrane (Whatman) in order to achieve a uniform layer of spores. The surface tension of spores and substrata were determined through sessile drop contact angle method. Contact angles were determined as described by Simões et al. (2007a) at room temperature using three different liquids: two polar – water and formamide –, and one apolar – α-

bromonaphthalene (Sigma, Portugal). Determination of contact angles (in degrees) was performed automatically using a model OCA 15 Plus (DATAPHYSICS, Germany) video based optical contact angle measure instrument, allowing image acquisition and data analysis. Contact angle measurements (at least 25 determinations) were performed at three independent experiments.

## 2.5. Zeta potential measurements

The measurements of the zeta potential ( $\xi$ ) of fungal spores and substrata were performed using a Zetasier Nano ZS electrophoretic light scattering spectrophotometer (Malvern Instruments, UK). The spores prepared as described for contact angles measurement were washed two times with PBS and resuspended in sterile distilled water to a final density of  $10^7 - 10^8$  spores/mL. For substrata, the coupons previously washed were reduced to small size particles (from 10 to 100  $\mu\text{m}$ ). The electrophoretic mobilities ( $\mu_e$ ) were measured at an applied voltage of 150 V and 22 °C, then were converted to zeta potential values using the Helmholtz Von Smoluchowski relation (Bayouhdh et al., 2009). The experiments were performed in triplicate and repeated three times.

## 2.6. Surface thermodynamics and hydrophobicity

The degree of hydrophobicity of spores and substrata were evaluated as described by Simões et al. (2007a). Through the extended Young equation (Equation (2)), the contact angles ( $\theta$ ) of a liquid ( $L$ ) on a solid surface ( $i$ ) are related with the total surface tension ( $\gamma_i$ ,  $\text{mJ}/\text{m}^2$ ), which can be separated into two compounds: Liftshitz-van der Waals ( $\gamma_i^{LW}$ ) and Lewis acid-base ( $\gamma_i^{AB}$ ), where  $\gamma^+$  and  $\gamma^-$  are the electron-acceptor and electron-donor parameters, respectively.

$$(1 + \cos \theta) \gamma_L = 2 \left( \sqrt{\gamma_i^{LW} \gamma_L^{LW}} + \sqrt{\gamma_i^+ \gamma_i^-} + \sqrt{\gamma_i^- \times \gamma_L^+} \right) \quad (2)$$

where,  $\gamma_i = \gamma_i^{LW} + \gamma_i^{AB}$  with  $\gamma_i^{AB} = 2\sqrt{\gamma_i^+ \gamma_i^-}$

The degree of hydrophobicity is expressed as the free energy of interaction between two identical entities ( $i$ ), when immersed in water ( $w$ ) –  $\Delta G_{iwi}$  (Equation (3)), as the sum of LW and AB interaction free energies. If the interaction between two entities is stronger than their interaction with water ( $\Delta G_{iwi} < 0$   $\text{mJ}/\text{m}^2$ ), the material is considered hydrophobic and attraction occurs. On the other hand, if  $\Delta G_{iwi} > 0$   $\text{mJ}/\text{m}^2$ , the material is hydrophilic, and repulsion occurs. This is calculated for ff spores ( $\Delta G_{SWS}$ ) and surface materials ( $\Delta G_{mwm}$ ).

$$\Delta G_{iwi} = \Delta G_{iwi}^{LW} + \Delta G_{iwi}^{AB} = -2\gamma_{iw}^{LW} - 2\gamma_{iw}^{AB} \quad (3)$$

$$\text{With, } \gamma_{ij}^{LW} = \left( \sqrt{\gamma_i^{LW}} - \sqrt{\gamma_j^{LW}} \right)^2 \quad \text{and} \quad \gamma_{ij}^{AB} = 2 \left( \sqrt{\gamma_i^+ \gamma_i^-} + \sqrt{\gamma_j^+ \gamma_j^-} - \sqrt{\gamma_i^+ \gamma_j^-} - \sqrt{\gamma_i^- \gamma_j^+} \right)$$

## 2.7. Free energy of adhesion

The free energy of adhesion between fungal spores ( $S$ ) and substratum ( $m$ ) immersed or dissolved in water ( $w$ ) –  $\Delta G_{Swm}$  can be expressed through Equation (4) as the sum of EL, LW and AB free energies of adhesion per unit area (Bayouhdh et al., 2009). Thermodynamically, if  $\Delta G_{Swm} < 0$   $\text{mJ}/\text{m}^2$ , adhesion is favourable; and if  $\Delta G_{Swm} > 0$   $\text{mJ}/\text{m}^2$ , adhesion is not expected to occur.

$$\Delta G_{Swm} = \Delta G_{Swm}^{EL} + \Delta G_{Swm}^{LW} + \Delta G_{Swm}^{AB} \quad (4)$$

$$\text{With } \Delta G_{Swm}^{EL} = \frac{\epsilon_0 \epsilon_r \kappa}{2} (\psi_S^2 + \psi_m^2) \left[ 1 - \coth(\kappa l_0) + \frac{2\psi_S \psi_m}{\psi_S^2 + \psi_m^2} \text{csch}(\kappa l_0) \right] \cdot 10^{-3},$$

$$\Delta G_{Swm}^{LW} = -2 \left( \sqrt{\gamma_m^{LW}} - \sqrt{\gamma_w^{LW}} \right) \left( \sqrt{\gamma_S^{LW}} - \sqrt{\gamma_w^{LW}} \right),$$

$$\Delta G_{Swm}^{AB} = 2 \left[ \sqrt{\gamma_w^+} \left( \sqrt{\gamma_S^-} + \sqrt{\gamma_m^-} - \sqrt{\gamma_w^-} \right) + \sqrt{\gamma_w^-} \left( \sqrt{\gamma_S^+} + \sqrt{\gamma_m^+} - \sqrt{\gamma_w^+} \right) - \sqrt{\gamma_S^- \gamma_m^-} - \sqrt{\gamma_S^+ \gamma_m^+} \right]$$

where  $\epsilon_0$  is dielectric permittivity in the vacuum ( $8.854 \times 10^{-12}$   $\text{CV}^{-1}\text{m}^{-1}$ );  $\epsilon_r$  is the relative permittivity of water (80.1 at 20 °C);  $\psi$ , stern potential replaced by surface potential (V);  $\kappa$  represent the inverse of the Debye length ( $\text{m}^{-1}$ );  $l_0$ , the minimum equilibrium distance [ $1.57 \times 10^{-10}$  m (Liu et al., 2008)]. For this study, STW composition was assumed as a background electrolyte of 0.01 mg/L of NaCl, which corresponds to  $\kappa$  of around  $1.55 \times 10^8 \text{m}^{-1}$ .

## 2.8. XDLVO-based adhesion energy using Derjaguin approach

The total interaction energy between a spore ( $S$ ) and a surface ( $m$ ) immersed in water ( $w$ ) along the separation distance ( $H$ , m) –  $G_{Swm}$  ( $\text{kJT} \approx 4.11 \times 10^{-18}$   $\text{mJ}$ ) was calculated according to the Derjaguin approach described by Hoek and Agarwal (2006):

$$G_{Swm}(H) = G_{Swm}^{EL}(H) + G_{Swm}^{LW}(H) + G_{Swm}^{AB}(H) \quad (5)$$

$$\text{with } G_{Swm}^{EL}(H) = \pi \epsilon_r \epsilon_0 r \left[ 2\psi_S \psi_m \ln \left( \frac{1 + \exp(-\kappa H)}{1 - \exp(-\kappa H)} \right) + (\psi_S^2 + \psi_m^2) \ln(1 - \exp(-2\kappa H)) \right] \cdot 10^{-3},$$

$$G_{Swm}^{LW}(H) = -\frac{A_H r}{6H} \quad \text{with } A_H = -12\pi l_0^2 \Delta G_{Swm}^{LW}$$

$$G_{Swm}^{AB}(H) = 2\pi r \lambda \Delta G_{Swm}^{AB} \exp\left(\frac{l_0 - H}{\lambda}\right)$$

where  $A_H$  is the un-retarded spore-surface Hamaker constant in water ( $\text{mJ}$ );  $\lambda$  is the characteristic decay length of AB interactions in water ( $6 \times 10^{-10}$  m);  $r$  is the spore radius (m). At distances in which  $G_{SWS} < 0\text{KT}$ , adhesion may occur; and if  $G_{SWS} > 0\text{KT}$ , adhesion is not expected to occur.

For predicting autoaggregation of spores, the interaction between spores ( $G_{SWS}$ ) was calculated replacing  $r$  by  $r^2/2r$  (Equation (5)). At distances under which  $G_{SWS} < 0\text{KT}$ , autoaggregation is favourable; and if  $G_{SWS} > 0\text{KT}$ , autoaggregation is not expected to occur.

## 2.9. Effect of abiotic factors on fungal adhesion

Coupons of HDPE and PVC in 24-wells microtiter plates, and suspensions of *P. brevicompactum* and *P. expansum* spores were prepared as described above. Under aseptic conditions, 2 mL of a suspension of spores in R2A broth or STW was added to each well. To promote ff adhesion, all the plates were incubated for 8 h [time needed for adhesion to occur and to initiate germling formation (Simões et al., 2015)], aerobically at 25 °C, under static conditions or in an orbital shaker (New Brunswick Scientific, innova®44, USA) at 25 and 150 rpm. For each condition, coupons were removed aseptically and washed three times with 2 mL of sterile saline solution (0.85% NaCl), to remove non- and weakly adhered spores. The coupons were air dried to remove water in excess by evaporation. The quantification of adhered spores was performed by epifluorescence microscopy (Nikon eclipse Ci-L, Japan) with Calcofluor White MR2 (CW) (Sigma, Portugal) staining. The negative controls were obtained placing the coupons in R2A broth or STW, without spores. All the experiments were performed in duplicate with three

independent repeats.

### 2.10. Spore counts

For quantification of ff adhesion, the coupons were directly stained with 10  $\mu$ L of 25  $\mu$ M CW and incubated for 15 min, at room temperature and in the dark, according to Gonçalves et al. (2006b). After staining, each coupon was washed two times with sterile distilled water and allowed to air dry. Finally, the samples were observed under a Nikon epifluorescence microscope using UV light and an optical 330–380 nm excitation filter, a LP400 nm emission filter and a 420 nm barrier filter. The images of adhered spores were acquired with a microscope camera (Nikon DS-Qi2, Japan) using the NIS-Element Microscope Imaging Software. A total of 20 fields were counted for each coupon to assess total spore numbers per  $\text{cm}^2$ .

### 2.11. Adhesion kinetics of fungal spores

The determination of adhesion kinetics of fungal spores was only assessed for *P. brevicompactum*, due to its higher adhesion ability in comparison to *P. expansum* and under the conditions tested. These assays were performed for the several process conditions described previously. Briefly, 2 mL of suspension of *P. brevicompactum* spores in R2A broth was added to each well with coupons of HDPE or PVC, under aseptic conditions. To promote fungal adhesion, all the plates were incubated aerobically at 25 °C, under static conditions (0 rpm) and sampled at defined times (1, 2, 3, 4, 6, 8 and 12 h). The negative controls were obtained placing the coupons in R2A broth, without spores. All the experiments were performed in duplicate with three independent repeats.

The quantification of ratio of adhered spores over time [ $N = f(t)$ ] was adjusted to the Gompertz model (Equation (6)) and the Logistic model (Equation (7)), which allowed the estimation of relevant parameters on fungal adhesion kinetics (Dantigny et al., 2006):

$$N = N_{max} \exp\left(-\exp\left(\frac{\mu_m \exp 1}{N_{max}}(\lambda - t) + 1\right)\right) \quad (6)$$

where  $N_{max}$  is the maximum ratio of adhered spores, which corresponds to the asymptotic  $N$  value at  $t \rightarrow +\infty$ ;  $\mu_m$  is the maximum adhesion rate as the slope term of the tangent line through the inflection point ( $\text{h}^{-1}$ );  $\lambda$  corresponds to length of initial latent phase (lag-phase) of adhesion as t-axis intercept of the tangent through the inflection point (h).

$$N = \frac{N_{max}}{1 + \exp(k(\tau - t))} \quad (7)$$

where  $\tau$  is the inflection point, that corresponds to the time of half of  $N_{max}$  (h);  $k$  is the maximum adhesion rate related to the slope of the tangent line through the inflection point ( $\text{h}^{-1}$ ).

### 2.12. Statistical analysis

The data were analysed using the statistical program SPSS version 24.0. (Statistical Package for the Social Sciences, USA). All data was statistically analysed using one-way ANOVA, based on a confidence level  $\geq 95\%$  ( $P < 0.05$  was considered statistically significant). The parameters of Gompertz and Logistic models were estimated with Levenberg-Marquardt method for non-linear regressions.

## 3. Results

### 3.1. Physicochemical properties of ff spores and substrata

Both fungal spores and substrata had negative surface charge in water (Table 1). The surface charge of spores was similar for *P. brevicompactum* (−30 mV) and *P. expansum* (−34 mV) as well as for the substrata (−20 and −18 mV for PVC and HDPE, respectively) ( $P > 0.05$ ).

Spores of *P. brevicompactum* were characterized as hydrophobic ( $\Delta G_{iwi} < 0 \text{ mJ/m}^2$ ) while those of *P. expansum* as hydrophilic ( $\Delta G_{iwi} > 0 \text{ mJ/m}^2$ ). The negative LW component of spores indicated that LW forces were responsible for attraction ( $\Delta G_{SWS}^{LW} = -6.4 \text{ mJ/m}^2$ ). The AB component was variable between spores, with values of 21.5  $\text{mJ/m}^2$  (*P. expansum*) and −4.5  $\text{mJ/m}^2$  (*P. brevicompactum*). When dispersed in water, spores of *P. expansum* showed repulsive AB interactions and smaller LW attraction, due to its strong ability to donate electrons ( $\gamma^- = 37.4 \text{ mJ/m}^2$ ) and no ability to accept electrons ( $\gamma^+ = 0 \text{ mJ/m}^2$ ). In contrast, both AB and LW interactions were attractive for *P. brevicompactum* spores, which had a high electron donating capacity ( $\gamma^- = 23.1 \text{ mJ/m}^2$ ) and very low ability to accept electrons ( $\gamma^+ = 0.2 \text{ mJ/m}^2$ ). Additionally, both substrata were characterized as hydrophobic, due to predominant attractive AB component of  $\Delta G_{mwm}$ . HDPE had higher hydrophobicity (−82.3  $\text{mJ/m}^2$ ) than PVC (−41.5  $\text{mJ/m}^2$ ).

### 3.2. Prediction of fungal adhesion

Fungal adhesion on PVC and HDPE in water was predicted by the XDLVO theory. For that, fungal spores were assumed to be spherical with an average diameter of 3.0 and 3.3  $\mu\text{m}$  for *P. brevicompactum* and *P. expansum*, respectively (Pitt, 2006). The substrata were considered as perfectly smooth surfaces (Hermansson, 1999).

The values of free energies of adhesion per unit area between spores and substrata ( $\Delta G_{Swm}$ ) are presented in Table 2. Both spores had theoretical thermodynamic ability to adhere on PVC and HDPE ( $\Delta G_{Swm} < 0 \text{ mJ/m}^2$ ). However, *P. brevicompactum* had higher theoretical adhesion potential than *P. expansum*. As both substrata and spores had negatively charged surfaces, EL attraction was negligible. But, LW and AB interactions were attractive at closer distance.

Fig. 1 shows the XDLVO energy of interaction as a function of the separation distance between the spores and the substratum. At large distances spores are attracted to the substratum only by LW interactions while at short distances attractive AB interactions seem to play a role. These results proposed that spores need to overcome the negligible energy barrier (ranging between 1 and 2 kT - resulting from repulsive EL interactions) for irreversible adhesion. At distances in which  $G_{Swm} < 0 \text{ kT}$ , fungal adhesion is favoured. Thus, the adhesion of *P. brevicompactum* may occur at distances less than 2.6 and 2.4 nm on HDPE and PVC, respectively. These distances were lower for *P. expansum* (2.4 and 1.8 nm on HDPE and PVC, respectively).

In addition, the XDLVO theory established that the autoaggregation of spores of *P. brevicompactum* was thermodynamically favoured at distances less than 1 nm ( $G_{SWS} < 0 \text{ kT}$ ), where attractive LW and AB interactions became of key importance. On the other hand, *P. expansum* had no expected ability to autoaggregate ( $G_{SWS} > 0$  for all the distances) (data not shown).

### 3.3. Effects of abiotic factors on fungal adhesion

The number of spores adhered on HDPE and PVC were quantified by direct microscopic observation of coupons (Fig. 2). Spores were uniformly dispersed on the substrata when using STW. In



**Table 1**

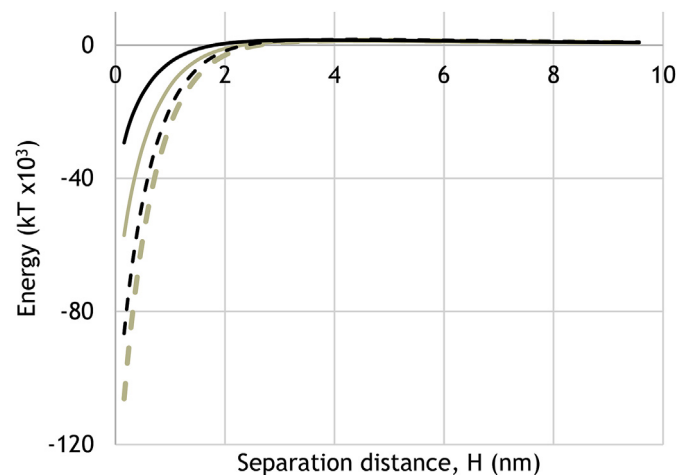
Values of contact angles (in degrees) with water ( $\theta_w$ ), formamide ( $\theta_F$ ) and bromonaphalene ( $\theta_B$ ); surface tension components (at 20 °C, in mJ/m<sup>2</sup>); surface charge (in mV) of substrata (HDPE and PVC) and spores of *P. brevicompactum* and *P. expansum*; and calculated total interfacial free energies per unit area between fungal spores (S)/substratum (m) and water (w) (in mJ/m<sup>2</sup>). Values are means  $\pm$  standard deviations (SDs) of three independent experiments.

	Contact angles (°)			Surface tension parameters (mJ/m <sup>2</sup> )					Surface charge (mV)	Interfacial free energy (mJ/m <sup>2</sup> )		
	$\theta_w$	$\theta_F$	$\theta_B$	$\gamma$	$\gamma^{LW}$	$\gamma^{AB}$	$\gamma^+$	$\gamma^-$		$\Delta G_{iwi}$	$\Delta G_{iwi}^{LW}$	$\Delta G_{iwi}^{AB}$
<b>HDPE</b>	95.4 $\pm$ 2.5	71.7 $\pm$ 4.3	36.8 $\pm$ 4.3	36.0	36.0	0.0	0.0	1.3	-18 $\pm$ 3	-82.3	-3.5	-78.8
<b>PVC</b>	76.5 $\pm$ 8.9	59.8 $\pm$ 5.9	35.1 $\pm$ 2.9	37.7	36.7	1.0	0.02	9.7	-20 $\pm$ 3	-41.5	-3.8	-37.7
<b><i>P. brevicompactum</i></b>	56.0 $\pm$ 2.9	42.1 $\pm$ 2.3	20.4 $\pm$ 0.9	46.2	41.7	4.5	0.2	23.1	-30 $\pm$ 3	-10.9	-6.4	-4.5
<b><i>P. expansum</i></b>	51.3 $\pm$ 1.8	51.0 $\pm$ 2.6	19.9 $\pm$ 0.9	41.8	41.8	0.0	0.0	37.4	-34 $\pm$ 3	15.1	-6.4	21.5

**Table 2**

Free energy of adhesion per unit area ( $\Delta G_{Swm}$  in mJ/m<sup>2</sup>) between spores of *P. brevicompactum* or *P. expansum* (S) and substrata – HDPE or PVC (m), when immersed in water (w).  $\Delta G_{Swm}^{EL}$  is the component of EL interaction,  $\Delta G_{Swm}^{LW}$  corresponds to LW interaction and  $\Delta G_{Swm}^{AB}$  to AB interaction.

	HDPE				PVC			
	$\Delta G_{Swm}$	$\Delta G_{Swm}^{EL}$	$\Delta G_{Swm}^{LW}$	$\Delta G_{Swm}^{AB}$	$\Delta G_{Swm}$	$\Delta G_{Swm}^{EL}$	$\Delta G_{Swm}^{LW}$	$\Delta G_{Swm}^{AB}$
<b><i>P. brevicompactum</i></b>	-43.0	-0.1	-4.7	-38.2	-25.4	-0.3	-5.0	-20.1
<b><i>P. expansum</i></b>	-33.6	-0.3	-4.7	-28.6	-14.6	-0.5	-5.0	-9.1



**Fig. 1.** Interaction energy profiles between spores of *P. brevicompactum* (grey) or *P. expansum* (black) and HDPE (dashed line) or PVC (continuous line), according to XD/LVO theory.

contrast, using R2A broth the spores autoaggregated - a phenomenon noticeable in a higher extent under 150 rpm.

Higher counts of adhered spores were found for *P. brevicompactum* than for *P. expansum* ( $P < 0.05$ ) under static conditions, i.e.  $12 \times 10^4$  and  $1.7 \times 10^4$  spores/cm<sup>2</sup>, respectively (Fig. 2E–F). In general, spore counts were statistically similar for both ff under 25 and 150 rpm ( $P > 0.05$ ), except for HDPE using R2A broth under 25 rpm where the adhesion of *P. brevicompactum* was found to be higher ( $P < 0.05$ ). No effects were observed from the use of HDPE or PVC for both ff adhesion ( $P > 0.05$ ). Moreover, for *P. expansum* no significant differences were found between all conditions studied ( $P > 0.05$ ) – ranging between  $0.6 \times 10^4$  and  $1.7 \times 10^4$  spores/cm<sup>2</sup>. In contrast, the conditions that support higher adhesion of *P. brevicompactum* spores occurred using R2A broth and static conditions ( $P < 0.05$ ) –  $11 \times 10^4$  and  $12 \times 10^4$  spores/cm<sup>2</sup> on HDPE and PVC, respectively.

#### 3.4. Adhesion kinetics of fungal spores

The adhesion kinetics of fungal spores on HDPE and PVC were

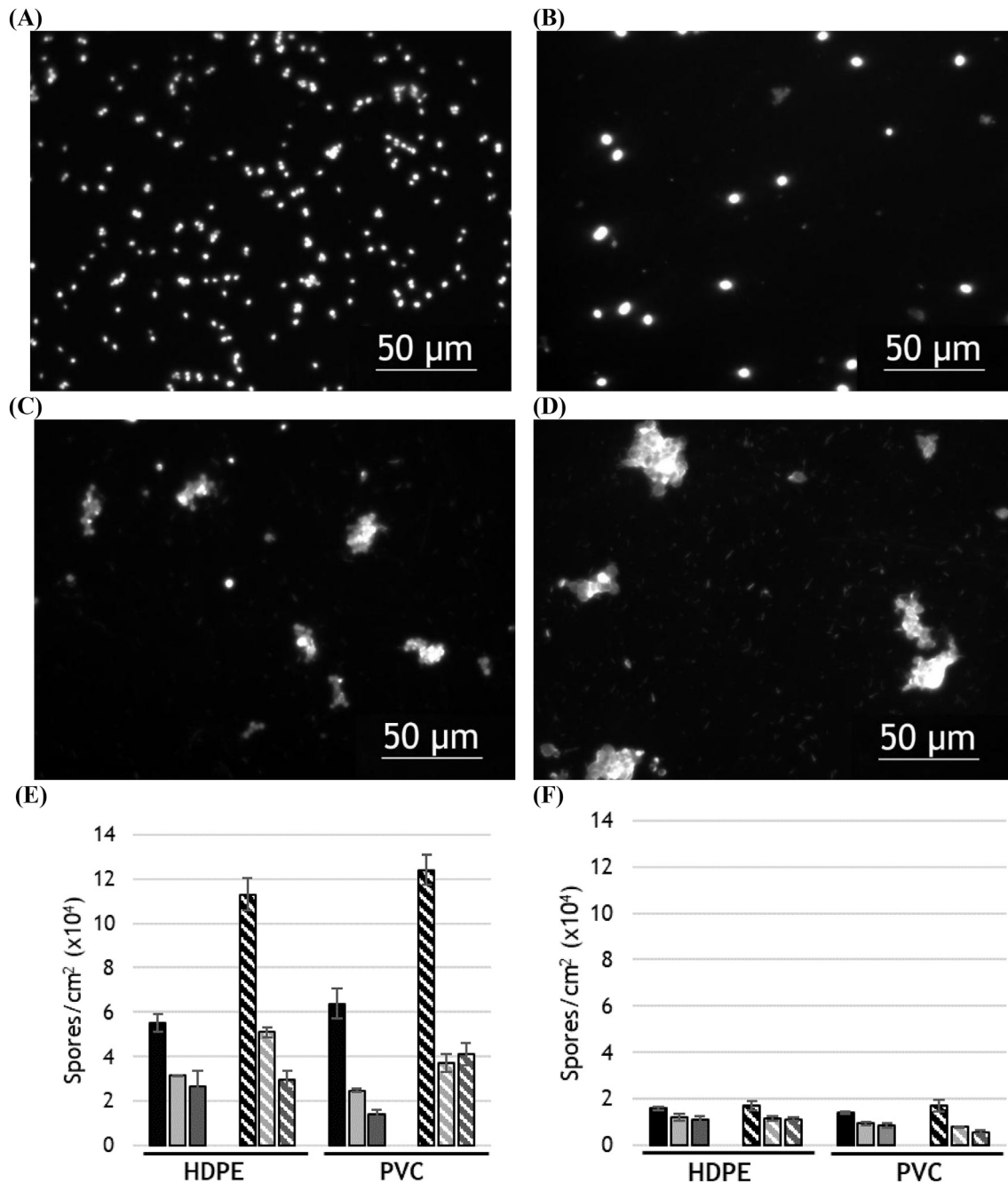
studied only for *P. brevicompactum* under static conditions and using R2A broth, which corresponded to the condition promoting higher adhesion. The number of adhered spores per cm<sup>2</sup> increased with time before germination that was found 12 h after incubation (Fig. 3). The maximum adhesion ( $N_{max}$ ), without spore germination, occurred at 8 h:  $N(t \rightarrow 8) = 0.56 \pm 0.04$  and  $0.62 \pm 0.03$  on HDPE and PVC, respectively. No significant differences were found when comparing adhesion on HDPE and PVC ( $P > 0.05$ ).

The estimated parameters of adhesion kinetics are summarized in Table 3. For adhesion on HDPE, Gompertz and Logistic models estimated equivalent  $N_{max}$ , while kinetics on PVC were estimated with more accuracy by the Logistic model. In fact, this model was the most representative of the experimental data - the coefficients of determination ( $r^2$ ) were 0.98 (HDPE) and 0.93 (PVC). Adhesion on PVC showed a higher variance on the density of spores around the inflection point. Adhesion on HDPE was faster based on  $\mu_m$  and  $k$  values ( $0.98$  and  $2.8 \text{ h}^{-1}$  for HDPE vs  $0.10$  and  $0.87 \text{ h}^{-1}$  for PVC). However, the lag-phase for adhesion on HDPE was 5.9 h, while for PVC was 2.8 h. According to the Logistic model the half  $N_{max}$  occurred 6.5 h after incubation, for both substrata.

#### 4. Discussion

Filamentous fungi have been recognized as DW contaminants with potential to cause waterborne diseases due to their presence and/or release of mycotoxins (Babić et al., 2017). Recent reports highlighted the emergent resistance of pathogenic ff to antimicrobial agents (Fisher et al., 2018; Siqueira and Lima, 2013). The knowledge on the mechanism of ff biofilm set-up and influence of several abiotic factors on its formation are necessary to develop effective strategies to control their proliferation, ensuring safe and high-quality DW. Microbial adhesion on surfaces is one of the first steps involved in biofilm formation and has been studied extensively over the past decades in many diverse areas, with focus essentially on bacterial adhesion. Very few reports on ff biofilms can be found in the literature (Harding et al., 2009; Siqueira et al., 2011). However, ff are completely adapted for growth on surfaces (Simões et al., 2015). In this study, *P. brevicompactum* and *P. expansum* were selected to assess fungal adhesion as these species are prevalent in DW (Gonçalves et al., 2006a; Hageskal et al., 2006; Pereira et al., 2009).

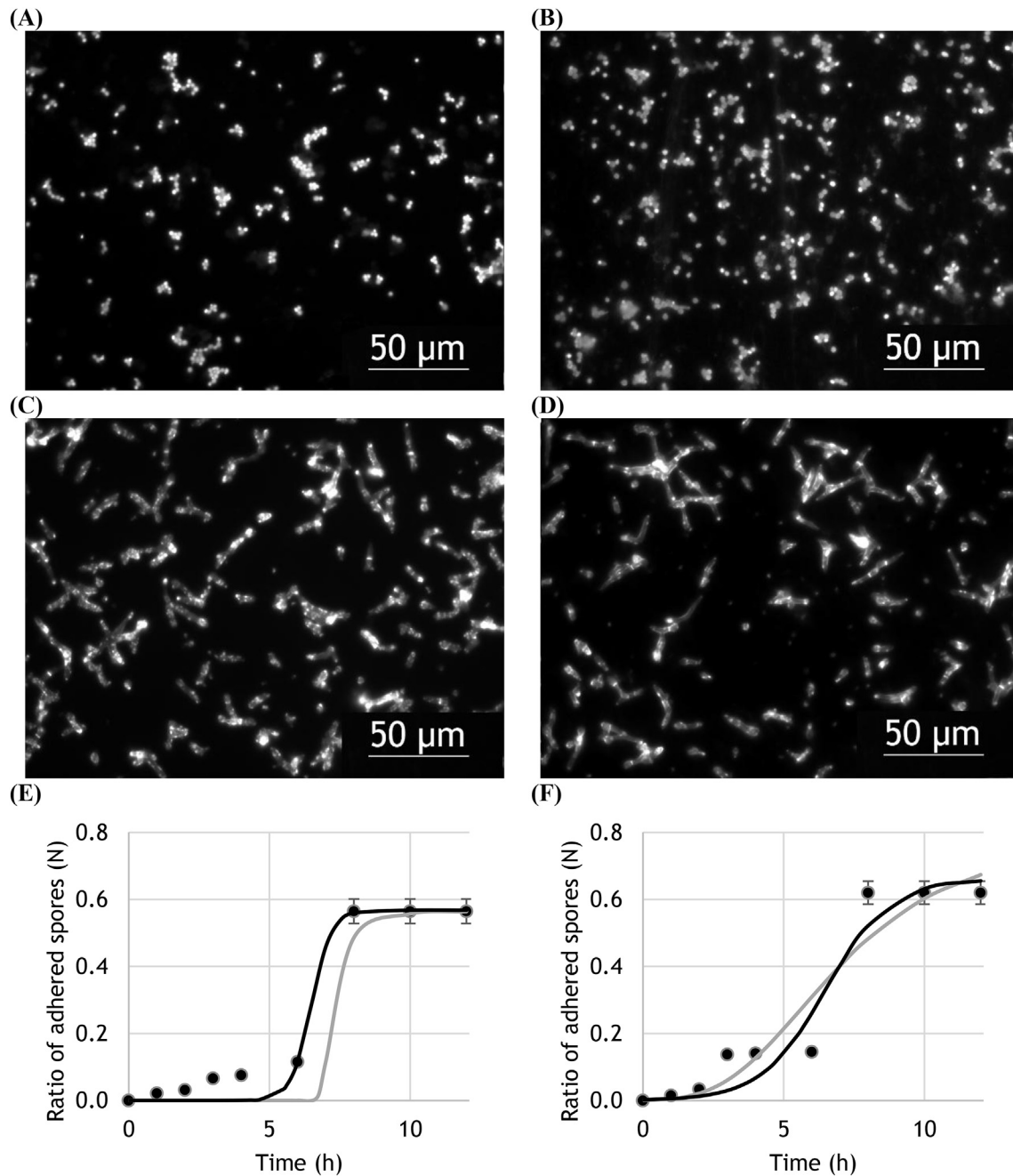
Microbial adhesion is influenced by the surface physicochemical



**Fig. 2.** Epifluorescence photomicrographs of adhered spores of *P. brevicompactum* (A) and *P. expansum* (B) on HDPE, under 0 rpm using STW, and adhered spores of *P. brevicompactum* (C) and *P. expansum* (D) on HDPE, under 150 rpm using R2A broth.  $\times 400$  magnification/bar = 50  $\mu\text{m}$ . Blueish fungi stained with CW are represented in white. Influence of several hydrodynamic conditions in fungal adhesion of *P. brevicompactum* (E) and *P. expansum* (F) on HDPE and PVC, using STW and R2A broth (pattern fill). The means  $\pm$  SDs for at least three replicates are represented. ■ 0 rpm; ▒ 25 rpm; ▨ 150 rpm.

properties of the microorganisms and the substratum (Donlan and Costerton, 2002). Contact angles measurement by the sessile drop technique is broadly applied to assess the hydrophobicity of bacteria and yeasts (Maataoui et al., 2014; Simões et al. 2007a, 2010; van der Mei et al., 1998). Only a small number of studies were performed with fungal spores (Dunlap et al., 2005; El Abed et al., 2010, 2011; Sadiki et al., 2016). This can be explained by the heterogeneous growth of ff (Chau et al., 2009; Smits et al., 2003) - characteristics such as dimorphic growth, variety of size and shapes of hyphae and spores, and a complex cell wall difficult the use of methods established for bacterial studies (Doyle and Rosenberg,

1990; Siqueira and Lima, 2012). Nevertheless, considering that adhesion of ff spores initiates biofilm set-up, it becomes relevant to gather information on ff physicochemical characteristics to understand the adhesion process. This study discloses that both spores demonstrated to be predominantly electron donors and only *P. brevicompactum* had the ability to accept electrons. Several authors found that the surface of spores was essentially electron donor and had weakly electron accepting properties (El Abed et al., 2010, 2011; Seale et al., 2008). Also, spores of *P. expansum* were characterized as hydrophilic and *P. brevicompactum* as hydrophobic. The determination of ff spores surface hydrophobicity appears



**Fig. 3.** Epifluorescence photomicrographs of adhered spores of *P. brevicompactum* under 0 rpm using R2A broth, after 8 h on HDPE (A) and PVC (B), and after 12 h, on HDPE (C) and PVC (D).  $\times 400$  magnification/bar = 50  $\mu\text{m}$ . Blueish fungi stained with CW are represented in white. Adhesion kinetics of *P. brevicompactum* spores on HDPE (E) and PVC (F). Points represent experimental data (means  $\pm$  SDs for at least three replicates) and solid lines represent — Gompertz and — Logistic estimations. The parameters were estimated with Levenberg-Marquardt method.

to be imprecise, particularly for *P. expansum*. Previous studies characterized spores of *P. expansum* as hydrophobic (Amiri et al., 2005; Pascual et al., 2000), while more recent studies

reported these spores as hydrophilic (El Abed et al., 2010, 2012). It is well established that the hydrophobicity of ff spores is generated by small surface-active proteins, known as hydrophobins (Linder et al.,

**Table 3**

Parameter values obtained for adhesion of *P. brevicompactum* on HDPE and PVC with Gompertz and Logistic models.  $N_{max}$  is the maximum ratio of adhered spores;  $\mu_m$  and  $k$  correspond to maximum adhesion rate ( $\text{h}^{-1}$ );  $\lambda$  is the length of initial latent phase of adhesion; and  $\tau$ , the time of half of  $N_{max}$

	Gompertz model			Logistic model		
	$N_{max}$	$\mu_m$ ( $\text{h}^{-1}$ )	$\lambda$ (h)	$N_{max}$	$k$ ( $\text{h}^{-1}$ )	$\tau$ (h)
HDPE	0.57 [0.49;0.64]*	0.98 [-188;190]	5.89 [-16.1;27.9]	0.57 [0.49;0.64]	2.79 [-5.20;10.77]	6.49 [5.03;7.95]
PVC	0.75 [0.27;1.24]	0.10 [0.02;0.17]	2.78 [0.21;5.35]	0.66 [-0.48;0.84]	0.87 [0.00;1.75]	6.49 [4.99;7.99]

\* 95% of confidence intervals in brackets.

2005; Wessels, 1996). They have a role in ff growth as structural components and in their interaction with the environment (Linder et al., 2005). Hydrophobins have been localized on the surface of aerial fungal structures (Wösten, 2001). They mediate the adhesion of fungal structures to hydrophobic surfaces and affect the cell wall composition, promoting surface coating (Van Wetter et al., 2000; Wösten et al., 1994). Thus, during spore germination the swelling implicates the removal of coatings, changing the characteristics of spore wall surface (Zhang and Zhang, 2016). In fact, Amiri et al. (2005) verified that spores of *P. expansum* modified their hydrophobicity with the culture age, from hydrophobic to hydrophilic. This helps to understand the contradicting values of hydrophobicity reported for *P. expansum* (Amiri et al., 2005; El Abed et al., 2010, 2012; Pascual et al., 2000).

According to the XDLVO theory, both spores had potential to adhere on HDPE and PVC, when immersed in water. The predicted adhesion was higher for the most hydrophobic ff (*P. brevicompactum* > *P. expansum*) and substratum (HDPE > PVC). Similar results were obtained by Simões et al. (2007a) for bacterial adhesion. Fungal spores were maintained in suspension due to repulsive EL interactions with the substratum and due to their negative surface charge caused by the carboxyl and phenol groups (Zhang and Zhang, 2016). At closer distance, short-range interactions (LW and AB) became more predominant, resulting in spore attraction and consequent adhesion. Thus, for ff spore adhesion to occur it is necessary to overcome the energy barrier and establish direct contact (Bayouhd et al., 2009). There are several factors that could bias this theoretical prediction, particularly the biological changes in the adhered spores and the production of EPS (Katsikogianni and Missirlis, 2004).

The direct microscopic observation reveals that both ff were able to autoaggregate, mainly in the presence of nutrients and under agitation. In fact, hydrodynamic stress potentiate the collision rate between spores (Williams and Crane, 1983) while the medium composition can influence EL interactions (Zhang and Zhang, 2016). The XDLVO theory also predicted the autoaggregation potential of spores: hydrophobic spores of *P. brevicompactum* were found as less stable in suspension, resulting in autoaggregation; repulsion can exist between the hydrophilic spores of *P. expansum*. However, the energy barrier of repulsion was apparently overcome and autoaggregation occurred (Bayouhd et al., 2009).

The adhesion potential of ff spores was evaluated in microtiter plates. These are a device with reproducibility and robustness, which allow the simultaneous screening of different parameters through non-invasive methods of microscopy (Gomes et al., 2014). Following a previous study on ff biofilm formation in DW (Simões et al., 2015), the initial spores density in suspension was about  $10^5$  spores/mL. The contact time applied was 8 h to achieve more adhesion without germling formation (Simões et al., 2015). Spore germination occurred at 12 h incubation and the accurate quantification of spores was difficult due to superposition of hyphae. As predicted by the XDLVO theory, spores of *P. brevicompactum* showed higher adhesion potential than *P. expansum*, which highlight that different ff have different abilities for biofilm development (Busscher et al., 1995; Simões et al., 2007b). In terms of the role of surface type in ff adhesion, no significant effects were observed from the use of HDPE and PVC. In fact, these surfaces have comparable surface properties, porosity and roughness (Niquette et al., 2000). Adhesion was higher under static conditions and more noticeable when using R2A broth. Before microbial adhesion the surface is naturally conditioned by organic and inorganic substances from the surrounding environment (Donlan, 2002). The results propose that R2A broth seems to contribute to the formation of a conditioning film in a higher extent than STW, and

consequently to higher adhesion of ff spores. In a real DWDS, low hydrodynamic stress and availability of nutrients could support high ff adhesion. Therefore, reservoirs, corners, valves, dead ends, and zones with low DW consumption are potential places for adhesion of ff spores - due to low hydrodynamic conditions and high residence times. Water stagnation, sediment accumulation and damaged pipe sections (where leaking water pipes can allow organic matter into DW) are known to increase nutrient levels in the DW (EPA, 2002). Such conditions may support high adhesion of spores and appropriate conditions for their germination (Siqueira and Lima, 2013).

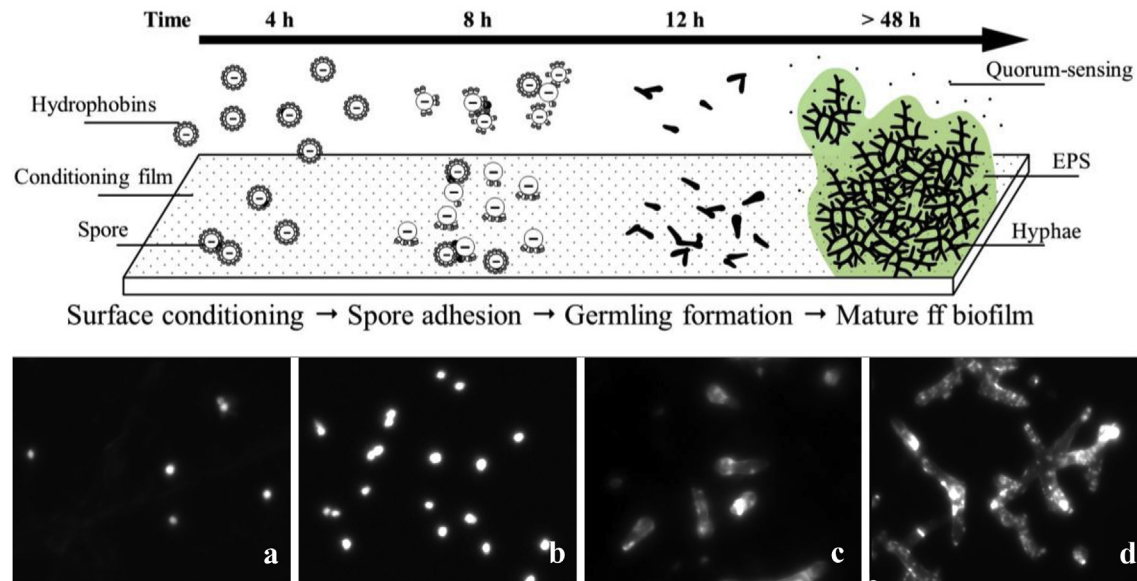
Adhesion kinetics of ff spores were described by the Gompertz and Logistic models. These models were already applied to describe the germination and growth kinetics of spores (Dantigny et al., 2006, 2007; 2011; Gougouli and Koutsoumanis, 2012; Huang et al., 2010), and microbial inactivation and growth under different conditions (Chatterjee et al., 2015; Gil et al., 2006). The main difference between them is in the symmetry: Logistic model is symmetric around the inflection point while the Gompertz model is asymmetrical (Dantigny et al., 2011). In this study, the Logistic model demonstrated to be more representative of the experimental data obtained. Adhesion followed a normal distribution around the time that half of maximum adhesion occurred (inflection point - 6.5 h). The adhesion rate was found to be higher on HDPE than on PVC. Gompertz model showed that the highest adhesion rate on HDPE should be preceded by a latent phase of 5.9 h.

Based on the results obtained in this study and on data from previous reports (Ramage et al., 2011; Simões et al., 2015; Siqueira and Lima, 2013) a model of ff biofilm formation is proposed (Fig. 4). Initial adhesion of ff spores, i.e. initial physical contact between the spores and the substratum can result in reversible adhesion. This is followed by irreversible adhesion with secretion of adhesive substances by germinated spores and active germlings (Harding et al., 2009). This study focused on the initial adhesion of *P. brevicompactum* and *P. expansum* spores, a phenomenon that happened during the first 8 h of incubation. The extent of adhesion was influenced by the external conditions (favoured under static conditions and in the presence of nutrients) and physicochemical properties of spores and the substratum. After 12 h of incubation, germlings start to form and to secrete hydrophobins that self-assemble and change the nature of the surface, mediating adhesion and hyphae-substratum interaction (Zampieri et al., 2010). Thereafter, apical hyphal growth invade the medium (in all directions), crossing each other and being enclosed within the EPS matrix. Internal well-structured channels were described, allowing mass transfer of nutrients and metabolites (Ramage et al., 2011). Finally, the stage of maturation proposed by Harding et al. (2009) for DW biofilms should mainly occur in reservoirs due to requirement of a stable air-water interface for aerial growth and posterior spore formation and air dispersion (Viegas et al., 2016). The dispersion, i.e. fragments of mycelium (propagules) in water or spores through the air, represents the last stage of ff biofilm formation. However, dispersal is counterbalanced by active biofilm growth.

## 5. Conclusions

- In general, spore adhesion on HDPE and PVC was higher for *P. brevicompactum* than for *P. expansum* and the process occurred at spore-substratum nano distance (always lower than 2.6 nm).
- The electrostatic repulsion between the negative surface charge of *P. brevicompactum*/*P. expansum* spores and the substrata was





**Fig. 4.** Stages of ff biofilm development. Spore adhesion stages (until 12 h) are based on the results obtained in this study - under static conditions and nutrient availability as highlighted by the presence of a conditioning film. During the first 8 h the number of adhered spores increased until a maximum density. The surface hydrophobicity and charge of spores and the substratum play key roles in the adhesion process. After 12 h, germlings formation started to occur and hyphal growth invaded the substratum as a monolayer. These properties are exemplified by epifluorescence photomicrographs of adhered spores over time (a – 1 h; b – 4 h; c – 8 h; and d – 12 h). Finally, the mature ff biofilm, characterized by a complex mycelium enclosed within an EPS matrix and intercellular communication by quorum-sensing molecules, is developed for incubation time > 48 h. Details on the ff biofilm state are based on previous studies (Ramage et al., 2011; Simões et al., 2015; Siqueira and Lima, 2013).

not impeditive for spore adhesion, regardless the presence/absence of nutrients and/or hydrodynamic stress.

- The hydrophobicity of the ff spores and the substrata favoured spore adhesion – hydrophilic surfaces should be used to prevent the adhesion of ff spores.
- Water stagnation and the presence of nutrients should be avoided to prevent the adhesion of ff spores – static conditions and the use of R2A broth potentiated adhesion.
- The XDLVO theory is potentially relevant to study ff adhesion – it adequately predicted the adhesion of the selected ff spores on HDPE and PVC, regardless *P. brevicompactum* spores being hydrophobic while these of *P. expansum* hydrophilic.
- The maximum adhesion of ff spores, without germination, occurred at 8 h incubation, and spore germination occurred at 12 h incubation.
- The Logistic equation accurately fitted the experimental data and revealed to be adequate to understand the kinetics of *P. brevicompactum* spore adhesion.

#### Conflict of interest disclosure statement

Authors declare no conflict of interests.

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