# Design of reinforcement for RC elements under the combined effect of applied loads and restrained shrinkage

May 19th 2017

Ordem dos Engenheiros Porto - Portugal





Universidade do Minho

Participants in the dimension challeng







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# Design of reinforcement for RC elements under the combined effect of applied loads and restrained shrinkage

May 19<sup>th</sup> 2017 Ordem dos Engenheiros Porto - Portugal





UNIÃO EUROPEIA Fundos Europeus Estruturais e de Investimento









# Editors

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# Preface

The design of reinforcement for RC elements under the combined effect of applied loads and restrained shrinkage is a complex topic, which is nowadays still under open discussion worldwide. Indeed, it is quite relevant to promote the discussion of good practices in this concern, mainly due to the lack of regulatory framework for design under the two combined effects. To address this need for discussion, the Seminar "Design of reinforced RC elements under the combined effect of applied loads and restrained shrinkage" took place in 19<sup>th</sup> May 2017 at 'Ordem dos Engenheiros' in Porto (Portugal). It was a free seminar, open to the practising community (design and construction), as well as students and academics. It intended to present the most recent scientific advances in the subject, and specifically address interactive discussions among designers. This initiative was held in the scope of the FCT Project "IntegraCrete - A comprehensive multi-physics and multi-scale approach to the combined effects of applied loads and thermal/shrinkage deformations in reinforced concrete structures", under the combined initiative of the School of Engineering of the University of Minho (Department of Civil Engineering) and the Faculty of Engineering of the University of Porto (Department of Civil Engineering).

The event was preceded by a design challenge that had been proposed to several design offices in Portugal and abroad (A400, AdF, CENOR, KHP Leipzig, Mott Macdonald, Newton and Streng). The results of such design challenge were presented and discussed during the seminar, together with the presence of representatives from the participating teams. All participating teams are gratefully thanked for their voluntary participation.

# Preface

The event also had the kind participation of Prof. Dirk Schlicke from Graz University of Technology (Austria), who has presented a new methodology for design based on strain compatibility, together with application examples. More than 90 people have enrolled to this event, showing its interest among all engineering community.

This e-book contains all the presentations shown during the event, with some adaptations for feasibility in this format. There has been partial update of some presentations with basis on discussions held during the event (particularly for the case of the presentation and discussion of the design challenge).

# Acknowledgements

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# Organizing committee:

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# **Overview of difficulties in design associated to concrete shrinkage**

Miguel Azenha - ISISE, University Minho, Portugal José Granja - ISISE, University of Minho, Portugal Rui Faria - CONSTRUCT, University of Porto, Portugal Carlos Sousa - CONSTRUCT, University of Porto, Portugal Behzad Zahabizadeh - ISISE, University Minho, Portugal











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# Pathologies and doubts in design for shrinkage



Surface cracks in slabs: often they are 'through cracks' M. Azenha et al.

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# Pathologies and doubts in design for shrinkage



Retaining wall – thermal/shrinkage crack

Credit: Aveline Darquennes

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Credit: EPFL, Favre et al.

Restraint stresses are not constant!

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Credit: EPFL, Favre et al.

Typical restraints/cracking in walls

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Credit: EPFL, Favre et al.

Imposed deformation cracking

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Cracking patterns according to restraint

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No excessive cracking -> *Was it 'overdesigned'?* 

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Concrete shrinks and regulations know about it!



# Eurocode 2: Design of concrete structures - Part 1-1: General rules and rules for buildings

## 2.3.3 Deformations of concrete

(1)P The consequences of deformation due to temperature, creep and shrinkage shall be considered in design.

(3) In building structures, temperature and shrinkage effects may be omitted in global analysis provided joints are incorporated at every distance d<sub>joint</sub> to accommodate resulting deformations.

**Note:** The value of  $d_{joint}$  is subject to a National Annex. The recommended value is 30 m. For precast concrete structures the value may be larger than that for cast in-situ structures, since part of the creep and shrinkage takes place before erection.

Am I truly safe by neglecting shrinkage temperature effects in a 29m long structure? Isn't restraint to deformation a fundamental factor?



# 3.1.4 Creep and shrinkage

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(3) The creep deformation of concrete  $\varepsilon_{cc}(\infty, t_0)$  at time  $t = \infty$  for a constant compressive stress  $\sigma_c$  applied at the concrete age  $t_0$ , is given by:

$$\varepsilon_{\rm cc}(\infty,t_0) = \varphi(\infty,t_0). \ (\sigma_{\rm c}/E_{\rm c}) \tag{3.6}$$

#### 2.3.2.2 Shrinkage and creep

(1) Shrinkage and creep are time-dependent properties of concrete. Their effects should generally be taken into account for the verification of serviceability limit states.

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#### 5.4 Linear elastic analysis

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(1) Linear analysis of elements based on the theory of elasticity may be used for both the serviceability and ultimate limit states.

(2) For the determination of the action effects, linear analysis may be carried out assuming:

- i) uncracked cross sections,
- ii) linear stress-strain relationships and
- iii) mean value of the modulus of elasticity.

(3) For thermal deformation, settlement and shrinkage effects at the ultimate limit state (ULS), a reduced stiffness corresponding to the cracked sections, neglecting tension stiffening but including the effects of creep, may be assumed. For the serviceability limit state (SLS) a gradual evolution of cracking should be considered.

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# ANNEX B (Informative)

# Creep and shrinkage strain

(...)

The mean coefficient of variation of the above predicted creep data, deduced from a computerised data bank of laboratory test results, is of the order of 20%.

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Due to the inherent scatter of creep and shrinkage deformations, the errors of the model and the general uncertainty caused by randomness of material properties and environment, a prediction of the deformation may result in a considerable error. After short durations of loading or drying, the prediction error is higher than after long durations of loading and drying.

Based on a computerized database of laboratory test results a mean coefficient of variation for the predicted creep function  $V_c = 25 \%$  has been found.



EUROCO

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Model Code 2010

*fib* Model Code for Concrete Structures 2010



### 7.6.4.4 Calculation of crack width in reinforced concrete members

7.6.4.4.1 General

For all stages of cracking, the design crack width  $w_d$  may be calculated

by:

$$w_d = 2l_{s,max}(\varepsilon_{sm} - \varepsilon_{cm} - \varepsilon_{cs})$$

where:

*l<sub>s,max</sub>* denotes the length over which slip between concrete and steel occurs. The steel and concrete strains, which occur within this length, contribute to the width of the crack; *l<sub>s,max</sub>* is calculated with Eq. (7.6-4);

 $\varepsilon_{sm}$  is the average steel strain over the length  $l_{s,max}$ ;

- $\varepsilon_{cm}$  is the average concrete strain over the length  $l_{s,max}$ ;
- $\varepsilon_{cs}$  is the strain of the concrete due to (free) shrinkage.

(7.6-3) Reasonable when restraint (7.6-3) Reasonable when restraint. Reasonable when restraint. Reasonable when restraint. To deformation is nonuniform? restraint. Cracking relieves restraint.

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#### Model Code 2010

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Creep of powder type SCC is affected by its high paste content. In general, the creep deformation is approximately 10–20 % higher than that of conventional concrete of equal strength. However, the deformations are within the scatter band for ordinary structural New mixing of concrete i concrete, which is defined to be  $\pm 30$  %. If the structural response is sensitive to variations in creep behaviour tests are highly recommended

The higher creep tendency of lightweight aggregate concrete due to the reduced stiffness of the aggregates is partially compensated by the lower creep capability of the stiffer cement paste matrix.

#### (...)

Eq. 5.1-73 was developed based on experimental results primarily with CEM I and CEM III cements. If other cement types are used or if large amounts of pozzolans are used in partial replacement of CEM I and the development of the creep deformations has high relevance for the design, this effect should be determined experimentally.

fib Model Code for Concrete Structures 2010

shouldn't we be prescribing

behaviour in design?

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Model Code 2010

#### 5.1.9.4.2 Range of applicability

The relations for creep and shrinkage given below predict the timedependent mean cross-section behaviour of a concrete member moist cured at normal temperatures for not longer than 14 days.

Unless special provisions are given, the relations are valid for ordinary structural concrete (20 MPa  $\leq f_{cm} \leq 130$  MPa) subjected to a compressive stress  $|\sigma_c| \leq 0.4 f_{cm}(t_0)$  at an age at loading  $t_0$  and exposed to a mean relative humidity in the range of 40 to 100% at a mean temperature in the range of 5°C to 30°C. The age at loading should be at least 1 day.

It is accepted that the relations apply as well to concrete in tension, though the relations given in the following are directed towards the prediction of creep of concrete subjected to compressive stresses.





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# Some design aids in the literature...



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Control of Cracking in Reinforced Concrete Structures

Research Project CEOS.fr

Francis Barre, Philippe Bisch, Danièle Chauvel Jacques Cortade, Jean-François Coste Jean-Philippe Dubois, Silvano Erlicher Etienne Gallitre, Jacky Mazars, Claude Rospar Alain Sellier, Jean-Michel Torrenti and François Toutlemonde







IntegraCrete - A comprehensive multi-physics and multi-scale approach to the combined effects of applied loads and thermal/shrinkage deformations in reinforced concrete structures

The main purpose of this research is to close the research gap identified through a comprehensive program that incorporates **extensive experimental characterization**, **real scale testing with monitoring** of relevant data and their corresponding **simulation with multiscale and multiphysics approaches**.

(...)

The improved predictions of cracking and service life behaviour, and resulting design recommendations, are bound to cause significant impact on new structures and processes of strengthening with cement based materials that will have **improved cracking performance** and thus increased maintenance free lifespan.





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## Task 2 - Bridging scales of analysis: from micro to macro

Overview

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Applying simulation models μic and Hymostruc





Cement paste characterization and testing

- Cement paste specimens
- Full characterization of cement through TGA, SEM/XRD



- Disc-shaped specimens, and more than 1 type of cement and w/c ratio
- Reference environment: 20°C, sealed
- Alternative environments in terms of temperature and relative humidity
- Characterization of cement paste features along time:
  - Isothermal conduction calorimetry
  - TGA/XRD degree of hydtation
  - Porosity testing (UPV; MIP)
  - Stiffness testing (EMM-ARM)
  - Strength
  - Shrinkage

Validation at cement paste level

# Homogenization techniques

- Application of existing homogenization approaches to the cement paste behavior predictions
- Prediction of behaviour of concrete based on its composition and the results of microscale simulation

Interaction with experimental results obtained within Task 3

Validation at concrete scale level

Information output to Task 6



#### Task 3 - New insights into experimental characterization

#### Overview

Support on innovative experimental techniques proposed by the PI's

# Innovative proposal of new advances for testing

- Provide robust and innovative characterization techniques that complement standard approaches for concrete characterization
- Boost the possibilities of simulation of the long term experimental program (T5) by combining unprecedented sets of data (see scheme below)
- Support to the establishment/validation of simulation of post-cracking behaviour of T6 with basis on VRF testing



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#### Task 4 – Setting up of long term experimental framework

#### Size the testing slabs

- Establish dimensions and load levels as to ensure representativeness of real situations, namely in terms of expectable crack width
- Some performance requirements:
  - Use of NSC (e.g. C25/30)
  - Relatively small span due to space limitation
  - Minimum slab thickness 15cm
  - Uneven reinforcement in top/bottom layers
  - Uniformly distributed load materialized by concrete blocks
  - Predictable crack width at 1yr ~0.2mm
- Preliminary size is: 2.6m×0.75m×0.15m, with 2.4m span (double supports in case of restraint)
- FEM simulations under the testing conditions envisaged in Task 5 to evaluate potential adaptations

#### Monitoring setup

- Monitor mid-span deflections with permanently installed LVDT
- Check crack width with USB microscope
- Profile RH in companion specimen
- Measure internal temperatures
- Measure internal rebar strains with electric strain gages at several points
- Potential use of embedded concrete strain sensors (undesriable due to potential crack induction=



#### Create and build test setups

#### Load application

- Reach the desired load level with concrete blocks
- Possible piling of small spaced concrete blocks to avoid load carrying by contact between blocks



#### Test the setups

- The long term testing of T5 demands that nothing fails upon its start -> need to make a trial testing of all the test setups mentioned in T5: TY1 to TY4
- 1 month duration minimum
- Test with actual concrete and monitoring to assess feasibility
- Possibility of requiring adaptations and thus re-run the test.

#### Restraint

- Restraint system that ensures realistic levels of restraint to deformation, namely enough to ensure cracking due to shrinkage -> robustness
- Issues regarding cracking of the slab in the region of support -> need to enlarge/strengthen
- Ensure no slack to the system, which would limit its capacity to restrain -> need to be under tension at the instant of casting



#### Temperature control

- Ensure relevant temperature gradients to the specimen, while not affecting the restraint system
- Use of thermostatic bath to enforce temperature, or merely apply XPS insulation



## Task 5 – Deployment and conductions of the long term experimental framework

Overview

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deformation and crack width

Information feed from Task 4

#### Long term testing based on 4 types of slabs

**TY1 TY2** TY3 TY4 Thermal development Yes Yes Yes No Restraint No Yes Yes No Applied load No Yes Yes No TY4 TY1 TY<sub>2</sub> TY3 Complementary characterization and monitoring Creep testing Stifness, strength and bond testing Continuous datalogging Instrumentation for temperature, strain, 25

250

- 2 specimens per each type of slab
- Test takes place in sheltered conditions without any specific temperature or humidity control (lab environment)

Calorimetry characterization, thermal dilation coefficent, specific heat and conductivity



Humidity profiling for diffusion equation coefficients; shrinkage coefficient



Parallel VRF testing





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#### Task 6 – Integrated modelling

#### Information feed from Tasks 2,3,5

Multi-scale and multi-physics simulation

#### Overview of the modelling framework

#### **Thermal field**

- MATLAB custom code
- Energy balance including heat generation and thermally activated processes
- Thermal characterization obtained from T3,5
- Micro-scale properties will be inferred in parallel, and possible couplings T2-T6
- Equivalent age computation for evolution of properties

#### **Moisture field**

- MATLAB custom code
- Moisture diffusion equation based on RH as driving potential
- Diffusion properties obtained by back-analysis based on the RH profiling experiments of T3,5
- Possible multi-scale inference of properties from T2

#### **Mechanical field**

- DIANA FE software
- Reinforcement explicitly simulated, and cracking evaluated with smeared cracking models
- Creep evaluated through aging Kelvin chains
- Properties evolution based on micro scale models from T2

recommendations.

• Support of characterization from T3,5

#### Main features, novelties and challenges

- Intricate interaction between crack opening and creep/shrinkage phenomena still lacks research background and experimental evidence. VRF testing in the scope of T3 will support the development of such models, and their validation is expected in T6.
- Integration of the micro-scale prediction of material properties applied to the macro-scale -> multi-scale analysis
- Availability of the four types of slabs that differently combine complexities that interact with each other.(restraint, temperature, shrinkage, external load) allows easier tracing of imperfections in modelling approaches and better points towards more accurate predictions.
- As in previous works on behalf of the PI's, this research work is mostly recognizable by the wide participation of the same team in tasks that are normally performed separately by specific specialists: micro-scale modelling, material characterization, large scale experimental testing and monitoring, multi-physics numerical simulation.

#### Comparison with existing approaches

- Validated numerical framework is complex and impractical to apply in everyday scenario conditions.
- Application of the numerical simulation framework in parallel to regulatory or simplified approaches for crack prediction -> possible
- Participation in the numerical benchmarking series to be held in the scope of COST Action TU1404.

Validation of the simulation framework with the results of T5 Seminar Design o combine May 19<sup>th</sup> 2017

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# Phenomenology of shrinkage and creep in reinforced concrete structures

Rui Faria - CONSTRUCT, FEUP, Universidade do Porto, Portugal









Universidade do Minho





# Concrete <u>Shrinkage</u>: Drying and Autogenous

- Shrinkage is a volume change, not related to external loading or mechanical phenomena. It is normally subdivided into **Drying Shrinkage** and **Autogenous Shrinkage** (see Eurocode 2).
- Drying shrinkage is due to loss of water to the environment, which is usually much drier than the concrete core. '(...) drying is an extremely slow process. The outer layers reach hygral equilibrium with the relative humidity (RH) of the environment quickly, while the inner part may remain water saturated for decades' (Wittmann, 2008):



Shrinkage measured in concrete samples is not a <u>real material</u> property:

# - stresses, cre

 stresses, creep and cracking develop at the same time...



# Autogenous shrinkage

- Autogenous drying is due to self-consumption of water by the cement hydration. Shrinkage can be also due to <u>chemical</u> and <u>physical</u> reactions of the solid skeleton with the pore solution (chemical shrinkage, etc.).
- □ In Normal Strength Concrete (NSC) **Drying Shrinkage** is the most relevant, due to the high w/c ratio and higher porosity of concrete. This facilitates drying of the core of the RC elements. *It normally lasts for* <u>~30 years</u>, or even more!
- High Strength Concrete (HSC) has low w/c ratio and dense concrete microstructure (low porosity). Thus, drying shrinkage is much less relevant than in NSC. Conversely, the low w/c ratio and the higher dosages of binders (cement, etc.) in HSC makes the self-consumption of water to be high. Thus Autogenous Shrinkage becomes relevant. It develops during the early ages, after concrete casting.
- These two forms of Shrinkage are already reflected on actual Design Codes, like EC2. They provide separated expressions for the autogenous and drying shrinkage, depending on the concrete class, environmental RH, element 'thickness', etc.

# Experimental measurement of shrinkage

R. Faria



#### - Inside climatic chambers (FEUP and UM)





# Concrete Creep

- ❑ Concrete creep is caused by <u>complex mechanisms</u> which are not yet fully understood. Neville *et al.* (1983) identified the following:
  - Expulsion of the interlayer water within the cement gel
  - Sliding of the colloidal sheets in the cement gel between the layers of absorbed water
  - Local fracture within the cement gel involving the breakdown (and formation) of physical bonds (micro-cracking)
  - Elastic deformation of the aggregate and the gel crystals as viscous flow and seepage occurring within the cement gel
  - (...)


### Concrete creep

R. Faria

- **Creep** is influenced by:
  - Concrete composition
  - Environmental RH (creep increases as RH decreases)
  - Environmental T (as T increases <u>drying accelerates</u>, and the deformation of the cement paste increases)
  - Loading conditions (creep increases with the installed concrete stress)
  - Element geometry (creep is more significant in <u>thin</u> structural specimens)
- ❑ Age of loading has a very significant relevance in the magnitude of final creep: young concrete will thus have highest creep deformations.
- Basic creep is observed in sealed concrete specimens. It is a material property, as it depends of the composition of concrete mix.
- Drying creep occurs when the specimen is loaded on a dry environment. The drying creep depends on the RH content and gradient, and on the size and element geometry.

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Basic creep, Drying creep and Total creep



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## Types of creep

On a concrete element submitted to shrinkage, but before the application of any external load, microcracks **exist** in the interfacial zones between the matrix and the aggregates. They remain stable under stresses up to **~30%-50%** of **f**<sub>c</sub>, and so creep strain is approximately proportional to the stress (linear creep)  $\Rightarrow$  EC2 states that concrete compressive stress should not exceed **0.45f**<sub>ck</sub> (at the QPC of loading) for creep to remain in the linear range.



□ Under higher concrete stresses creep strains increase very fast, which may lead to failure (tertiary creep). It should be avoided !

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Double Power Law (Bazant and Osman, 1976)

□ The Double Power Law (DPL) is very much used to reproduce the instantaneous and the basic creep of concrete. In <u>1D conditions</u>, and constant applied stress  $\sigma(t_0)$  at age  $t_0$ , is reads:

$$\varepsilon_{\rm c}(t) = J(t,t_0) \,\sigma(t_0)$$

$$J(t,t_0) = \frac{1}{E_0} + \frac{\phi_1}{E_0} t_0^{-m} (t-t_0)^n$$

$$\varepsilon_{\rm cc}(t,t_0) = \sigma(t_0) \left[ J(t,t_0) - \frac{1}{E_0} \right]$$

Relation with the creep coefficient  $\varphi(t,t_0)$  stated in Design Codes:

$$\varepsilon_{\rm cc}(t,t_0) = \frac{\sigma(t_0)}{E_0} \,\phi(t,t_0) = \,\sigma(t_0) \left[ J(t,t_0) - \frac{1}{E_0} \right] \,\Rightarrow \, J(t,t_0) = \frac{\phi(t,t_0) + 1}{E_0}$$

Experimental characterization of creep:  $J(t,t_0)$ 

R. Faria







For a general stress state history...

... the total concrete strain (instantaneous + creep) is defined as ...

$$\varepsilon_{\rm c}(t) = \int_{t_0}^t J(t,\tau) \, d\sigma(\tau)$$

... and computed in discrete form, for a generic instant  $t_n$ , using a timestepping scheme with an interval  $\Delta t$ (...,  $t_{n-1}$ ,  $t_n = t_{n-1} + \Delta t$ ,...), and considering a sequence of concrete stress increments  $\Delta \sigma(t_i)$ :

$$\varepsilon_{c}(t_{n}) = \sum_{t_{0}}^{t_{n}} J(t_{n}, t_{i}) \Delta \sigma(t_{i})$$



#### It is necessary to store all the stress history !



To avoid the need to store all the stress history...

□ ... the creep function  $J(t,t_0)$  may be approximated as a Dirichlet series of *N* real exponential functions, in the form:

$$J(t,t_{0}) \approx \frac{1}{E_{0}} + \sum_{i=1}^{N} \frac{1}{E_{i}(t_{0})} \left(1 - e^{-(t-t_{0})/\tau_{i}}\right)$$

... which is equivalent to the use of the following Kelvin chain:





A Maxwell chain may also be used...



□ The stress at time  $t_n$ , with the influence of creep, can then be obtained with recursive expressions of the form...

$$\sigma_{n} = \sigma_{n-1} + \frac{1}{\overline{J}_{n}} \Delta \varepsilon_{\sigma,n} + \widetilde{\sigma}_{n-1}$$
average *J* in [*t*<sub>n-1</sub>, *t*<sub>n</sub>]

... where only the information from time  $t_{n-1}$  needs to be stored.



Experimental device at FEUP, developed with UM, where <u>restrained</u> <u>shrinkage</u> and <u>tensile creep</u> occur simultaneously (Faria *et al.* 2017)





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Typical results

R. Faria









APPLICATION:  $w_k$  on a one-way slab under the QPC of loading, together with <u>restrained</u> drying shrinkage and creep ?

R. Faria







For design, what should be the axial force  $N^+_{QPC}$  (due to the restrained shrinkage), acting simultaneously with  $M^+_{QPC}$ , to guarantee e.g.  $w_k = 0.30$ mm?



 $N_{\rm QPC}^+ = f_{\rm ctm} A_{\rm c} = ?$ 

Too conservative: cracking (due to vertical loading + restrained shrinkage) significantly reduces  $N^+_{QPC}$ !



Obtained from the nonlinear time-stepping analysis, where <u>drying shrinkage</u>, <u>creep</u> and <u>cracking</u> where taken into account.



<u>Thermal shrinkage</u> and <u>early-age creep</u> during hydration are also of relevance in many cases



#### - Subject studied on a previous Research Project





## Simulation and results

R. Faria





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# Regulatory framework and recommendations for RC design considering the effects of shrinkage

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Cracking phenomena Cracks due to applied loads

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## Cracks due to applied loads

#### □ Cracks forms at about 90-110% of $f_{ctm}$



Average strain in reinforncement

$$\varepsilon_{sm} = \frac{\Delta l}{l} = \varepsilon_{s2} - \Delta \varepsilon_s$$

 $\Delta \varepsilon_s$  – contribution of the concrete in tension between the cracks (tension stiffening).

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## Cracks due to applied loads

 $\Box$  Contribution of the concrete in tension between the cracks ( $\Delta \varepsilon_s$ )

 $\Delta \varepsilon_s = \Delta \varepsilon_{smax} \times (\sigma_{sr} / \sigma_{s2})$ 

 $\sigma_{s2}$  – stress in the reinforcement at a cracked section under the combination of actions;  $\sigma_{sr}$  – stress in the reinforcement, calculated on the basis of a cracked section, where the maximum stress in the concrete in tension is equal to  $f_{ct}$ .

$$\varepsilon_{sm} = (1 - \tau) \times \varepsilon_{s1} + \tau \times \varepsilon_{s2}$$

 $\varepsilon_{s1}$  –strain in the reinforcement calculated for the uncracked section;

 $\varepsilon_{s2}$  – strain in the reinforcement calculated for the cracked section (neglecting the contribution of concrete in tension);  $\tau$  – distribution coefficient.



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## Cracks due to applied loads

 $\Box$  distribution coefficient ( $\tau$ )

$$\begin{cases} \tau = 1 - \beta_1 \times \beta_2 \times \left(\frac{\sigma_{sr}}{\sigma_{s2}}\right)^2 \\ \tau = 0 \qquad for \ \sigma_{s2} < \sigma_{sr} \end{cases}$$

 $\sigma_{s2}$  – stress in the reinforcement at a cracked section under the combination of actions;  $\sigma_{sr}$  – stress in the reinforcement, calculated on the basis of a cracked section, where the maximum stress in the concrete in tension is equal to  $f_{ct}$ .

- $\beta_1 = \frac{1}{2.5K_1}$  Coefficient take account bond quality of the reinforcement
  - $K_1 = 0.4$  for high bar bons
  - $K_1 = 0.8$  for smooth bars

 $\beta_2$  – coefficient representing the influence of the duration of application or of repetition of loading

- $\beta_2$  = 1.0 for first loading
- $\beta_2$  = 0.5 for long term loads or for a large number of cycles of load

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## Cracks due to applied loads

□ In the calculation of crack widths only the average strain in the reinforcement relative to that in the adjacent concrete is considered:

$$\varepsilon_{sm,r} = \tau \times \varepsilon_{s2} \ge 0.4 \ \frac{\sigma_{s2}}{E_s}$$



Average strain in the reinforcement

Average strain in the reinforcement relative to that in the adjacent concrete



## Cracks due to applied loads

There is two limiting state of behaviour of a reinforced concrete member subjected to tension or bending include of uncracked section (state I) and cracked section (state II)



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# Cracks due to applied loads

- □ When cracking is due to applied loads, the loading is independent of the stiffness of the member and stabilized cracking state will occur.
- □ In the stabilized state, the average crack width  $(w_m)$  can be determined according to:

 $w_m = S_{rm} \times \varepsilon_{sm,r}$ 

 $S_{rm}$  – average crack spacing;  $\varepsilon_{sm,r}$  – average increase in strain in the reinforcement relative to surrounding concrete.

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## Cracks due to applied loads

#### □ Crack spacing

Depends on the rate of stress transferred from the reinforcement (stress due to carrying all forces at the cracked section) to the concrete.

$$\tau \pi \emptyset S_0 = A_c f_{ct}$$

 $\tau$  – bond stress;  $A_c$  – area of concrete;  $f_{ct}$  – tensile strength of concrete.

reinforcement ratio

$$\rho = \pi \emptyset^2 / 4A_c$$
$$S_0 = \frac{1}{4} \times \frac{f_{ctm}}{\tau} \times \frac{\emptyset}{\rho}$$

Extensive studies (CEB Group, 1985; Beeby and Narayanan, 2009; Balazs, 2013) show that the concrete cover has a significant influence on the crack spacing.



$$S_0 = kc + \frac{1}{4} \times \frac{f_{ctm}}{\tau} \times \frac{\phi}{\rho}$$

c – reinforcement cover; k – empirical parameter to take the influence of the concrete cover into consideration (k=1.0) Seminar

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# Cracking phenomena Cracks due to imposed deformations

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Cracks due to imposed deformations

When the formation of cracks is due to increasing of strain and not increasing of loads, the reduction of stiffness resulting from the crack formation leads to a reduction in the tensile force supported by the member.



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## Cracks due to imposed deformations

□ The first crack will occur in the highest restraint area and at the location of lowest strain capacity of concrete.



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# Cracks due to imposed deformations

Temperature variations

**C**auses:





#### Shrinkage

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# Cracks due to imposed deformations

#### Restraint factors

• Wrong calculation of the level of restraint may result in wasteful over design or under design leading to unacceptable cracking. For example, a difference in restraint factor of 0.1 from, say, 0.5 to 0.6, will result in a 20 percent increase in the estimated restrained strain and this could make the difference between no cracking and cracking, or acceptable and unacceptable crack widths.



## Cracks due to imposed deformations

#### Restraint factors (EN 1992-3)





Edge	restrain
------	----------

KEY

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- 1 Vertical restraint factors
- 2 Horizontal restraint factor
- 3 Expansion or free contraction joints
- 4 Whichever value is greater
- 5 Potential primary cracks

Restraint factors for central zone of walls		
Ratio L/H	R at base	R at top
1	0.5	0
2	0.5	0
3	0.5	0.05
4	0.5	0.5
>8	0.5	0.5

#### End restraint

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# Cracks due to imposed deformations

#### Restraint factors



#### ACI 207.2R-95

The restraint at the base can be computed from:

$$R_j = \frac{1}{1 + \frac{A_n}{A_o} \frac{E_n}{E_0}}$$

 $A_n$  – cross sectional area of the new (restrained) concrete  $A_o$  – cross sectional area of the old (restraining) concrete  $E_n$  – modulus of elasticity of the new concrete  $E_o$  – modulus of elasticity of the old concrete





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Cracks due to imposed deformations

□ Restraint factors (CIRIA C660)

restraint at the base

$$R_j = \frac{1}{1 + \frac{A_n}{A_o} \frac{E_n}{E_0}}$$



restraint at an height h

$$R = R_j \times \left[ 1.372 \left(\frac{h}{L}\right)^2 - 2.543 \left(\frac{h}{L}\right) + 1 \right] + 0.044 \left[ \left(\frac{L}{H}\right) - 1.969 \right] \left(\frac{h}{H}\right)^{1.349}$$



# Limitations of maximum allowable crack width

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Limitations of maximum allowable crack width

#### ■ EN 1992-1-1, EN 1992-3 and CIRIA C660

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Exposure Class	Reinforced members and prestressed members with unbonded tendons	Prestressed members with bonded tendons	
	Quasi-permanent load combination	Frequent load combination	
X0, XC1	0,4 <sup>1</sup>	0,2	
XC2, XC3, XC4		0,2 <sup>2</sup>	
XD1, XD2, XS1, XS2, XS3	0,3	Decompression	
<ul> <li>Note 1: For X0, XC1 exposure classes, crack width has no influence on durability and this limit is set to guarantee acceptable appearance. In the absence of appearance conditions this limit may be relaxed.</li> <li>Note 2: For these exposure classes, in addition, decompression should be checked under the</li> </ul>			
guasi-permanent combination of loads.			



For retaining water structures  $w_{max}$  is defined as a function of the hydrostatic pressure to the wall thickness (h<sub>D</sub>/h).

For  $h_D/h \le 5$ ,  $w_{max} = 0.2 \text{ mm}$ For  $h_D/h \ge 35$ ,  $w_{max} = 0.05 \text{ mm}$ 

**i** fib-model code  $2010 - w_{max} = 0.3$  mm for general cases



# Minimum reinforcement for controlling cracks

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# Minimum reinforcement for controlling cracks

- □ For prevention of bars yielding the minimum area of reinforcement must be prepared to allow the bars remain elastic during the cracking of concrete;
- □ However, using of  $A_{s,min}$ , is not a guarantee for occurrence of small cracks or achieving the maximum acceptable crack width in a reinforced concrete section;

□ For prevention of reinforcement yielding at the first crack:

 $A_s f_y > A_c f_{ct}$ 

□ Furthermore, where deformations of the concrete resulting from shrinkage or temperature change in the member itself are restrained, the internal self-equilibrating stresses will occur. These stresses occur more rapidly near the member surface and so we should use the factor *k* in the above formula to consider the non-uniformly influence of them in the cross section of a member.


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Minimum reinforcement for controlling cracks

🖵 EN 1992-1-1



 $A_{s,min}\sigma_s = k_c k f_{ct,eff} A_{ct}$ 

 $A_{ct}$  – area of concrete within tensile zone. The tensile zone is that part of the section which is calculated to be in tension just before formation of the first crack;

 $\sigma_{\rm s}$  – absolute value of the maximum stress permitted in the reinforcement immediately after formation of the crack;

 $f_{ct,eff}$  – mean value of the tensile strength of the concrete effective at the time when the cracks may first be expected to occur;

k – coefficient which allows for the effect of non-uniform self-equilibrating stresses, which lead to a reduction of restraint forces (k=1.0 for webs with  $h \le 300$  mm or flanges with widths less than 300 mm and k=0.65 for webs with  $h \ge 800$  mm or flanges with widths greater than 800 mm);

 $k_c$  – coefficient which takes account of the stress distribution within the section immediately prior to cracking and of the change of lever arm.

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Minimum reinforcement for controlling cracks

CIRIA C660 and Australian Standards



 $A_{s,min} = 3k_s A_{ct}/f_s$ 

Assumes  $f_{ctm}$ =3.0 MPa

 $A_{ct}$  – area of concrete within tensile zone. The tensile zone is that part of the section which is calculated to be in tension just before formation of the first crack;

 $f_s$  – absolute value of the maximum stress permitted in the reinforcement immediately after formation of the crack;

 $k_s$  – coefficient which allows for the effect of non-uniform self-equilibrating stresses and the stress distribution within the section immediately prior to cracking and of the change of lever arm.

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Crack width and crack spacing Approaches for applied loads

# EN 1992-1-1

### A Maximum crack width $(w_k)$

Average strain ( $\varepsilon_{sm} - \varepsilon_{cm}$ )

$$w_k = S_{r,max} \left( \varepsilon_{sm} - \varepsilon_{cm} \right)$$

*S<sub>r.max</sub>* – maximum crack spacing;

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 $\varepsilon_{sm}$  – mean strain in the reinforcement including the effect of imposed deformations and tension stiffening;

 $\varepsilon_{cm}$  – mean strain in the concrete between cracks.

$$\varepsilon_{sm} - \varepsilon_{cm} = \frac{\sigma_s - k_t \frac{f_{ct,eff}}{\rho_{p,eff}} (1 + \alpha_e \rho_{p,eff})}{E_s} \ge 0.6 \frac{\sigma_s}{E_s}$$

 $\sigma_s$  – stress in the tension reinforcement assuming a cracked section;

 $\alpha_a = E_s/E_{cm};$ 

 $f_{ct,eff}$  – mean value of the tensile strength of the concrete effective at the time when the cracks may first be expected to occur ( $f_{ct,eff} = f_{ctm}$ )

 $k_t$  – factor dependent on the duration of the load (0.6 for short term loading and 0.4 for long term loading)







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## EN 1992-1-1



$$\rho_{p,eff} = A_s / A_{c,eff}$$

 $A_s$  – area of reinforcement in tension;

 $A_{c,eff}$  – effective area of concrete in tension surrounding the reinforcement of depth,  $h_{c,eff}$ , where  $h_{c,eff}$  is the lesser of 2.5(*h*-*d*), (*h*-*x*)/3 or *h*/2



## EN 1992-1-1

- Maximum crack spacing (S<sub>r,max</sub>)
- If spacing of the bonded reinforcement  $\leq 5(c + \emptyset/2)$

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 $S_{r,max} = k_3 c + k_1 k_2 k_4 \emptyset / \rho_{p,eff}$ 

 $k_1$  – coefficient which takes account of the bond properties of the bonded reinforcement (0.8 for high bond bars and 1.6 for bars with an effectively plain surface)

 $k_2$  – coefficient which takes account of the distribution of strain (0.5 for bending and 1.0 for pure tension)

For cases of eccentric tension or for local areas

$$k_2 = (\varepsilon_1 + \varepsilon_2)/2 \varepsilon_1$$

Where  $\varepsilon_1$  is the greater and  $\varepsilon_2$  is the lesser tensile strain at the boundaries of the section considered, assessed on the basis of a cracked section



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# EN 1992-1-1

- **D** Maximum crack spacing  $(S_{r,max})$
- If spacing of the bonded reinforcement >  $5(c + \emptyset/2)$

 $S_{r,max} = 1.3 \ (h-x)$ 



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# EN 1992-1-1

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#### Control of cracking without direct calculation

#### Maximum bar diameters Ø<sup>\*</sup><sub>s</sub>

$\alpha$ $\alpha$ $\beta$						
Steel stress	Maximum bar size [mm]					
[MPa]	w <sub>k</sub> = 0,4 mm	w <sub>k</sub> = 0,3 mm	w <sub>k</sub> = 0,2 mm			
160	40	32	25			
200	32	25	16			
240	20	16	12			
280	16	12	8			
320	12	10	6			
360	10	8	5			
400	8	6	4			
450	6	5	-			

Table 7.2N

Maximum bar spacing

Steel stress <sup>2</sup>	Maximum bar spacing [mm]					
[MPa]	w <sub>k</sub> =0,4 mm	w <sub>k</sub> =0,3 mm	w <sub>k</sub> =0,2 mm			
160	300	300	200			
200	300	250	150			
240	250	200	100			
280	200	150	50			
320	150	100	-			
360	100	50	-			

#### Assumptions:

- *c* = 25mm;
- $f_{\rm ct,eff} = 2,9 {\rm MPa};$
- $h_{\rm cr} = 0,5;$
- (*h*-*d*) = 0,1*h*;
- $k_1 = 0,8;$
- $k_2 = 0,5;$
- $k_c = 0,4;$ 
  - *k* = 1,0;
- $k_{\rm t} = 0,4$
- k' = 1,0

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# EN 1992-1-1

- Control of cracking without direct calculation
- The maximum bar diameter should be modified as follows

For Bending (at least part of section in compression)

$$\phi_s = \phi_s^* \left( f_{ct,eff} / 2.9 \right) \frac{k_c h_{cr}}{2(h-d)}$$

For tension (uniform axial tension)

$$\emptyset_s = \emptyset_s^* \left( f_{ct,eff} / 2.9 \right) \frac{h_{cr}}{8(h-d)}$$

 $\mathcal{O}_s$  – adjusted maximum bar diameter

 $\mathcal{O}_{s}^{*}$  – maximum bar size given in the Table 5.1

h – overall depth of the section

 $h_{cr}$  – depth of the tensile zone immediately prior to cracking, considering the characteristic values of axial forces under the quasi-permanent combination of actions

*d* – effective depth to the centroid of the outer layer of reinforcement



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# fib-Model Code 2010

*fib* Model Code for Concrete Structures 2010

**Design crack width**  $(w_d)$ 

$$w_d = 2l_{s,max}(\varepsilon_{sm} - \varepsilon_{cm} - \varepsilon_{cs})$$

 $I_{s,max}$  – length over which slip between concrete and steel occurs. The steel and concrete strains, which occur within this length, contribute to the width of crack

 $\varepsilon_{sm}$  – average steel strain over the length  $I_{s,max}$ 

 $\varepsilon_{cm}$  – average concrete strain over the length  $I_{s,max}$ 

 $\varepsilon_{cs}$  – strain of the concrete due to (free) shrinkage

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# fib-Model Code 2010

*fib* Model Code for Concrete Structures 2010

$$l_{s,max} = kc + \frac{1}{4} \times \frac{f_{ctm}}{\tau_{bms}} \times \frac{\emptyset_s}{\rho_{s,eff}}$$

k – empirical parameter to take the influence of the concrete cover into consideration; as a simplification, k=1.0 can be assumed

c – concrete cover (c  $\leq$  75 mm)

 $au_{bm}$  – mean bond strength between steel and concrete (determined according to the table)

	Crack formation stage	Stabilized cracking stage			
Short term,	$\tau_{bms} = 1.8 \cdot f_{ctm}(t)$	$\tau_{bms} = 1.8 \cdot f_{ctm}(t)$			
instantaneous	$\beta = 0.6$	$\beta = 0.6$			
loading	$\eta_r = 0$	$\eta_r = 0$			
Long term,	$\tau_{bms} = 1.35 \cdot f_{ctm}(t)$	$\tau_{bms} = 1.8 \cdot f_{ctm}(t)$			
repeated	$\beta = 0.6$	$\beta = 0.4$			
loading	$\eta_r = 0$	$\eta_r = 1$			

Table 7.6-2

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## fib-Model Code 2010

*fib* Model Code for Concrete Structures 2010

**D** Relative mean strain ( $\varepsilon_{sm} - \varepsilon_{cm} - \varepsilon_{cs}$ )

$$\varepsilon_{sm} - \varepsilon_{cm} - \varepsilon_{cs} = \frac{\sigma_s - \beta \times \sigma_{sr}}{E_s} - \eta_r \times \varepsilon_{sh}$$

 $\sigma_s$  – steel stress in a crack

 $\sigma_{sr}$  – maximum steel stress in a crack in the crack formation stage, which, for pure tension, is:

$$\sigma_{sr} = \frac{f_{ctm}}{\rho_{s,ef}} (1 + \alpha_e \rho_{s,ef})$$

 $\beta$  – empirical coefficient to assess the mean strain over  $I_{s,max}$  depending on the type of loading (table 7.6-2)

 $\eta_r$  – coefficient for considering the shrinkage contribution

$$\varepsilon_{sh} - \text{shrinkage strain}$$

$$\alpha_e = \frac{E_s}{E_c}$$

$$\rho_{s,ef} = \frac{A_s}{A_{c,ef}}$$



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# fib-Model Code 2010

*fib* Model Code for Concrete Structures 2010

- These equations are valid for determining the surface crack width of members under the pure tension.
- For members subjected to bending, the values of crack width are obtained at the level of the reinforcement.
- In bending, crack spacing and crack width will be larger at the extreme tensile fiber.
- □ Therefore, in this situation the value of crack width at the extreme tensile fiber should be multiplied by a factor of (h-x)/(d-x)

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# ACI 224.1R



- □ Maximum crack width (*w*)
- For elements in bending:

$$w = 0.046\beta f_s \sqrt[3]{d_c A} \times 10^{-6}$$

 $\beta$  – ratio of distance between neutral axis and tension face to distance between neutral axis and centroid of reinforcing steel (taken as approximately 1.20 for typical beams in buildings);

*fs* – reinforcing steel stress;

- dc thickness of cover from tension fiber to center of the closest bar;
- A area of concrete symmetric with reinforcing steel divided by number of bars.

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## ACI 224.2R

- □ Maximum crack width (*w*)
- For elements in direct tension:

 $w = 4\varepsilon_s t_e$ 

 $\epsilon_s$  – tensile strain in reinforcing bar assuming no tension in concrete;

 $t_e$  – effective concrete cover:

$$t_e = d_c \sqrt{1 + \left(\frac{s}{4d_c}\right)^2}$$

However, ACI ignores the contribution of concrete between cracks!



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# JSCE Guidelines N 15

□ Maximum crack width (*w*) for elements in bending

$$w = 1.1k_1k_2k_3\{4c + 0.7(c_s - \emptyset)\}\left[\frac{\sigma_{se}}{E_s} + \varepsilon_{csd}'\right]$$

 $k_1$  – constant to take into account the effect of surface geometry of reinforcement on crack width (1.0 for deformed bars and 1.3 for plain bars)

 $k_2$  – constant to take into account the effect of concrete quality on crack width (it represents the effect of changes in bonding characteristics between the reinforcement and the concrete due to changes of concrete quality on crack width):

$$k_2 = \frac{15}{f_c' + 20} + 0.7$$

 $k_3$  – constant to take into account the effect of multiple layers of tensile reinforcement on crack width:

$$k_3 = \frac{5(n+2)}{7n+8}$$

n – number of the layers of tensile reinforcement

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# JSCE Guidelines N 15

Maximum crack width (w) for elements in bending

$$w = 1.1k_1k_2k_3\{4c + 0.7(c_s - \emptyset)\}\left[\frac{\sigma_{se}}{E_s} + \varepsilon_{csd}'\right]$$

*c* – concrete cover

- $c_s$  center-to-center distance of tensile reinforcements
- $\phi$  diameter of tensile reinforcement

 $\varepsilon'_{csd}$  – compressive strain for evaluation of increment of crack width due to shrinkage and creep of concrete (it should be determined with the consideration of shape of cross section of the member, environmental condition, magnitude of stress and etc.)

 $\sigma_{se}$  – increment of stress of reinforcement from the state in which concrete stress at the portion of reinforcement is zero

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Indian Standards IS 3370-2

- Maximum crack width (w)
- For elements in bending

$$w = \frac{3a_{cr}\varepsilon_m}{1 + \frac{2(a_{cr} - C_{min})}{D - x}}$$

 $a_{cr}$  – distance from the point considered to the surface of the nearest longitudinal bar (for points close to the bars,  $a_{cr}$  is equal to the cover);

 $\varepsilon_m$  – average strain at the level where the cracking is being considered;

 $C_{min}$  – minimum cover to the tension steel;

D – overall depth of the member;

x – depth of neutral axis.



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## Indian Standards IS 3370-2

**Average strain** ( $\varepsilon_m$ )

$$\varepsilon_m = \varepsilon_1 - \varepsilon_2$$

 $\varepsilon_1$  – strain at the level considered

 $\varepsilon_2$  – strain due to stiffening effect of concrete between cracks

$$\varepsilon_2 = \frac{b_t (D-x)(a'-x)}{3E_s A_s (d-x)}$$

crack width of 0.2 mm

$$\varepsilon_2 = \frac{1.5b_t(D-x)(a'-x)}{3E_sA_s(d-x)}$$

crack width of 0.1 mm

- $b_t$  width of section the centroid of the tension steel
- $E_s$  modulus of elasticity of reinforcement
- $A_s$  area of tension reinforcement
- d effective depth

a' – distance from the compression face to the point at which the crack width is being calculated <sup>91</sup>



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Indian Standards IS 3370-2

- □ Maximum crack width (*w*)
- For elements in direct tension

$$w = 3a_{cr}\varepsilon_m$$

$$\varepsilon_{m} = \varepsilon_{1} - \varepsilon_{2}$$

$$\varepsilon_{2} = \frac{2b_{t}D}{3E_{s}A_{s}} \quad \text{crack width of } 0.2 \text{ mm}$$

$$\varepsilon_{2} = \frac{b_{t}D}{3E_{s}A_{s}} \quad \text{crack width of } 0.1 \text{ mm}$$



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# Crack width and crack spacing Approaches for imposed deformations

# EN 1992-1-1

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□ "For cracking caused dominantly by restraint, the bar sizes given in Table 7.2N are not exceeded where the steel stress is the value obtained immediately after cracking (i.e.  $\sigma_s$  in Expression (7.1))."



Expression (7.1):  $A_{s,min}\sigma_s = k_c k f_{ct,eff} A_{ct}$ 

# EN 1992-3

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**D** Maximum crack width  $(w_k)$ 

$$w_k = S_{r,max} \left( \varepsilon_{sm} - \varepsilon_{cm} \right)$$



(a) restraint of a member at its ends

(b) restraint along one edge

• End restraint

$$(\varepsilon_{sm} - \varepsilon_{cm}) = 0.5\alpha_e k_c k f_{ct,eff} \left(1 + 1/(\alpha_e \rho)\right) / E_s$$

 $\rho = A_s / A_{ct}$ 

k – coefficient which allows for the effect of non-uniform self-equilibrating stresses, which lead to a reduction of restraint forces;

 $k_c$  – coefficient which takes account of the stress distribution within the section immediately prior to cracking and of the change of lever arm.

### EN 1992-3

- End restraint
- For checking the cracking without direct calculation

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 $\sigma_s = k_c k f_{ct,eff} / \rho$ 

#### Maximum bar diameter



#### Key

X reinforcement stress,  $\sigma_{s}$  (N/mm<sup>2</sup>)

Y maximum bar diameter (mm)

#### Maximum bar spacing



Key

X reinforcement stress,  $\sigma_{s}$  (N/mm<sup>2</sup>)

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Y maximum bar spacing (mm)



# EN 1992-3

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### Anaximum crack width $(w_k)$

$$w_k = S_{r,max} \left( \varepsilon_{sm} - \varepsilon_{cm} \right)$$



(a) restraint of a member at its ends

(b) restraint along one edge

#### • One edge restraint

"Unlike the end restrained situation, the formation of a crack in this case only influences the distribution of stresses locally and the crack width is a function of the restrained strain rather than the tensile strain capacity of the concrete."

$$(\varepsilon_{sm} - \varepsilon_{cm}) = R_{ax}\varepsilon_{free}$$

 $R_{\alpha x}$  – restraint factor;  $\varepsilon_{free}$  – strain which would occur if the member was completely unrestrained

## CIRIA C660

Ends restraint 



The method of CIRIA C660 for condition of ends restraint is similar to EN 1992-3 ٠

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 $w_k = S_{r,max} 0.5 \alpha_e k_c k f_{ct,eff} \left(1 + 1/(\alpha_e \rho)\right) / E_s$ 

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#### One edge restraint

$$w_k = S_{r,max} \varepsilon_{cr}$$

 $\varepsilon_{cr}$  – crack-inducing strain

CIRIA C660

□ Crack-inducing strain

$$\varepsilon_{cr} = \varepsilon_r - 0.5 \ \varepsilon_{ctu}$$

Where:

 $\varepsilon_r = K_1 \{ [\alpha_c T_1 + \varepsilon_{ca}] R_1 + \alpha_c T_2 R_2 + \varepsilon_{cd} R_3 \}$ 

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 $\varepsilon_{ctu} = 1.01 (f_{ctm}/E_{cm}) \times 10^6 + 8.4 \ microstrain$ 

 $K_1$  – coefficient for the effect of stress relaxation due to creep under sustained loading;

 $\alpha_c$  – coefficient of thermal expansion of concrete;

 $T_1$  – difference between the peak temperature,  $T_p$ , and the mean ambient temperature  $T_a$ ;

 $T_2$  – long term fall in temperature which takes into account the time of year at which the concrete was cast;

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 $\varepsilon_{ca}$  – autogenous shrinkage;

 $\varepsilon_{cd}$  – drying shrinkage;

 $R_1$  – restraint factor that applies during the early thermal cycle;

 $R_2 R_3$  – restraint factors applying to long-term thermal movement and drying shrinkage respectively.



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# fib-Model Code 2010

*fib* Model Code for Concrete Structures 2010

For imposed deformation, the crack formation stage applies when the mean strain satisfies the following condition:

$$\varepsilon = \frac{\Delta L}{L} \le \frac{\sigma_{sr}(1-\beta)}{E_s}$$

If the mean strain is larger than this value, the stabilized cracking stage applies.
 However, "under imposed deformation the stabilized cracking stage is usually not reached".

fib recommend the same equation for calculation of crack width in all stages of cracking:

$$w_d = 2l_{s,max}(\varepsilon_{sm} - \varepsilon_{cm} - \varepsilon_{cs}) = 0$$
 if in crack formation stage

	Crack formation stage	Stabilized cracking stage
Short term,	$\tau_{bms} = 1.8 \cdot f_{ctm}(t)$	$\tau_{bms} = 1.8 \cdot f_{ctm}(t)$
instantaneous	$\beta = 0.6$	$\beta = 0.6$
loading	$\eta_r = 0$	$\eta_r = 0$
Long term,	$\tau_{bms} = 1.35 \cdot f_{ctm}(t)$	$\tau_{bms} = 1.8 \cdot f_{ctm}(t)$
repeated	$\beta = 0.6$	$\beta = 0.4$
loading	$\eta_r = 0$	$\eta_r = 1$

Do not consider the restraint factor!!

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## Discussion

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## Examples

#### Example 1: RC Tie under external tension

Assumptions:

- RC Tie with one bar at the center and under tensile applied load
- cross section: 10 cm x 10 cm
- Reinforcement: 1  $\phi$ 16 mm
- Concrete class: C20/25, f<sub>ctm</sub> = 2.2 MPa
- Steel class: S400,  $f_{vk}$  = 400 MPa
- External load: F = 35 kN

#### Example 2: Reinforced concrete slab restrained at ends only without applied loads

Assumptions:

- Slab geometry dimensions: 0.15 m x 1.0 m x 10 m
- Reinforcement: 5  $\phi$ 12 mm (top) & 5  $\phi$ 12 mm (bottom)
- Concrete cover: c=30 mm
- Concrete class: C20/25, f<sub>ctm</sub>= 2.2 MPa
- Steel class: S400, f<sub>vk</sub> = 400 MPa
- Relative humidity: 50%

•  $h_0 = \frac{2A_c}{n} = 150 \text{ mm}$ 

- Drying shrinkage started after 28 days
- Ignoring the self-weight of concrete
- Ignoring the autogenous shrinkage

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## Examples

#### **Example 3: Reinforced concrete wall restrained at base on the foundation** Assumptions:

- Wall geometry dimensions (condition 1): 0.15 m x 3.0 m x 12 m
- Wall geometry dimensions (condition 2): 0.15 m x 3.0 m x 24 m
- Wall geometry dimensions (condition 3): 0.15 m x 3.0 m x 48 m
- Foundation geometry dimensions: height=1.5 m & width=2.5 m
- Horizontal wall reinforcement:  $\phi$ 12||20 cm
- Vertical wall reinforcement:  $\phi$ 12||20 cm
- Foundation reinforcement:  $\phi$ 16||20 cm
- Concrete cover to the outer surface of vertical reinforcement: c=30mm
- Other properties are similar to example 2.



Illustrated of the result of different approaches in calculation of crack width and crack spacing											
	Including methods		Including factors		Example 1 RC Tie under external tension		Exan	Example 2		Example 3	
							Slab with ends restrained		Wall restrained at base		
	Applied load cracking	Imposed deformation cracking	Restrained factors	Shrinkage strain	S <sub>r,max</sub> (mm)	<i>w<sub>k</sub></i> (mm)	S <sub>r,max</sub> (mm)	w <sub>k</sub> (mm)	S <sub>r,max</sub> (mm)	<i>w<sub>k</sub></i> (mm)	
Approaches											
EN 1992-1-1	$\checkmark$	×	×	×	415	0.26	-	-	-	-	
EN 1992-3	×	✓	✓	✓	-	-	195	0.15	697.5	0.19	
CIRIA C660	×	✓	✓	~	-	-	195	0.15	697.5	0.15*	
fib-Model Code 2010	✓	✓	×	✓	322.22	0.4	368.3	0.3	693.44	0.4	
ACI	✓	×	×	×	168	0.14	-	-	-	-	
JSCE guideline	~	×	×	✓	-	-	-	-	-	-	
Indian standard	~	×	×	×	126	0.18	-	-	-	-	
Canadian standard	×	×	×	×	-	-	-	-	-	-	
Australian standard	×	×	×	×	-	-	-	-	-	-	
Notes:				•	•	•	•	•	•	•	

dash sign (-) in each block shows that related approaches did not recommended any method for calculation of crack spacing and crack width in that situation

\* the value of crack width for wall restrained at base calculated with ignoring the influence of early-age and long-term thermal cracking and autogenous shrinkage to be comparable with method of EN 1992-3

# Numerical analyses of the combined effects of external actions and restrained shrinkage deformations in slabs

Carlos Sousa, Rui Faria, Emanuel Felisberto CONSTRUCT, University of Porto, Portugal









Universidade do Minho





Motivation and objectives

- Cracking control in restrained RC building slabs
- Determination of minimum required reinforcement (  $w \le w_{\text{limit}}$  )
- Important parameter to be determined: axial force due to restrained shrinkage (slab restrained by rigid walls)
- □ Usual assumption for this parameter:  $N_{cr} \cong A_c \times f_{ctm}$ (in general, is very conservative; in special cases is not on the safe side)
- Analysis procedure: nonlinear FE analysis
- □ Soil structure interaction is considered, with two scenarios:
  - granite residual soil
  - rock mass



## Outline of the presentation

- Motivation and objectives
- Case study
- **FE** modelling approach
- □ Analysis results
- □ Concluding remarks

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# Case study

- RC building with dimensions in plan of 60×32m<sup>2</sup>
- ❑ 0,20m thick solid slab; RC beams
- Direct foundation on rock or granite residual soil
- ❑ Analysis of first floor above foundation
- **C**25
  - RH<sub>average</sub> = 60%; T<sub>average</sub> = 20<sup>o</sup>C
- Shoring removed 28 days after casting
- Quasi-permanent load: 3kN/m2 + self-weight






Case study

- 8-node shell finite elements (FEs)
- Numerical integration along the FE thickness
- Software DIANA
- Phased analysis
- Concrete elements activated 1 day after casting
- Analysis ends at 30 years





## FE modelling approach

Smeared crack model with strain decomposition (and multiple fixed cracks):



Crack strain



C. Sousa



## FE modelling approach

#### Properties of the foundation materials:

	Residual granite soil (W5)	Rock mass (W3)	-
Modulus of elasticity, E	80 MPa	6000 MPa	
Coefficient of Poisson	0,35	0,20	
Friction angle	38°	<b>52</b> °	Mohr Coulomb
Cohesion	10 kPa	300 kPa	
Dilation angle	5⁰	5⁰	

#### Simulation of the foundation deformability:

	Solo	Rocha
Vertical stiffness	$3,38 \times 10^4 \text{ kN/m}^3$	$2,31 \times 10^{6}  kN/m^{3}$
Horizontal stiffness (tangential)	$2,95 \times 10^4 \text{ kN/m}^3$	$2,17 \times 10^{6} \text{ kN/m}^{3}$
Rotational Stiffness	$7,13 \times 10^3$ kNm/m/rad	$4,89  imes 10^5$ kNm/m/rad

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### Analysis results

Crack pattern, at 30 years, at the <u>top</u> slab surface:

Foundation in soil (W3):



Rigid supports at the base of the wall:

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## Analysis results

Crack pattern, at 30 years, at the <u>bottom</u> slab surface:

Foundation in soil (W3):



Rigid supports at the base of the wall:



## Analysis results Axial force along line L:



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# Analysis results Axial force along line L:

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#### Analysis <u>without</u> cracking effects:



Analysis with cracking effects:

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## Concluding remarks

Calculation of  $a_{s,req}$  for cracking control (  $w \le w_{limit}$  ):

- Non-linear analysis (NLA) considering a feasible amount of reinforcement in the slab and in the walls;
- $\Box$  Estimation of  $a_{s,req}$  considering the efforts determined in the previous NLA;
- New NLA, with the new amount of reinforcement, to confirm that the modification of the applied efforts is negligible



Conclusions

- □ Cracking in restrained RC slabs is strongly affected by foundation characteristics.
- □ In the analyzed structures, the axial force in the slab is significantly lower than  $N_{cr} \cong A_c \times f_{ctm}$
- □ Critical positions: close to the corners of the slab (cracks at ~45° with respect to the wall directions).
- □ The Mohr-Coulomb Model had a minor influence on the analysis results, in the structure supported by granite residual soil.
- The analysis procedure adopted in this work has potentialities to be used in the design of large restrained slabs.

# Design challenge for reinforcement in a highly restrained slab

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- ❑ Challenge 1: Quantify the reinforcement necessary for an adequate control of crack widths (w<sub>k</sub><0.3mm) due to restrained shrinkage/temperature. In this part of the challenge, ignore the existence of applied loads and therefore disregard any bending reinforcement in the slab.</p>
- □ Challenge 2: Considering the combined effect of applied loads and restrained shrinkage/temperature, quantify the necessary reinforcement and present the corresponding construction drawings for the slab.



Section A-A

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#### Assumptions:

- Concrete class C20/25; Steel class S400C; Concrete cover 30mm
- Environment: constant temperature T=20<sup>o</sup>C and constant humidity RH=50%
- □ Slab is 15cm deep, with plan dimensions of 5m × 50m
- □ Slab is supported in 30 × 50cm beams of the same type of concrete/steel
- □ The beams are supported at their extremities by 30 × 30cm columns (3m tall), which are in turn rigidly fixed at their base.
- Disregard autogenous shrinkage and consider that drying and loading both start at t=28 days.
- At the extremities, the slab is rigidly connected to two massive concrete elements of 5×5×3m. Assume that the massive elements are hardened concrete with more than 1 year old, in thermal equilibrium with the surrounding environment. The massive elements are rigidly connected to an infinitely stiff foundation.
- □ Apart from self-weight, the slab has additional permanent loads  $g_k=2 \text{ kN/m}^2$  and a live load  $q_k=2 \text{ kN/m}^2$  ( $\psi_2=0.3$ ) Residential building Category A according to EC1

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Participation

M. Azenha et al.



PROJETISTAS E CONSULTORES DE ENGENHARIA









Comparison of results made on anonymous base: Group 1 to Group 7



## Comparison of results – Criteria for quantitative comparison

 Discussion partially based on the global reinforcement area at the top surface (cross section over the support beam) and bottom surface (cross section through the midspan). Example below for assessment of reinforcement area for group 1 on Top surface (support).



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In the signaled cross-section (support), Group 1 has  $\phi 10/10$  along 3m and  $\phi 12//0.10$  along 2.0m (challenge 2). This corresponds to a total TOP reinforcement area at the cross-section of 7.9cm<sup>2</sup>/m x 3.0m + 11.3cm<sup>2</sup>/m x 2.0m = 46.5cm<sup>2</sup> (challenge 2)

Comparison of results – Criteria for quantitative comparison



In the signaled cross-section (mid-span), Group 1 has  $\phi$ 12/20 along 5m (challenge 2). This corresponds to a total BOTTOM reinforcement area at the cross-section of 5.65cm<sup>2</sup>/m x 5.0m = 28.25cm<sup>2</sup> (challenge 2)

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## Global reinforcement in a single bay (only compared in Chal. 2)



**Bottom** 

Single bay reinforcement calculated only for longitudinal reinforcement, and disregarding splices due to long reinforcements for all participants.

Global reinforcement calculated in Kg, considering  $\phi$ 8 with 0.39kg/m,  $\phi$ 10 with 0.62kg/m and  $\phi$ 12 with 0.89kg/m.

Example for calculation of the global reinforcement for Group 1

Top reinforcement:  $\frac{\phi}{10}/10$  (3.6m length and 5m width) +  $\frac{\phi}{10}/10$  (2m length and 3m width) +  $\frac{\phi}{10}/10$  (2m length and 3m width) Bottom reinforcement:  $\phi 12/20$  (5m length and 5m width)

Total weight of reinforcement: ~297 kg

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#### Challenge1: Restrained shrinkage/temperature Methodological aspects and results

Group 1

- Following the approach proposed by Luís, R (2005) "Análise e dimensionamento de estruturas de betão com sobreposição de efeitos de deformações impostas" (MSc thesis in Portuguese)
- Evaluate the cracking force of the slab and ensure reinforcement to avoid yielding (f<sub>yk</sub>) upon first crack opening.
- Assume a reduction factor (shown in the table below) for the tensile force acting on the slab, according to Luis, R (2005).
- Check if the reinforcement designed above is enough to attain adequate crack width control according EN1992-1-1:2004. Evaluate stress in reinforcement using expression 7.1 of EN1992 and infer the crack width with basis on Tables 7.2/7.3 of EN1992-1-1:2004.

		Δε <sub>cs</sub> - Extensão de Retracção				
		0,10‰	0,20‰	0,30‰	0,40‰	0,50‰
p % de Armadura da secção em análise	0,50%	0,30	0,40	0,45	0,475	0,50
	0,80%	0,27	0,35	0,40	0,425	0,45
	1,00%	0,25	0,35	0.35	0.40	0.40
				Group 1,	Table of r	eduction fa

• Final solution: Top and bottom surfaces of the slab reinforced with  $\phi$ 12//20, with  $w_k$ =0.2mm.

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Challenge1: Restrained shrinkage/temperature Methodological aspects and results

Group 2

 Calculate the minimum reinforcement according to EN1992-1-1:2004 expression 7.1, and assuming a non-yielding criterion (f<sub>vk</sub>).

 $A_{s,min}\sigma_s = k_c k f_{ct,eff} A_{ct}$ 

• Explicit calculation of crack width, using the approach of EN1992-1-1:

$$w_k = s_{r,max} \left( \varepsilon_{sm} - \varepsilon_{cm} \right)$$

With consideration that

$$(\varepsilon_{sm} - \varepsilon_{cm}) = \varepsilon_{cs}$$

• Final solution: Top and bottom surfaces of the slab reinforced with  $\phi 8//10$ , with  $w_k=0.26$  mm.

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Challenge1: Restrained shrinkage/temperature Methodological aspects and results

- Approach similar approach to that of Group 1, through application of a reduction of a reduction factor (45%) to the post-cracking tensile force acting on the slab. This allows the calculation of the stress in longitudinal reinforcement at post-cracked stage with expression (7.1) of EN1992-1-1:2004.
- Explicit calculation of crack width, based on expression (7.9) of EN1992-1-1 (no indication of any adaptations due to shrinkage strain effects).
- Final solution: Top and bottom surfaces of the slab reinforced with  $\phi 10//15$ , with  $w_k=0.298$ mm.

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Challenge1: Restrained shrinkage/temperature Methodological aspects and results

- Not discussed here. See the deformation based method explained in the last chapter of this publication.
- Final solution: Top and bottom surfaces of the slab reinforced with  $\phi 8//10$ , with  $w_k < 0.30$  mm.

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#### Challenge1: Restrained shrinkage/temperature Methodological aspects and results

Group 5

• No response given to design challenge 1

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Challenge1: Restrained shrinkage/temperature Methodological aspects and results

#### Group 6

Initial simplified calculation of minimum reinforcement area according to EN1992-1-1, equation 9.1:

$$A_{\rm s,min} = 0,26 \frac{f_{\rm ctm}}{f_{\rm yk}} b_{\rm t} d$$

• Correction of the attained reinforcement by successive application of crack width calculations, under the assumption that (similar to Group 2)

 $w_{k} = s_{r,max} (\varepsilon_{sm} - \varepsilon_{cm})$ with  $(\varepsilon_{sm} - \varepsilon_{cm}) = \varepsilon_{cs}$ 

• Final solution: Top and bottom surfaces of the slab reinforced with  $\phi 8//10$ , with  $w_k=0.256$  mm.

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Challenge1: Restrained shrinkage/temperature Methodological aspects and results

#### Group 7

 Consideration of the direct crack width calculation expressions of EN1992-3 (Annex M) and CIRIA 600

$$w_{k} = \frac{0.5\alpha_{E}k_{c}kf_{ct,eff}}{E_{s}} \left(1 + \frac{1}{\alpha_{E}\rho}\right) s_{r,máx}$$
$$s_{r,máx} = 3.4c + 0.425 \frac{k_{1}\emptyset}{\rho_{eff}}$$

- Reduction of the cracking force for the purpose of crack width calculations, according to the reccomendations of "Crack control for imposed deformations" by J. Câmara and R. Luís (2007). A coefficient of 0.8 was used.
- Final solution: Top and bottom surfaces of the slab reinforced with  $\phi$ 10//10, with  $w_k$ =0.34mm.

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Challenge1: Restrained shrinkage/temperature Summary of results for top/bottom area



Three main approaches:

- Reduction factor on cracking force, according to Luís, R. on G1, G3, G7. Higher reinforcement in G7 due to choice of a higher reduction factor than G1, G3.
- Considering shrinkage strain as the single strain parameter in crack width calculation on G2 and G6.
- Deformation-based approach by G4 (see last presentation)

Global scatter of results is relatively small (G7 excluded)



Challenge 2: Combined effect of applied loads and restrained shrinkage/temperature Methodological aspects and results

- Same methodology as shown for Challenge 1: reduction factor of tensile force in service, according to the recommendations of Luís, R (2005).
- Evaluate reinforcement and crack widths in SLS and ULS according to EN1992-1-1:2004. The axial restraint force considers the same reduction factor as shown in challenge 1 (consideration of bending together with axial force). Calculation of crack width with expression 7.9 of EN1992-1-1:2004.
- Final solution:
  - Top surface (support):  $\frac{10}{10}$  (central zone 3m) +  $\frac{12}{10}$  (lateral region 2m),
  - Bottom surface (mid-span) φ12//20,
  - Crack width  $w_k$ =0.19mm.



Challenge 2: Combined effect of applied loads and restrained shrinkage/temperature Methodological aspects and results

- Initial design of reinforcement for simple bending according to EN1992 in ULS. Redistribution coefficient for negative bending moments: 0.85.
- SLS evaluated for the quasi-permanent combination in simple bending.
- Verification of crack width using an expression that includes shrinkage:

$$w_k = s_{r,max} \left( \varepsilon_{sm} - \varepsilon_{cm} + \varepsilon_{cs} \right)$$

- Relatively to the minimum reinforcement computed throughout the entire slab in challenge 1, some areas have been relieved because of the compressive stresses induced by bending moments (e.g. top surface at mid-span; bottom surface at supports). Quantitative criterion not explained, though.
- Final solution:
  - Top surface (support):  $\frac{8}{10}$ , with reduction for  $\frac{8}{20}$  in the span region
  - Bottom surface (mid-span):  $\phi 8//10$ , with reduction for  $\phi 8//20$  in the support region
  - Crack width *w*<sub>k</sub>=0.19mm.



Challenge 2: Combined effect of applied loads and restrained shrinkage/temperature Methodological aspects and results

- Design for ULS was disregarded, as simplification for this design challenge. Focus on SLS.
- SLS evaluated for the quasi-permanent combination, under bending together with axial force, including the longitudinal force resulting from reduction of the cracking force by 45%. Calculation of crack width with expression 7.9 of EN1992-1-1.
- Final solution:
  - Top surface (support):  $\frac{10}{15} + \frac{8}{15}$ , with reduction for  $\frac{10}{15}$  in the span region
  - Bottom surface (mid-span):  $\phi 10//15 + \phi 8//30$ , with reduction for  $\phi 10//15$  in the support region
  - Crack width  $w_k$ =0.268mm.



Challenge 2: Combined effect of applied loads and restrained shrinkage/temperature Methodological aspects and results

- Not discussed here. See the deformation based method explained in the last chapter of this publication.
- As compared to Challenge 1, there has been a relief of reinforcement in the areas where bending brings compression (similar situation as the response of Group 2). Top region in the span is actually unreinforced.
- Final solution:
  - Top surface (support):  $\frac{8}{10}$ , with reduction for 'no reinforcement' in the span region
  - Bottom surface (mid-span): 
    φ8//10
  - Crack width  $w_k < 0.30$  mm.



Challenge 2: Combined effect of applied loads and restrained shrinkage/temperature Methodological aspects and results

- Following CIRIA C660 guidelines in regard to crack control for both short and long term predictions. EN1992-1-1:2004 and EN1992-3:2006 used for concrete properties and reinforcement design.
- Explicit consideration of construction sequence (with FE method) to obtain restraint stresses for distinct scenarios (staged construction of slab bays)
- Using CIRIA C660 temperature spreadsheets to estimate adiabatic temperature rise and differentials at early age
- Calculation of early age strains and long term strains in the slab, considering the combined effects of temperature/shrinkage and creep in FEM MIDAS.
- Computation of "early age crack inducing strain" and "long term crack inducing strain" according to CIRIA C660. Same for tensile strain capacity
- Final solution: Top and bottom surfaces of the slab reinforced with  $\phi$ 12//20, with  $w_k$ <0.30mm.



Challenge 2: Combined effect of applied loads and restrained shrinkage/temperature Methodological aspects and results

- Initial application of a base reinforcement of φ8//15 throughout all regions of both top and bottom surfaces of the slab in satisfaction of minimum reinforcement area of equation 9.1 of EN1992-1-1:2004 (note that this is lower than the reinforcement of challenge 1, which was φ8//15).
- Calculation of additional reinforcement based on bending moments (calculation in simple bending with no explicit consideration of restraint stresses).
- Crack width calculation with REBAP, MC90 and EN1992 (method to consider the restrained shrinkage not disclosed)
- Final solution:
  - Top surface (support):  $\frac{98}{15} + \frac{98}{15}$ , with reduction for  $\frac{98}{15}$  in the span region
  - Bottom surface (mid-span):  $\phi 8//15 + \phi 8//15$ , with reduction for  $\phi 8//15$  in the support region
  - Crack width  $w_k$ =0.30mm.



Challenge 2: Combined effect of applied loads and restrained shrinkage/temperature Methodological aspects and results

- Consideration of both ULS and SLS states.
- Crack width calculation done for bending combined with axial force, with explicit consideration of the tensile force due to restrained shrinkage. The reduction coefficient was 0.5 (smaller than the one considered by the same team in design challenge 1, which had the value of 0.8).
- Crack width calculation according to standard expressions of EN1992-1-1:2004 (no adaptation of the crack width expression due to shrinkage)
- Final solution:
  - Top surface (support):  $\frac{12}{10}$ , with reduction for  $\frac{10}{10}$  in the span region
  - Bottom surface (mid-span): φ10//10
  - Crack width  $w_k$ =0.263mm.



Challenge 2: Combined effect of applied loads and restrained shrinkage/temperature Summary of results for top/bottom area



- The groups that used approaches of bending with axial force based on the reduction coefficient proposed by Luís, R (G1, G3, G7) had the largest differences between top and bottom reinforcement area.
- The approaches with crack width estimation based in simple bending of G2, G6 led to rather similar top and bottom reinforcement areas.
- G4 used a deformation based approach (see last presentation) and has the same top and bottom reinforcement
- G5 used a strain based approach mostly based on CIRIA C660. Final conclusion led to equal top and bottom reinforcement.

Comparison of results of Challenge 1 and Challenge 2 -> TOP Reinforcement

M. Azenha et al.



- The region of interest in the graphs above is the cross-section right at the support region (maximum tensile stresses due to bending).
- All groups have either the same reinforcement as shown in challenge 1, or a surplus to such reinforcement. The degree of increase is variable. Larger increases in G1, G3, G7, which are commonly using bending + axial force verification, as opposed to G2, G4, G6.
- Noteworthy to mention that the top reinforcement at mid-span (not shown in the graph above) was relieved as compared to Challenge 1, by both G2 and G4!

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Comparison of results of Challenge 1 and Challenge 2 -> BOTTOM Reinforcement



• Bottom reinforcement was kept quite similar between Challenge 1 and Challenge 2 for most groups (exception for G3).



Challenge 2 – Global longitudinal reinforcement in a single bay (all regions of the bay)



- There is a very wide dispersion of final results
- Approaches based on bending combined with axial force (adopted by G1, G3, G7) have consistently led to higher areas of reinforcement.
- The approach based on CIRIA C660 of G5 is exactly matching the average of all results.
- Lowest reinforcement needs are attained with the simple bending approaches of G2, G6.
- The lowest reinforcement quantity attained is shown by the deformation based approach (see last presentation).
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#### **Final notes**

- The wide dispersion of results reached by different designers is not surprising at all. In fact, different design codes include different assumptions which have very important implications on the final result. Such differences can be found even in codes which follow, globally, the same approach for direct calculation of the crack width, for example the Eurocode 2 [EN 1992-1-1 (2004)] and the fib Model Code 2010.
- Naturally, design codes do not provide calculation procedures to determine the actual crack opening which will be observed in the real structure. Instead, the codes are reference documents which define minimum requirements and give guidelines to minimize the dispersion of the results reached by different practitioners. Design codes evolve over time, as the knowledge about each engineering problem also evolve, and new experiences are consolidated.
- Obviously one cannot say that there is an exact answer to the Challenges 1 and 2. This is especially true if the codes and guidelines to be followed in the design are not fixed beforehand. Having all these caveats in mind, the following slides disclose the values reached by the IntegraCrete team. The reference method for assessing the nonlinear, time-dependent, behavior of the structure is the one shown in the 4th presentation, by C. Sousa et al. Two different design codes are considered: the Eurocode 2 and the fib Model Code 2010.

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# Challenge1: Restrained shrinkage/temperature

- IntegraCrete Team results
- The required reinforcement can be estimated based on:
  - 1) The axial force in the restrained RC tie. This should be taken as the cracking force. The cracking force decreases along time, due to the effects of shrinkage restrained by the reinforcement. We adopt, in these calculations, the cracking force at long term, herein denoted by  $N_{cr,\infty}$ . Some comments regarding this assumption can be found in the next slides.
  - 2) A numerical procedure for calculation of the crack width, for a given steel stress at the crack (this steel stress is given by  $N_{cr,\infty}/A_s$ ). Alternative procedures for calculation of the crack width are considered here, the ones proposed by the Eurocode 2 and the *fib* Model Code 2010 (MC2010). The addition of a free shrinkage deformation term,  $|\varepsilon_{sh}|$  in these calculations is also discussed.

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Challenge1: Restrained shrinkage/temperature

IntegraCrete Team results

• For Step 1), the calculation of the cracking force at long term,  $N_{cr,\infty}$ , can be made as follows:

Firstly, the concrete stress, in uncracked sections, due to the restraint induced by the reinforcement has to be calculated:

$$\sigma_{ci} = \frac{\varepsilon_{sh} E_s \rho_{eff}}{1 + \frac{E_s}{E_{c,adj}} \rho_{eff}}$$

Then, the cracking force is given by:

$$N_{cr,\infty} = (f_{ctm} - \sigma_{ci}) A_c \left(1 + \frac{E_s}{E_{c,adj}} \rho_{eff}\right)$$

The non-linear analyses, following the procedure shown in the 4<sup>th</sup> presentation (by C. Sousa et al.), confirm the validity of these formulas.

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Challenge1: Restrained shrinkage/temperature IntegraCrete Team results

• As regards the procedure for calculation of the crack width , for a given steel stress at the crack [Step 2)], two different design guidelines are considered here: the Eurocode 2 and the MC2010.

It is important to note that none of these guidelines considers a free shrinkage deformation term in this calculation. That is, these guidelines state that the crack opening should be calculated as<sup>1</sup>:

$$w = s_{r,max}(\varepsilon_{sm} - \varepsilon_{cm})$$

However, that is not coherent with the actual structural behavior of a reinforced concrete member. Locally, around a crack, the shrinkage strains contribute to the increase of the relative deformations between the concrete and the steel and, consequently, to the increase of the crack opening. Therefore, in this discussion, we also show the results of calculations in which such free shrinkage deformations are taken into account. Those are denoted by "adaptations" of the Eurocode 2 and MC2010 procedures, and consist of calculating the crack opening as:

$$w = s_{r,max}(\varepsilon_{sm} - \varepsilon_{cm} + |\varepsilon_{sh}|)$$

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## Challenge1: Restrained shrinkage/temperature

IntegraCrete Team results

By using the latter equation, the analysis procedure is coherent with the use (in Step 1) of the cracking force at long term. The crack opening increases along time, and the maximum value is reached at long term, but only if the approach represented by the latter equation is followed. Non-linear analyses confirm that. That is also acknowledged in the paper of Câmara and Luís<sup>1</sup>, cited by some of the participants in the Design Challenge.

The following table summarizes the calculation results. The area of reinforcement shown ( $a_{s,bot} = a_{s,top}$ ) is the value needed to meet the condition  $w \le 0.30$ mm. The cracking force and the  $s_{r,max}$  value are also shown. Note that the cracking force depends on the amount of steel reinforcement. For  $a_{s,top} = a_{s,bot} \approx 6 \text{ cm}^2/\text{m}$ , the cracking force  $N_{cr,\infty}$  is approximately 80% of  $A_c f_{ctm}$ .

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# Challenge1: Restrained shrinkage/temperature

IntegraCrete Team results

Procedure for calculation of w	$a_{s,bot} = a_{s,top} =$ (cm <sup>2</sup> /m)	<i>N<sub>cr,∞</sub></i> (kN/m)	$s_{r,max}$ or 2 $l_{s,max}$ (m)
Eurocode 2 <sup>1</sup> $w = s_{r,max}(\varepsilon_{sm} - \varepsilon_{cm})$	5.9	269	0.447
$MC2010^{1}$ $w = 2 l_{s,max}(\varepsilon_{sm} - \varepsilon_{cm})$	4.1	285	0.608
Adaptation of Eurocode 2 <sup>2</sup> $w = s_{r,max}(\varepsilon_{sm} - \varepsilon_{cm} +  \varepsilon_{sh} )$	9.0	230	0.385
Adaptation of MC2010 <sup>2</sup> $w = 2 l_{s,max}(\varepsilon_{sm} - \varepsilon_{cm} +  \varepsilon_{sh} )$ $(\eta_r = 1; \tau_{bms} = 1.8 f_{ctm}; \beta = 0.6)$	7.2	251	0.448

Note <sup>1</sup>: In the calculation of the transfer length, the steel bar diameter was taken as 8mm Note <sup>2</sup>: In the calculation of the transfer length, the steel bar diameter was taken as 10mm

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Challenge1: Restrained shrinkage/temperature

IntegraCrete Team results

 It is important to realize that the amount of reinforcement provided in the structure shall not be smaller than the minimum area to avoid yielding upon the formation of the first crack. Such area is determined by the condition:

$$A_{s} = \frac{1}{f_{yk}} A_{c} f_{ctm} \left( 1 + \frac{E_{s}}{E_{c}} \frac{A_{s}}{A_{c}} \right)$$

The result is  $a_{s,top} = a_{s,bot} = 4.3 \text{ cm}^2/\text{m}$ 

• Looking at the results in the previous table, one can see that the inclusion of the term  $|\varepsilon_{sh}|$  leads to a notable increase in the required area of reinforcement. Given the enormous implications of this term, a question needs to be placed:

If the inclusion of this term is coherent with the actual structural behavior, why isn't it recommended by the present design codes?

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## Challenge1: Restrained shrinkage/temperature

IntegraCrete Team results

If the inclusion of this term is coherent with the actual structural behavior, why isn't it recommended by the present design codes?

The absence of that term is even more questionable in the case of the MC2010. This code recommends the consideration of  $|\varepsilon_{sh}|$  in long term analyses of structures in stabilized cracking stage (like the case of the Design Challenge 2), but recommends not considering it in the analysis of structures in crack formation stage. That doesn't make sense from a theoretical point of view. In the explanatory paper of this part of the MC2010<sup>1</sup>, nothing is written about this important issue, which marks an evolution with respect to the previous version of the MC2010.

The answer to the previous question might be quite simple. The code formulae for calculation of crack openings need to be calibrated against experimental data. An expression of the type  $w = s_{r,max}(\varepsilon_{sm} - \varepsilon_{cm} + |\varepsilon_{sh}|)$  will only provide accurate results after the parameters needed for calculation of  $s_{r,max}$  and  $\varepsilon_{sm} - \varepsilon_{cm}$  are correctly calibrated. Such a calibration is really needed in a near future.

NOTE<sup>1</sup>: Balázs G.L. et al. (2013) Design for SLS according to fib Model Code 2010. Structural Concrete, vol. 14 <u>http://www.fib-international.org/fib-model-code-2010</u> 152

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Challenge1: Restrained shrinkage/temperature IntegraCrete Team results

Meanwhile, before such a calibration is available, the use of expressions of the type  $w = s_{r,max}(\varepsilon_{sm} - \varepsilon_{cm})$  should be made cautiously. The steel stress at the crack should be calculated, in that case, considering the cracking force for short term loading:

$$N_{cr} = f_{ctm} A_c \left( 1 + \frac{E_s}{E_c} \rho_{eff} \right)$$

Besides that, special attention should be paid to the determination of the minimum steel area to avoid yielding upon the formation of the first crack. The  $f_{ctm}$  value provided by the Eurocode 2 for the concrete class specified in the project might not be a realistic estimate of the actual tensile strength *in situ*. Interaction between the structure designer and the material characterization is certainly beneficial in this regard.



Challenge 2: Combined effect of applied loads and restrained shrinkage/temperature IntegraCrete Team results

- The required reinforcement can be estimated based on:
  - 1) The internal efforts (axial force and bending moment), at long term. For the study shown in this presentation, these internal efforts are determined through the nonlinear analysis approach shown in the presentation of C. Sousa et al.
  - 2) A numerical procedure for calculation of the crack width, for a given steel stress at the crack. Two alternative procedures are considered, the ones proposed by the Eurocode 2 and the fib Model Code 2010 (MC2010). Unlike the approach followed in the discussion of the Challenge 1, now no adaptation is made to the provisions of the Eurocode 2 and the MC2010.



Challenge 2: Combined effect of applied loads and restrained shrinkage/temperature IntegraCrete Team results

From Step 1), the most important result to be calculated is the axial force. In fact, the bending moments, at long term, are almost independent from the amount of reinforcement in the slab (as long as it is kept within reasonable limits) and are almost equal to the results of linear analyses. The following table shows the axial force, at long term, calculated in three different analyses (considering three different amounts of reinforcement). Note that these amounts are not necessarily the values required to keep the crack opening smaller than 0.3mm.

Analysis	$a_{s,bot}$ (cm <sup>2</sup> /m)	$a_{s,top}$ (cm <sup>2</sup> /m)	<i>N</i> (kN/m)	$\frac{N}{A_c f_{ctm}}$
#1	5	6	138	42%
#2	8	10	149	45%
#3	10	12	159	48%

• These results confirm that the axial force is not very sensitive to the amount of reinforcement in the slab.



Challenge 2: Combined effect of applied loads and restrained shrinkage/temperature IntegraCrete Team results

As regards Step 2), to assess the implications of different crack width calculation procedures, the following table shows the required area of reinforcement to keep the crack opening smaller than 0.30mm, using the Eurocode 2 and MC2010 approaches. The MC2010 estimate for the crack opening at the level of the reinforcement is also shown. In these calculations, the axial force at long term was taken as 149kN/m, so that the only variant is the procedure for crack width calculation.

	Area required by the condition $w \leq 0.3$ mm		
Procedure for calculation of w	a <sub>s,bot</sub> (cm²/m)	$a_{s,top}$ (cm²/m)	
Eurocode 2	4.2	5.7	
MC2010 (w at level of reinforcement)	8.2	9.9	
MC2010 ( <i>w</i> at the surface)	10.5	12.9	

The differences are enormous! The result based on the MC2010 procedure (bottom line of the table) is more than twice the result based on the Eurocode 2.



Challenge 2: Combined effect of applied loads and restrained shrinkage/temperature IntegraCrete Team results

- The main differences between the Eurocode 2 and MC2010 methods are:
  - The Eurocode 2 does not consider, in the direct calculation of the crack opening, the effect of free shrinkage deformation in the cracked concrete ( $|\varepsilon_{sh}|$  term). On the contrary, the MC2010 considers this effect in the stabilized cracking stage. Note that, even though the entire structure does not reach the stabilized cracking stage, this stage is reached in the regions of support and mid-span (the internal efforts are higher than the ones needed to induce cracking).
  - According to the MC2010 the crack opening to be compared with the limit value (limit of 0.30mm in this case) is the one at the concrete surface. The corresponding results are shown in the bottom line of the previous table. The table also shows the results which would be reached if the objective was getting a crack opening of 0.3mm at the level of the reinforcement (middle line of the table).
- Bearing mind that the *fib* Model Code 2010 (released in 2013) was calibrated against new experimental results, the previous table shows the reason why cracks larger than 0.3mm can be found in structures designed strictly following the provisions of Eurocode 2.

# A new methodology for design of large and highly restrained RC elements

- Background and examples of practical application -

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Universidade do Minho



iBB Research group "Crack width control in restrained concrete"

**D. Schlicke** 





iBB Research group "Crack width control in restrained concrete"





## Outline

- general behaviour of reinforced concrete
- EC2-1 regulations regarding restraint
  - minimum reinforcement according to cracking forces
  - crack width control for combined action of restraints and loads
     illustration example: FCT design challenge
- design on basis of deformation compatibility
  - basic principle
  - design of members which are primarily restrained
  - design of members under combined effect of loads and restraints
     o illustration example: FCT design challenge
- practical references

## conclusion



# Part 1

## **General behaviour of reinforced concrete**

- **EC2-1** regulations regarding restraint
  - minimum reinforcement according to cracking forces
  - crack width control for combined action of restraints and loads
     illustration example: FCT design challenge
- design on basis of deformation compatibility
  - basic principle
  - design of members which are primarily restrained
  - design of members under combined effect of loads and restraints
     o illustration example: FCT design challenge
- practical references

## conclusion



## General behaviour of reinforced concrete

- □ cracking occurs when cracking forces are reached
- □ if reinforcement is not yielding, a so called successive cracking on the level of cracking forces takes places until stabilized crack pattern is reached





## General behaviour of reinforced concrete

- occurring crack width
  - single cracks:





$$w_{k} = \int_{0}^{s_{r}} (\varepsilon_{s}(x) - \varepsilon_{c}(x)) dx$$

$$w_{k} = 2 \cdot l_{es} \cdot (\varepsilon_{sm} - \varepsilon_{cm})$$

$$\varepsilon_{sm} = \varepsilon_{c,max} + k_{t} \cdot \left(\frac{\sigma_{s}}{E_{s}} - \varepsilon_{c,max}\right)$$

$$w_{k} = \frac{\sigma_{s} \cdot d_{s}}{2 \cdot \tau_{sm}} \cdot k_{t} \cdot \frac{\sigma_{s}}{E_{s}}$$

$$u_{k} = \frac{\sigma_{s} \cdot d_{s}}{2 \cdot \tau_{sm}} \cdot k_{t} \cdot \frac{\sigma_{s}}{E_{s}}$$

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## General behaviour of reinforced concrete

□ correlation of steel stress and occurring crack width



crack spacing requires statistical considerations
 – safe side assumption on basis of A<sub>c,eff</sub>:

$$l_{\rm e} = \frac{A_{\rm c,eff} \cdot f_{\rm ctm}}{\tau_{\rm sm} \cdot \pi \cdot d_{\rm s}}$$

$$w_{\rm k} = 2 \cdot \frac{A_{\rm c,eff} \cdot f_{\rm ctm}}{\tau_{\rm sm} \cdot \pi \cdot d_{\rm s}} \cdot (\varepsilon_{\rm sm} - \varepsilon_{\rm cm}) \quad \text{with}$$

$$\varepsilon_{sm} = \frac{\sigma_s}{E_s} - \frac{A_{c,eff} \cdot f_{ctm}}{E_s \cdot A_s} \cdot (1 - k_t)$$
$$\varepsilon_{cm} = \frac{f_{ctm}}{E_c} (1 - k_t)$$



## General behaviour of reinforced concrete

correlation between steel strain and crack width

conservatively taken from the single crack pattern:

$$w_{k} = \frac{\sigma_{s} \cdot d_{s}}{2 \cdot \tau_{sm}} \cdot k_{t} \cdot \frac{\sigma_{s}}{E_{s}} \longrightarrow \sigma_{s}(w_{k}) = \sqrt{\frac{2}{k_{t}} \cdot \frac{w_{k} \cdot \tau_{sm} \cdot E_{s}}{d_{s}}}$$

required reinforcement for crack width control

• derived from stabilized crack pattern, but also applicable for single cracks:

$$A_{\rm s} = \sqrt{\frac{F_{\rm cr} \cdot \left(F_{\rm s} - F_{\rm cr} \cdot \left(1 - k_{\rm t}\right)\right) \cdot d_{\rm s}}{2 \cdot \tau_{\rm sm} \cdot E_{\rm s} \cdot w_{\rm k}}}$$

with:  $F_{\rm cr}$  .... force to produce a new crack in the effective concrete zone

- $F_{\rm s}~$  ... force to be taken by the reinforcement after cracking
- for:  $F_{cr} \leq F_{s}$ ... only single cracks are to be expected and  $F_{s}$  is to set as  $F_{cr}$  $F_{s} > F_{cr}$ ... stabilized crack pattern to be expected



# Part 2

- general behaviour of reinforced concrete
- EC2-1 regulations regarding restraint
  - minimum reinforcement according to cracking forces
  - crack width control for combined action of restraints and loads
     illustration example: FCT design challenge
- design on basis of deformation compatibility
  - basic principle
  - design of members which are primarily restrained
  - design of members under combined effect of loads and restraints
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EC2-1 regulations regarding restraint / minimum reinforcement

☐ minimum reinforcement for crack width control according to cracking forces

• enabling successive cracking with respect to occurring steel stress

$$A_{\mathrm{s,min}} \cdot \sigma_{\mathrm{s}}(w_{\mathrm{k}}) \geq F_{\mathrm{cr}}$$

$$A_{\rm s,min} \cdot \sigma_{\rm s}(w_{\rm k}) \ge k \cdot k_{\rm c} \cdot A_{\rm ct} \cdot f_{\rm ct,eff} \rightarrow A_{\rm s,min} = k \cdot k_{\rm c} \cdot A_{\rm ct} \cdot \frac{f_{\rm ct,eff}}{\sigma_{\rm s}(w_{\rm k})}$$

- $k \rightarrow$  factor for consideration of pre-damage due to Eigenstresses
- $k_c \rightarrow$  factor for consideration of stress distribution (k = 1.0 for centric restraint, k = 0.4 for bending restraint)
- $f_{\rm ct,eff}$   $\rightarrow$  modification of  $f_{\rm ctm}$  to consider early age cracking as well as differences of tensile strength between laboratory and on-site conditions

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# EC2-1 regulations regarding restraint / superposition with loads

consideration of combined effect of applied loads and restraints

- observations
  - restraint decreases by crack opening during successive cracking
  - in common cases the stabilized crack pattern is not reached until ca. 0.8 ‰ deformation was imposed to the member
- conclusions for design (German Annex)
  - in common cases the superposition of loads and restraints can be neglected as long as deformation impact exceeds not 0.8 ‰
  - minimum reinforcement is usually fully utilized for statically required reinforcement



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## FCT design challenge according to EC2-1

#### details and task



case 2: reinforcement for crack width control of  $w_k = 0.3$  mm considering combined effect of restraint and loading

$$RH = 50\%$$
  
 $t_{0,drying} = 28 d$ 

 $\psi_2 = 0.3[-]$ 



FCT design challenge according to EC2-1

□ case 1: minimum reinforcement for crack width control

• centric restraint for service life with  $f_{ct,eff} = 0.8 \text{ x} f_{ctm}$ 

$$F_{\rm cr} \leq F_{\rm s} \rightarrow F_{\rm cr} = F_{\rm s}: \qquad A_{\rm s} = \sqrt{\frac{F_{\rm s}^2 \cdot k_{\rm t} \cdot d_{\rm s}}{2 \cdot \tau_{\rm sm} \cdot E_{\rm s} \cdot w_{\rm k}}}$$

$$F_{s} = \frac{A_{c}}{2} \cdot f_{ct,eff} = \frac{0.15}{2} \cdot 0.8 \cdot 2.9 = 0.174 \text{ MN/m}$$

$$k_{t} = 0.6$$

$$\tau_{sm} = 1.8 \cdot f_{ctm} = 1.8 \cdot 2.9 = 5.22 \text{ M/m}^{2}$$

$$A_{s} = \sqrt{\frac{0.174^{2} \cdot 0.6 \cdot 8}{2 \cdot 5.22 \cdot 200\,000 \cdot 0.3}} = 4.8 \text{ cm}^{2}/\text{m}$$

$$E_{s} = 200\,000 \text{ M/m}^{2}$$

$$w_{k} = 0.3 \text{ mm}$$
rebar  $d_{s}$ =8 mm  
rebar spacing  $s$ =10 cm



## FCT design challenge according to EC2-1

□ case 2: combined effect of loads and restraints

statically required reinforcement and crack width control under load

	column	field			column	field		
M <sub>Ed</sub>	11.9	5.9	kNm/m		g 4.17	2.09	kNm/m	
ds	8	8	mm	Mperm	5.42	2.71	kNm/m	
d	0.116	0.116	m	M <sub>rare</sub>	8.34	4.17	kNm/m	
$M_{\rm Ed} = N_{\rm c} \cdot z$ : 0 =	5.440 x <sup>2</sup>	5.440 x <sup>2</sup>		Mc	r 8.70	8.70	kNm/m	
	+1.578 x	+1.578 x		cracking	not without	not without		
	-0 012	-0 006		er a en ing	restraint	restraint		
	0.012	0.000						
$\rightarrow x_{1,1} =$	0.007	0.004	m		light areas width as atral for			
x <sub>1,2</sub> =	- <del>0.297</del>	- <del>0.294</del>	m					
z =	0.113	0.115	m	loa	d is not required			
ε <sub>s1</sub> =	51.75	105.66	‰	$\rightarrow$ mi	minimum reinforcement decisive for crack width control			
A <sub>s,min</sub> =	2.19	2.19	cm²/m	for				
	1.51	1.51		-				
A <sub>s,max</sub> =	60.00	60.00	cm²/m					
A <sub>S1,req</sub> =	3.02	2.19	cm²/m					
required rebar spacing s=	14	20	cm					



FCT design challenge according to EC2-1

□ case 2: combined effect of loads and restraints

• minimum reinforcement as lower limit without superposition of loads and restraints as long as  $\varepsilon_{\rm c,eff} \le 0.8 \%$  (acc. to German Annex)

deformation impact:

$$\varepsilon_{cds}(t = \infty, t_{0,drying} = 28 \text{ d}) = 0.57 \%$$

$$\varphi(t = \infty, t_{0,drying} = 28 \text{ d}) = 2.5 [-]$$

$$\rho = 0.8 [-]$$

$$\varepsilon_{eff} = \frac{\varepsilon_{cds}}{1 + \rho \cdot \varphi} = 0.19 \% \le 0.8 \% \text{ d}$$

required longitudinal reinforcement according to EC2-1

upper reinforcement: Ø 8 - 10.0 cm





# Part 3

- general behaviour of reinforced concrete
- EC2-1 regulations regarding restraint
  - minimum reinforcement according to cracking forces
  - crack width control for combined action of restraints and loads
     illustration example: FCT design challenge
- design on basis of deformation compatibility
  - basic principle
  - design of members which are primarily restrained
  - design of members under combined effect of loads and restraints
     o illustration example: FCT design challenge
- practical references

## conclusion



Design on basis of deformation compatibility

□ basic principle

restraint stresses with respect to realistic restraining situation





## Design on basis of deformation compatibility

- □ basic principle
  - deformation compatibility after cracking
    - $\circ\,$  without reinforcement





whereby:  $\sigma_{\text{rest}}^{\text{II}} = 0$  $w = -\alpha_{\text{T}} \cdot \Delta T \cdot l$ 



## Design on basis of deformation compatibility

- □ basic principle
  - deformation compatibility after cracking
    - $\circ\,$  with reinforcement

 $<sup>\</sup>alpha_{\mathrm{T}} \cdot \Delta T; E_{\mathrm{c}} A_{\mathrm{c}}$ 



whereby:  $-\alpha_{\rm T} \cdot \Delta T \cdot l = w_{\rm k} + \frac{\sigma_{\rm rest}^{\rm II}}{E_{\rm c}} \cdot (l - 2 \cdot l_{\rm e} \cdot (1 - k_{\rm t})) + \Delta l^{\rm II}$  and  $\sigma_{\rm s} \cdot A_{\rm s} \approx \sigma_{\rm rest}^{\rm II} \cdot A_{\rm c}$ 



## Design on basis of deformation compatibility

### Practical application

minimum reinforcement for members which are predominantly restrained
 o design task: required reinforcement for crack width control

$$A_{\mathrm{s,min}} = \frac{-\alpha_{\mathrm{T}} \cdot \Delta T \cdot a^{\mathrm{II}} \cdot l - w_{\mathrm{k}}}{\left(l - 2 \cdot l_{\mathrm{e}} \cdot \left(1 - k_{\mathrm{t}}\right)\right)} \cdot \frac{E_{\mathrm{c}}A_{\mathrm{c}}}{\sigma_{\mathrm{s}}(w_{\mathrm{k}})}$$

- design of members under combined effect of loads and restraints
  - $\circ~$  design task: verification of crack width criteria with respect to occurring restraint forces in the overall structure

$$N_{\text{rest}} = \frac{-\alpha_{\text{T}} \cdot \Delta T \cdot a^{\text{II}} \cdot l - w_{\text{k}}}{\left(l - 2 \cdot l_{\text{e}} \cdot \left(1 - k_{\text{t}}\right)\right)} \cdot E_{\text{c}} A_{\text{c}}$$



## Design on basis of deformation compatibility

### □ minimum reinforcement for members which are predominantly restrained

- quantification of restrained deformation
- determination of gemetrically set primary crack pattern
- practice-oriented verification of deformation compatibility
   with regard to secondary cracking
   Not topic of this talk. On foundation
   around slabs
   around slabs</li

Schlicke, D. and Tue, N. V. (2015), *Minimum reinforcement for crack width control in restrained concrete members considering the deformation compatibility*. Structural Concrete, 16: 221 - 232. <u>doi: 10.1002/suco.201400058</u>



## Design on basis of deformation compatibility

□ design of members under combined effect of loads and restraints




Design on basis of deformation compatibility

□ design of members under combined effect of loads and restraints

• bending cracks under permanent loads cause axial elongation of the member





Design on basis of deformation compatibility

design of members under combined effect of loads and restraints

• in case of cracking due to loading a stabilized crack pattern can be assumed





## Design on basis of deformation compatibility

- □ design of members under combined effect of loads and restraints
  - axial strain in the stabilized crack pattern:
    - correct solution
      - integration of strains along the gravity axis of the member
      - consideration of real crack opening over the member height (no plane cross section)
    - $\circ~\mbox{practice-oriented}$  engineering solution
      - assumption of plane cross section but disregard of strains in the compression zone:

$$\Delta \varepsilon_{\rm free}^{\rm II} = 0.5 \cdot \varepsilon_{\rm sm}$$

for stabilized crack pattern: 
$$\Delta \varepsilon_{\text{free}}^{\text{II}} = 0.5 \cdot \left(\frac{\sigma_{\text{s}}}{E_{\text{s}}} - (1 - k_{\text{t}}) \cdot \frac{A_{\text{c,eff}} \cdot f_{\text{ctm}}}{A_{\text{s}} \cdot E_{\text{s}}}\right)$$



Design on basis of deformation compatibility

□ design of members under combined effect of loads and restraints

• axial strain of the whole member with respect to cracked and uncracked areas





Design on basis of deformation compatibility

□ design of members under combined effect of loads and restraints

• axial strain of the whole member with respect to cracked and uncracked areas

$$\Delta \varepsilon_{\rm free} = \left[ \Delta \varepsilon_{\rm free}^{\rm II} \cdot l^{\rm II} + \Delta \varepsilon_{\rm free}^{\rm I} \cdot (l - l^{\rm II}) \right] / l$$

 $\circ$  in the uncracked part only elastic strains due to possible restraint force:

$$\Delta \varepsilon_{\rm free}^{\rm I} = \frac{N_{\rm rest}}{A_{\rm c} \cdot E_{\rm c}}$$

 $\,\circ\,$  in the cracked part only axial strain due to bending

$$\Delta \varepsilon_{\text{free}}^{\text{II}} = 0.5 \cdot \left( \frac{\sigma_{\text{s}}}{E_{\text{s}}} - (1 - k_{\text{t}}) \cdot \frac{A_{\text{c,eff}} \cdot f_{\text{ctm}}}{A_{\text{s}} \cdot E_{\text{s}}} \right)$$



## Design on basis of deformation compatibility

□ design of members under combined effect of loads and restraints

• balance between deformation impact and releasable deformation in the system:

 $|\Delta \varepsilon_{\rm eff}| \leq |\Delta \varepsilon_{\rm free}|$ 

- $\circ~$  either fulfilled due to cracking under permanent loads or
- $\circ\,$  to be achieved with additional cracking due to increasing restraint force
  - iterative solution consisting of determination of required length to be cracked  $(l_{req}^{II})$  and occurring restraint force until it can be shown that:

$$|\Delta \varepsilon_{\rm eff}| \le \left| \left[ \Delta \varepsilon_{\rm free}^{\rm II} \cdot l^{\rm II} + \Delta \varepsilon_{\rm free}^{\rm I} \cdot (l - l^{\rm II}) \right] / l \right|$$



## Design on basis of deformation compatibility

□ design of members under combined effect of loads and restraints / recipe

- 1. quantification of deformation impact
- 2. determination of permanent stressing due to loads
- 3. identification of cracked areas under permanent loads
- 4. comparison of the deformation impact with the released axial deformation in the cracked areas under permanent loads:
  - a. if deformation impact is absorbed: crack width control under load is decisive, whereas additional restraint forces can be excluded
  - b. if deformation impact is NOT yet absorbed: determination of restraint forces to achieve the required size of cracked areas
    - i. if sufficiently large areas with cracks can be created, crack width control under load is decisive; occurring restraint forces are to be considered in regard to their interaction within the structure
    - ii. if not possible, minimum reinforcement for centric restraint is to be provided and cracking forces are to be considered for structural analysis



FCT design challenge solved with deformation-based concept

### 1. quantification of deformation impact

deformation impact:

$$\varepsilon_{cds}(t = \infty, t_{0,drying} = 28 \text{ d}) = 0.57 \%$$
  

$$\varphi(t = \infty, t_{0,drying} = 28 \text{ d}) = 2.5 [-]$$
  

$$\rho = 0.8 [-]$$
  

$$\mathcal{E}_{eff} = \frac{\mathcal{E}_{cds}}{1 + \rho \cdot \varphi} = 0.19 \% \le 0.8 \% \text{ d}$$

2. determination of permanent stressing due to loads

	column	field	
$M_{ m g}$	4.17	2.09	kNm/m
$M_{perm}$	5.42	2.71	kNm/m
$M_{ m rare}$	8.34	4.17	kNm/m
$\mathcal{M}_{cr}$	8.70	8.70	kNm/m
cracking:	not without	not without	
	restraint	restraint	



# FCT design challenge solved with deformation-based concept

3. identification of cracked areas under permanent loads

_	column	field	
Mg	4.17	2.09	kNm/m
$M_{ m perm}$	5.42	2.71	kNm/m
$\mathcal{M}_{rare}$	8.34	4.17	kNm/m
$M_{ m cr}$	8.70	8.70	kNm/m
cracking:	not without restraint	not without restraint	

- 4. comparison of the deformation impact with the released axial deformation in the cracked areas under permanent loads:
  - a. if deformation impact is absorbed: crack width control under load is decisive, whereas additional restraint forces can be excluded



FCT design challenge solved with deformation-based concept

- 4. comparison of the deformation impact with the released axial deformation in the cracked areas under permanent loads:
  - b. if deformation impact is NOT yet absorbed: determination of required size of cracked area:

$$l_{\text{req}}^{\text{II}} = \frac{\Delta \mathcal{E}_{\text{eff}} - \frac{N_{\text{rest}}}{A_{\text{c}} \cdot E_{\text{c}}}}{0.5 \cdot \left(\frac{\sigma_{\text{s}}(w_{\text{k}})}{E_{\text{s}}} - (1 - k_{\text{t}}) \cdot \frac{A_{\text{c,eff}} \cdot f_{\text{ctm}}}{E_{\text{s}} \cdot A_{\text{s}}}\right) - \frac{N_{\text{rest}}}{A_{\text{c}} \cdot E_{\text{c}}} \cdot l$$

→ with: 
$$\Delta \varepsilon_{\text{eff}} = 0.19 \%$$
  
 $\sigma_{s}(w_{k}) = \sqrt{\frac{2}{k_{t}} \cdot \frac{w_{k} \cdot \tau_{\text{sm}} \cdot E_{s}}{d_{s}}} = 361 \text{ N/mm}^{2}$   
 $A_{c,\text{eff}} = \min \begin{cases} 2.5 \cdot d_{1} \cdot b = 2.5 \cdot \left(3.0 + \frac{0.8}{2}\right) \cdot 100 = 850 \text{ cm}^{2}/\text{m} \\ \frac{h}{2} \cdot b = \frac{15}{2} \cdot 100 = 750 \text{ cm}^{2}/\text{m} \\ \frac{h-x}{3} \cdot b = \frac{15-x}{3} \cdot 100 = \text{max} \cdot 500 \text{ cm}^{2}/\text{m} \end{cases}$ 



- determination of required size of cracked area  $0.19 \cdot 10^{-3} - \frac{N_{\text{rest}}[\text{MN}]}{0.15 \cdot 30000}$   $l_{\text{req}}^{\text{II}} = \frac{0.5}{200000} \cdot \left(361 - (1 - 0.6) \cdot \frac{500 \cdot 2.9}{A_{\text{s,prov}}[\text{cm}^2/\text{m}]}\right) - \frac{N_{\text{rest}}[\text{MN}]}{0.15 \cdot 30000} \cdot 5.0$
- $\rightarrow$  iterative solution:

Ο

- $N_{
  m rest}$  and  $l_{
  m req}^{
  m II}$  are connected over the distribution of  $M_{
  m perm}$
- $A_{\rm s,prov}$  results from the reinforcement design for bending under consideration of  $N_{\rm rest}$



→ determination of  $N_{\text{rest}}$  according to an assumed  $l_{\text{req}}^{\text{II}}$ : step 1: distrubution of permanent moment in one field vs. cracking moment





→ determination of  $N_{\text{rest}}$  according to an assumed  $l_{\text{req}}^{\text{II}}$ : step 2: according max. tensile stresses in one field vs. tensile strength





 $\rightarrow$  determination of  $N_{\text{rest}}$  according to an assumed  $l_{\text{req}}^{\text{II}}$ :

step 3: determination of "required" tensile stress to cause cracking within  $l_{req}^{II}$ 





→ determination of  $N_{\text{rest}}$  according to an assumed  $l_{\text{req}}^{\text{II}}$ : step 4: derivation of  $N_{\text{rest}}$  from the stress difference





FCT design challenge solved with deformation-based concept

→ determination of  $N_{\text{rest}}$  according to an assumed  $l_{\text{reg}}^{\text{II}}$ :

$$M_{\text{perm}}\left(x = \frac{l - l_{\text{field,assumed}}^{\text{II}}}{2}\right) = M_{\text{perm,field}} \cdot \left(\frac{12 \cdot x}{l} - \frac{12 \cdot x^2}{l^2} - 2\right) = 2.68 \text{ kNm/m}$$

$$N_{\text{rest}} = A_{\text{c}} \cdot \max\left\{0; f_{\text{ctm}} - \frac{M_{\text{perm}}(x)}{W}\right\} = 327.9 \,\text{kN/m}$$

 $\rightarrow$  according input data:

$$l_{\text{field,assumed}}^{\text{II}} = 0.33 \text{ m}$$
  
 $l_{\text{tot,assumed}}^{\text{II}} = 1.26 \text{ m}$   
 $A_{\text{s1,prov}}^{\text{II}} = 4.2 \text{ cm}^2/\text{m}$ 



FCT design challenge solved with deformation-based concept



$$V_{rest} = 327.9 >> F_{cr,eff} = 500 \text{ cm}^2/\text{m} \cdot 2.9 \text{ N/mm}^2 = 145 \text{ kN/m}$$

in the present case, cracking in the field area is not likely!

ightarrow cracking starts in the point of max. bending stresses over the column with

$$N_{\rm rest} = A_{\rm c} \cdot \left( 0.8 \cdot f_{\rm ctm} - \frac{M_{\rm perm}}{W} \right) = 0.15 \cdot \left( 0.8 \cdot 2.9 - \frac{0.0054}{0.15^2 \cdot 1.0} \cdot 6 \right) = 132 \,\rm kN/m$$

→ after initial cracking over the column, new cracks can only be produced next to the prior crack whereby the Force is limited to  $F_{\rm cr,eff}$ 



...and with respect to ongoing cracking on the level of  $F_{\rm cr,eff}$  the required length to be cracked increases to:

 $I_{\rm req}^{\rm II} = \frac{0.19 \cdot 10^{-3} - \frac{0.145}{0.15 \cdot 30000}}{\frac{0.5}{200000} \cdot \left(361 - (1 - 0.6) \cdot \frac{500 \cdot 2.9}{4.21}\right) - \frac{0.145}{0.15 \cdot 30000}} \cdot 5.0 = 1.5 \,\mathrm{m}$ 

...whereby cracks can solely occur from the column onwards. Equilibrium is achieved after 0.75 m to each side (still in the area with negative moment)





FCT design challenge solved with deformation-based concept

□ verification of crack width criteria (over the column):

$$A_{\rm s} = \sqrt{\frac{F_{\rm s}^2 \cdot k_{\rm t} \cdot d_{\rm s}}{2 \cdot \tau_{\rm sm} \cdot E_{\rm s} \cdot w_{\rm k}}} \qquad A_{\rm s} = \sqrt{\frac{0.145^2 \cdot 0.6 \cdot 8}{2 \cdot 5.22 \cdot 200\,000 \cdot 0.3}} = 4.01 \,{\rm cm}^2/{\rm m}$$

$$F_{\rm s} = F_{\rm cr,eff} = 145 \, \rm kN/m$$

upper reinforcement: Ø 8 - 10.0 cm

lower reinforcement: Ø 8 - 10.0 cm



# FCT design challenge solved with deformation-based concept

□ Conclusion deformation-based solution of FCT design challenge:

- deformation-based concept is applicable
- transparent superposition of loads and restraints on the safe side
  - restraint force available for ULS design of structure (must not be considered in ULS design of slab since significant decrease of restraint force can be expected when reinforcement yields)
- consistent results regarding reinforcement arrangement
  - $\circ~$  reinforcement always in the tension zone
  - $\,\circ\,$  verification of the compression zone in the field (no cracking due to restraint)
- altogether, only moderate reinforcement savings compared to EC2 in the present case (only due to savings of top reinforcement in the field)
  - $\,\circ\,$  main reason is the low utilisation of the slab due to loads
- critical view on simplified approach according to EC2
  - $\,\circ\,$  overestimation of restraint force by ~50%

 $(N_{\rm cr} = 348 \text{ kN/m}, N_{\rm cr,eff} = 145 \text{ kN/m})$ 



### Part 4

- general behaviour of reinforced concrete
- EC2-1 regulations regarding restraint
  - minimum reinforcement according to cracking forces
  - crack width control for combined action of restraints and loads
     illustration example: FCT design challenge
- design on basis of deformation compatibility
  - basic principle
  - design of members which are primarily restrained
  - design of members under combined effect of loads and restraints
     o illustration example: FCT design challenge

### practical references

### conclusion



### **Practical references**

**i** jointless building construction : Sky Headquarter Munich, Germany

large slab, highly restrained



View of finished construction (L = 180 m)



Calculation model "floor above ground level"

#### Sky Headquarter, München-Unterföhring



### **Practical references**

□ jointless building construction : Highlight Towers Munich, Germany

high number of small slabs, strong interaction with the cores



View of finished construction (H = 106 and 126 m)

Calculation models

**Highlight Towers Parkstadt Schwabing** 



### Practical references

□ jointless building construction : Hospital ZNA, Belgium

• large slabs with strong interaction with irregularly situated cores



Hospital with 22 storeys

- ground slab ca. 225 m x 105 m with varying thickness
- retaining walls 8 m high in ground water
- 2 basement floors with areal dimensions as ground slab
- 20 floors above ground with decreasing size
- 13 irregularly penetrating building cores





### **Practical references**

□ jointless building construction : Hospital ZNA, Belgium

large slabs with strong interaction with irregularly situated cores



→ stressing of the cores to be determined with a 3D model taking into account axial as well as bending stiffness of the slabs after cracking



### **Practical references**

□ jointless building construction : Hospital ZNA, Belgium

large slabs with strong interaction with irregularly situated cores



ightarrow determination of final cutting forces for design of the cores by integration of stresses



### **Practical references**

□ jointless building construction : Hospital ZNA, Belgium

jointless ground slab and retaining walls





### Conclusion

- □ EC2-1 regulations regarding restraint
  - minimum reinforcement according to cracking forces is a practicable safe side approach but included empiricism is either inefficient or in case of empiric modifications a risk for serviceability
- design on basis of deformation compatibility
  - basic principle is mechanically consistent
  - deformation-based design of members which are primarily restrained enables cooperation between concrete technology, structural design and construction site leading to efficient reinforcement amounts AND jointless structures.
  - deformation-based design of members under combined effect of loads and restraints is possible and enables jointless constructions.
- practical references: very good feedback due to clarity of the procedure and possibility to proof it!





### References

- □ <u>Schlicke, D. (2014), Minimum reinforcement for restrained concrete members, PhD thesis,</u> <u>Graz University of Technology, ISBN: 978-3-85125-473-0. (in German)</u>
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- Schlicke, D., Tue, N. V., Klausen, A., Kanstad, T. and Bjøntegaard, Ø. (2014), Structural analysis and crack assessment of restrained concrete walls – 3D FEM-simulation and crack assessment, Proceedings of the 1st Concrete Innovation Conference, Oslo, Norway
- □ Turner, K. (2017), An integrated approach to determination of minimum reinforcement for jointless hydraulic structures, PhD thesis, Graz University of Technology



### Closing remark

# Please do not hesitate to contact us in case of any question or if you wish us to apply the presented approach to your project!

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