Optimization of autohydrolysis conditions to extract antioxidant phenolic compounds from spent coffee grounds

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Abstract
Autohydrolysis, which is an eco-friendly technology that employs only water as extraction solvent, was used to extract antioxidant phenolic compounds from spent coffee grounds (SCG). Experimental assays were carried out using different temperatures (160–200 °C), liquid/solid ratios (5–15 ml/g SCG) and extraction times (10–50 min) in order to determine the conditions that maximize the extraction results. The optimum conditions to produce extracts with high content of phenolic compounds (40.36 mg GAE/g SCG) and high antioxidant activity (FRAP = 69.50 mg Fe(II)/g SCG, DPPH = 28.15 mg TE/g SCG, ABTS = 31.46 mg TE/g SCG, and TAA = 66.21 mg α-TOC/g SCG) consisted in using 15 ml water/g SCG, at 200 °C during 50 min. Apart from being a green technology, autohydrolysis under optimized conditions was demonstrated to be an efficient method to extract antioxidant phenolic compounds from SCG.

1. Introduction
Agro-industrial residues often contain high added-value substances that can be extracted by designing a proper bioprocess and exploited in the food, chemical, cosmetic and pharmaceutical industries. Spent coffee grounds (SCG), for instance, is the main waste of the coffee industry, obtained during the processing of roasted coffee powder with hot water or steam to prepare instant coffee. This residue is generated in large quantities around the world (approx. 6,000,000 tons/year) (Mussatto et al., 2011a) and, even though some research has revealed functional potential of different compounds found in SCG such as polysaccharides, proteins, phenolic compounds, minerals, among others, this residue still has not been used as raw material in industrial processes.

Nowadays, phenolic compounds (PC) have attracted great interest due to their enormous benefits for human health. Some researches have shown that the potential of PC is related to their antioxidant activity, protecting against chronic-degenerative diseases such as cancer, cardiovascular diseases, neurodegenerative diseases and diabetes mellitus (Jiménez et al., 2008; Martins et al., 2011; Mussatto, 2015; Prasad et al., 2011). However, their properties are not limited to the antioxidant activity, but they can also present antiallergenic, antimicrobial and/or anti-inflammatory effects (Farah and Donangelo, 2006; Martins et al., 2011; Mussatto, 2015). Additionally, PC improve the organoleptic properties of vegetable origin food, and can also be used as raw material in the development of functional food or as natural preservatives against food degradation (Ballesteros et al., 2014b; Rodríguez-Meizoso et al., 2010).

A great variety of techniques can be used for recovering antioxidant PC from agro-industrial residues and natural resources, including solid–liquid extraction using organic solvents, autohydrolysis, ultrasound-assisted extraction, microwave-assisted extraction, among others (Ballesteros et al., 2014b; Cortazar et al., 2005; Markom et al., 2007; Mussatto, 2015). Recently, SCG have been studied as a natural source of PC (Murthy and Naidu, 2012; Mussatto et al., 2011b; Panusa et al., 2013; Zuorro and Lavecchia, 2012), and the ability of a conventional solid-liquid extraction method to recover PC from SCG using organic solvents such as ethanol (Panusa et al., 2013; Zuorro and Lavecchia, 2012), methanol...
has also been demonstrated. However, there is a necessity of evaluating and identifying more eco-friendly methodologies that do not require the use of organic solvents and may enhance the extracts compatibility for the food industry and enable their use as added-value constituent for different applications. Among these techniques, autohydrolysis could be an interesting alternative for the recovery of antioxidant PC from SCG since it does not require organic solvents for the reaction, but only water, being able to generate slightly acidic media due to the partial cleavage of acetyl groups existing in the material structure (Conde and Mussatto, 2016; Nabarlatz et al., 2007). Additionally, autohydrolysis process offers several advantages such as elimination of corrosive problems in the equipment due to mild pH of reaction media, reduction of operational costs since no further neutralization is needed, and mild operational conditions for selective degradation of the biomass (Carvalheiro et al., 2004; Conde and Mussatto, 2016).

In a previous study, autohydrolysis under mild reaction conditions was demonstrated to be a technology with great potential to recover PC from SCG (Conde and Mussatto, 2016). However, the conditions that maximize the extraction of these compounds from SCG were not established yet, and it is well-know that the efficiency of this extraction process is affected by the variables used for reaction, such as the solvent/solid ratio, time, temperature, particle size of the solid matrix, among others. Thus, it is very important to optimize the extraction conditions in order to maximize the extraction efficiency. Optimizing the process conditions is also important because allows a more suitable and complete exploitation of the feedstock, saving time, manpower, and making the process less expensive, reliable, cleaner and attractive to be implemented at industrial scale. Taking these facts into account, the aim of the present study was to optimize the process conditions to extract antioxidant PC from SCG by using the eco-friendly technique of autohydrolysis. Extractions were performed using different temperatures, liquid/solid ratios and reaction times and the effects of these operational variables on the extraction results were verified. Finally, the conditions able to produce a phenolic rich extract with high antioxidant activity were determined.

2. Materials and methods

2.1. Raw material and chemicals

Spent coffee grounds (SCG), which were derived from mixtures of Arabica and Robusta coffee varieties imported from different countries including Brazil, Colombia, Timor and Angola, among others, were supplied by the Portuguese coffee industry NovaDelta-Comércio e Indústria de Cafés S.A. (Campo Maior, Portugal). The material was dried in an oven at 60 °C until 5% moisture content and stored for further extractions.

All the chemicals used were analytical grade, purchased from Sigma–Aldrich (Chemie GmbH, Steinheim, Germany), Panreac Química (Barcelona, Spain) and Fisher Scientific (Leicestershire, UK). Ultrapure water from a Milli-Q System (Millipore Inc., USA) was used.

2.2. Autohydrolysis process

Autohydrolysis assays were performed under different conditions of temperature (160–200 °C), liquid/solid ratio (5–15 ml water/g SCG) and extraction time (10–50 min), which were combined according to a 2^3 central composite design. For the reactions, ultrapure water and SCG were added into 160 ml cylindrical stainless steel reactors (Parr Instruments Company, Illinois, USA), which were duly closed and placed into an oil-bath with open heating.
drolysis under mild process conditions (32.92 mg GAE/g SCG, using methanol (16 mg GAE/g SCG, using methanol 60%, 40 ml/g SCG, 60 °C, 30 min) and eucalypt wood (19.2 mg GAE/g dry matter), almond shells (36.2 mg GAE/g dry matter) and grape pomace (21.6 mg GAE/g dry matter) (Conde and Mussatto, 2011). The greatest content of PC (39.29 ± 0.83 mg GAE/g SCG) obtained in the present study by autohydrolysis of SCG, was higher than those reported in the literature for the recovery of PC from SCG by using organic solvents such as ethanol (28.26 mg GAE/g SCG, using ethanol 60%, 50 ml/g SCG, 60 °C, 30 min) (Panusa et al., 2013) and methanol (16 mg GAE/g SCG, using methanol 60%, 40 ml/g SCG, 60–65 °C, 90 min) (Mussatto et al., 2011b), or by using autohydrolysis under mild process conditions (32.92 mg GAE/g SCG, using 20 ml water/g SCG, 120 °C, 20 min) (Conde and Mussatto, 2016). This value was also higher when compared to those reported for autohydrolysis of other natural sources such as corncobs (23.9 mg GAE/g dry matter), eucalypt wood (19.2 mg GAE/g dry matter), almond shells (36.2 mg GAE/g dry matter) and grape

results were performed using the softwares Statistica (version 8.0), and Design expert (version 8.0).

3. Results and discussion

The process variables used for extraction reactions, such as the reaction time, temperature and liquid/solid ratio, usually have great influence both on the kinetics of PC release from the solid matrix as well as on the antioxidant activity of the produced extracts. Therefore, this study aimed to evaluate the effect of these three variables on the recovery of PC with high antioxidant activity by autohydrolysis of SCG, with the objective of selecting the conditions that maximize the extraction results. The experimental conditions used in each assay and the respective results of PC, FRAP, DPPH, ABTS and TAA are presented in Table 1. As can be seen, in the range of values studied in this work, the process variables exerted great influence on the evaluated responses. The worst values for all the responses were achieved when using the lowest limit of each variable, while the best results were obtained when using the highest limit (except for the DPPH assay). For the results of antioxidant activity, in particular, some differences among the results were observed for the different assays, which can be explained by the fact that the methods differ from each other in terms of reaction mechanisms, oxidant and target/probe species, and reaction conditions (Conde and Mussatto, 2016; Karadag et al., 2009).

The greatest content of PC (39.29 ± 0.83 mg GAE/g SCG) obtained in the present study by autohydrolysis of SCG, was higher than those reported in the literature for the recovery of PC from SCG by using organic solvents such as ethanol (28.26 mg GAE/g SCG, using ethanol 60%, 50 ml/g SCG, 60 °C, 30 min) (Panusa et al., 2013) and methanol (16 mg GAE/g SCG, using methanol 60%, 40 ml/g SCG, 60–65 °C, 90 min) (Mussatto et al., 2011b), or by using autohydrolysis under mild process conditions (32.92 mg GAE/g SCG, using 20 ml water/g SCG, 120 °C, 20 min) (Conde and Mussatto, 2016). This value was also higher when compared to those reported for autohydrolysis of other natural sources such as corncobs (23.9 mg GAE/g dry matter), eucalypt wood (19.2 mg GAE/g dry matter), almond shells (36.2 mg GAE/g dry matter) and grape pomace (21.6 mg GAE/g dry matter) (Conde and Mussatto, 2011). The antioxidant activity of SCG extracts was also higher than the values reported to other antioxidant sources including medicinal plants like Sophora japonica (4.63 mg TE/g dry matter), Terminalia chebula (13.81 mg TE/g dry matter), Pruella vulgaris (2.62 mg TE/g dry matter), and Scutellaria barbata (1.27 mg TE/g dry matter), when aqueous extracts were evaluated by the ABTS assay (Cai et al., 2004), and fruits and grains such as black chokeberry, peach, apricot, hulled buckwheat, oat flakes when assessed by DPPH and FRAP methods (Stratiel et al., 2007). These results confirm that SCG is a phenolic rich agro-industrial waste with important antioxidant potential, and autohydrolysis is an efficient technique to extract such compounds from SCG.

Some researchers have related the potential of PC with their antioxidant activity (Althoman et al., 2009; Ballesteros et al., 2014b; Cai et al., 2004; Mussatto, 2015). However, usually the correlation

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![Fig. 1. Correlation analysis chart for the responses total phenolic compounds (PC) and antioxidant activity (FRAP and ABTS assays – coefficients $R^2$ – 0.94 and 0.95, respectively) of extracts obtained by autohydrolysis of spent coffee grounds.](image-url)
cannot be evidenced for all the antioxidant activity assays due to the fact that each method has different reaction mechanisms, as previously explained. In the present study, the relationship among total PC extracted by autohydrolysis of SCG and the results of antioxidant activity obtained by the different methods (which were based on different reaction mechanisms) was verified. A correlation analysis chart was plotted and revealed that the antioxidant activity by FRAP and ABTS assays was directly proportional to the content of PC present in the SCG extracts, the data being correlated with coefficients $R^2 = 0.94$ for FRAP assay and $R^2 = 0.95$ ABTS assay (Fig. 1). These results suggest that the PC present in SCG extracts contributed significantly to the antioxidant activity of the extracts when evaluated by both FRAP and ABTS assays.

In order to verify the effect of each process variable used for the

**Fig. 2.** Pareto chart for the effects of temperature ($X_1$), liquid/solid ratio ($X_2$), extraction time ($X_3$), and their interactions ($X_1X_2$, $X_1X_3$, $X_2X_3$) during the autohydrolysis of spent coffee grounds, on the total content of phenolic compounds (PC) (a), antioxidant activity (FRAP (b), DPPH (c), ABTS (d) and TAA (e) assays) and extraction yield (f) of the produced extracts. L and Q correspond to the effects at linear and quadratic levels, respectively.
autodihydrolysis of SCG on the efficiency of the responses, Pareto charts were plotted (Fig. 2). In this figure, bars extending beyond the vertical line corresponded to the effects statistically significant at 95% confidence level. The length of each bar was proportional to the standardized effect. The statistical analysis revealed a significant effect ($p < 0.05$) of the three variables on the total PC extraction from SCG, being the liquid/solid ratio ($X_2$) the most significant variable, as shown in Fig. 2a. Similar trend was observed on the antioxidant activity responses (Fig. 2b, c, d, e) as well as on the extraction yield response (Fig. 2f). Although the temperature ($X_1$) had a significant effect ($p < 0.05$) on all the responses, it had more influence on PC extraction, being the second most important variable, after de liquid/solid ratio, affecting this response (Fig. 2a). On the other hand, the reaction time ($X_3$) had a more significant effect on the antioxidant activity and yield responses when compared to temperature (Fig. 2b, c, d, e, f). Similar to the present study, the solvent/solid ratio and temperature have been reported as two of the most significant variables affecting the extraction of antioxidant PC from SCG by conventional solid-liquid extraction using ethanol as solvent (Ballesteros et al., 2014a; Conde et al., 2011; Naidu (2012) using isopropanol 60% as extraction solvent)

<table>
<thead>
<tr>
<th>Response</th>
<th>Model equation</th>
<th>$R^2$</th>
</tr>
</thead>
<tbody>
<tr>
<td>PC (mg GAE/g SCG)</td>
<td>$PC = 24.18 + 3.84X_1 + 6.61X_2 + 3.56X_3 + 3.00X_1X_2 + 2.40X_1X_3 - 8.59X_2^2$</td>
<td>0.93</td>
</tr>
<tr>
<td>FRAP (mg Fe(II)/g SCG)</td>
<td>$FRAP = 40.03 + 6.67X_1 + 15.85X_2 + 7.78X_3 + 3.48X_1X_3 - 8.06X_2$</td>
<td>0.96</td>
</tr>
<tr>
<td>DPPH (mg TE/g SCG)</td>
<td>$DPPH = 19.77 + 2.12X_1 + 7.51X_2 + 3.44X_3 + 1.78X_1X_2 - 4.17X_3^2$</td>
<td>0.94</td>
</tr>
<tr>
<td>ABTS (mg TE/g SCG)</td>
<td>$ABTS = 18.49 + 2.06X_1 + 7.36X_2 + 3.01X_3 + 1.64X_1X_3 + 4.65X_1X_2 - 9.29X_3^2$</td>
<td>0.95</td>
</tr>
<tr>
<td>TAA (mg α-TOC/g SCG)</td>
<td>$TAA = 31.39 + 6.27X_1 + 14.02X_2 + 6.96X_3 + 3.19X_1X_3 + 2.06X_1X_2 + 3.20X_2X_3 - 3.00X_3^2$</td>
<td>0.98</td>
</tr>
<tr>
<td>Yield (% (w/w))</td>
<td>$Yield = -14.78 + 3.33X_1 + 4.40X_2 + 4.08X_3 - 3.11X_1X_3$</td>
<td>0.84</td>
</tr>
</tbody>
</table>

* $X_1$: temperature; $X_2$: liquid/solid ratio; $X_3$: extraction time. The equations are expressed in terms of coded values ($-1$, $0$, $+1$).
reported by Panusa et al. (2013) also using ethanol as solvent (28.26 mg GAE/g SCG). Although organic solvents have been widely used to recover compounds from different natural sources, their toxic nature, mainly for isopropanol and methanol, cause serious issues for food and pharmaceutical applications. On the contrary, pure water, as used in the present study for autohydrolysis, is more

Fig. 3. Contour line plots representing the total content of phenolic compounds (PC) (a), the antioxidant activity (FRAP (b), DPPH (c), ABTS (d) and TAA (e) assays) and the extraction yield (f) of extracts obtained by autohydrolysis of spent coffee grounds under different conditions of extraction time and liquid/solid ratio, at a fixed temperature of 200 °C.
suitable to extract compounds for use in these type of applications, besides being able to extract a higher amount of antioxidant PC. It is also worth mentioning that the results of PC obtained in the present study under the optimized autohydrolysis conditions (200 °C, 15 ml water/g SCG, 50 min; 40.36 mg GAE/g SCG) were 23% higher than the values obtained by autohydrolysis under mild process conditions (120 °C, 20 ml water/g SCG, 20 min; 32.92 mg GAE/g SCG) (Conde and Mussatto, 2016), confirming the importance of optimizing the process conditions in order to maximize the extraction results.

Finally, the extract produced under the optimized process conditions was submitted to HPLC and colorimetric analyses for characterization. As a result, flavonoids and chlorogenic acid were found in the extract in concentrations of 1.87 ± 0.11 (mg QE/g SCG) and 2.25 ± 0.02 (mg/g SCG), respectively. Sugar derived compounds including furfural and hydroxymethylfurfural were also identified in the extract in concentrations of 1.40 ± 0.02 and 2.09 ± 0.04 (mg/g SCG), respectively. Flavonoids and chlorogenic acid, in particular, have antioxidant capacity and numerous bio-functionalities, which contribute positively to health and well-being (Middleton et al., 2000; Shan et al., 2009; Mussatto, 2015). Flavonoids, for example, have been reported to prevent cardiovascular diseases, and to reduce the risk of Alzheimer and Parkinson diseases. Chlorogenic acid has a wide number of biological activities, including hypoglycemic, hepatoprotective, antiviral, antibacterial, anticarcinogenic, and anti-inflammatory activities, which are mostly related to its potent antioxidant activity. Therefore, chlorogenic acid has been considered as a promising candidate for use as a therapeutic agent (Mussatto, 2015). Such properties make possible the application of these compounds in different areas, including food, pharmaceuticals, and cosmetics. This fact opens up great opportunities for industrial application of the SCG extract produced in the present study under the optimized process conditions.

### 4. Conclusion

Autohydrolysis, which is an eco-friendly method that employs only water as extraction solvent, was an efficient technology to extract antioxidant phenolic compounds from spent coffee grounds. The total content of phenolic compounds and the

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**Table 3**

Results obtained in the assays for validation of the conditions optimized for extraction of antioxidant phenolic compounds by autohydrolysis of spent coffee grounds.

<table>
<thead>
<tr>
<th>Experimental assay</th>
<th>Process variables optimum point values&lt;sup&gt;a&lt;/sup&gt;</th>
<th>Responses&lt;sup&gt;b&lt;/sup&gt;</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>X1</td>
<td>X2</td>
</tr>
<tr>
<td>1</td>
<td>200</td>
<td>15</td>
</tr>
<tr>
<td>2</td>
<td>200</td>
<td>15</td>
</tr>
<tr>
<td>3</td>
<td>200</td>
<td>15</td>
</tr>
<tr>
<td>Average</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Criteria</td>
<td>≥35.00</td>
<td>≥64.00</td>
</tr>
<tr>
<td>Results predicted by the statistical analysis</td>
<td>35.07</td>
<td>69.50</td>
</tr>
</tbody>
</table>

<sup>a</sup> X1: temperature (°C); X2: liquid/solid ratio (ml/g); X3: extraction time (min).

<sup>b</sup> PC: phenolic compounds (mg GAE/g SCG); FRAP: antioxidant activity by the ferric reducing antioxidant power assay (mg Fe(II)/g SCG); DPPH: antioxidant activity by the 2,2-diphenyl-1-picyrylhydrazyl assay (mg TE/g SCG); ABTS: antioxidant activity by the 2,2’-azino-bis-3-ethylbenzothiazoline-6-sulphonic acid assay (mg TE/g SCG); TAA: Total antioxidant activity (mg α-TOC/g SCG).
antioxidant activity of the produced extract were affected by the variables used in the process, the liquid/solid ratio being the process variable with the highest influence on all the responses. The optimal extraction condition, achieved when using a temperature of 200 °C, liquid/solid ratio of 15 ml/g and extraction time of 50 min, was able to produce an extract containing high content of phenolic compounds (40.36 mg GAE/g SCG), including flavonoids and chlorogenic acid, and high antioxidant activity (FRAP = 69.50 mg Fe(II)/g SCG, DPPH = 28.25 mg TE/g SCG, ABTS = 31.46 mg TE/g SCG and TAA = 66.21 mg α-TOC/g SCG). Such results highlight the great potential of spent coffee grounds for use as raw material on biotechnological processes due to their antioxidant capacity and presence of phenolic compounds, which have an outstanding role in health area, and wide applications in food and pharmaceutical products.

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