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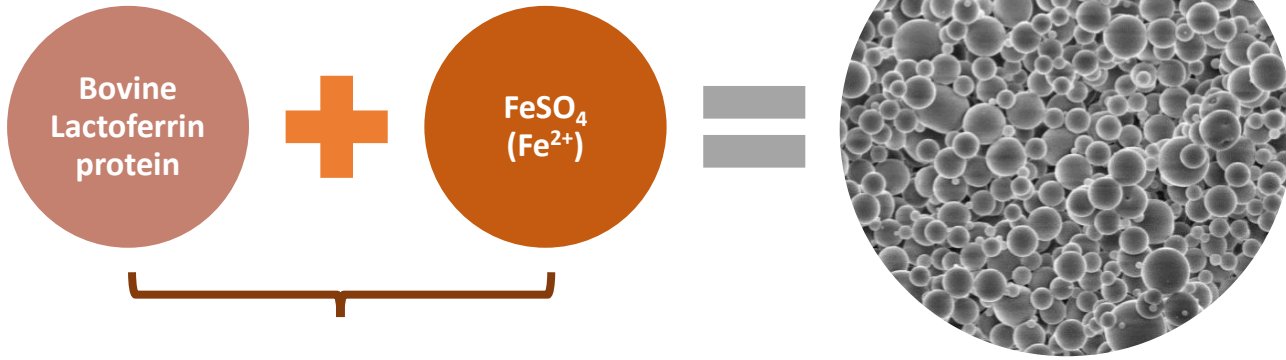
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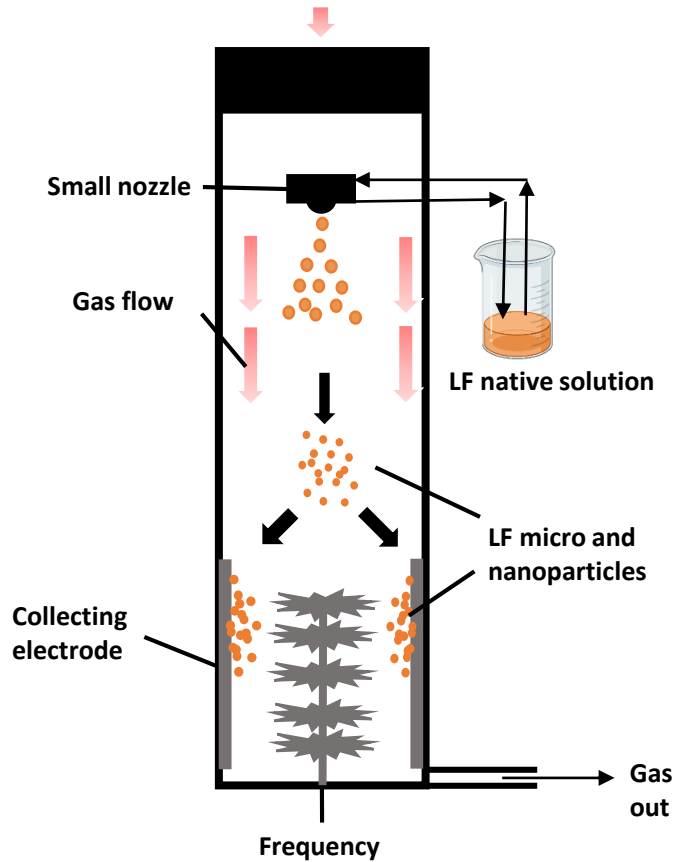
Journal Pre-proof



- ➔ Circular Dichroism
- ➔ Fluorescence
- ➔ Native polyacrylamide gel electrophoresis
- ➔ Thermogravimetric analyses
- ➔ Attenuated total reflectance Fourier transform infrared spectroscopy
- ➔ Iron release test at pH=2 and pH=7

Nano Spray Dryer B-90 HP

**Lactoferrin-Fe
Micro and nano
particles**



Lactoferrin as a carrier of iron: development and physicochemical characterization

Arlete M. Marques^{1,2,3,*}, Ana I. Bourbon², Rui M. Rodrigues^{1,3}, José A. Teixeira^{1,3}, Lorenzo M. Pastrana², Miguel A. Cerqueira²

1- Centre of Biological Engineering, University of Minho, Campus de Gualtar, 4710-057, Braga Portugal

2- International Iberian Nanotechnology Laboratory, Av. Mestre José Veiga, 4715-330, Braga, Portugal

3- LABBELS – Associate Laboratory, Braga/Guimarães, Portugal

*Corresponding author: arlete.marques93@gmail.com

Abstract

Iron deficiency is the main cause of anemia, one of the most common nutritional deficiencies affecting millions of people worldwide. In addition, iron instability, sensitivity, and intense flavor limit its use in food fortification. In this work, lactoferrin (LF) particles were developed by Nano Spray Dryer (NSD) and its capacity to be used as an iron carrier was evaluated. The effect of NSD temperature (60 °C, 80 °C, and 100 °C) and LF concentrations (10 mg.mL⁻¹, 50 mg.mL⁻¹, and 100 mg.mL⁻¹) was evaluated on the LF structure and on the production and stability of a LF-iron carrier. Results showed that the NSD is suitable for producing LF particles with diameters ranged from 38.8 nm to 4533.6 nm. Although different surface morphologies were obtained, higher protein concentrations (100 mg.mL⁻¹) produced round shape and smooth surface particles, but for lower protein concentrations (10 mg.mL⁻¹ and 50 mg.mL⁻¹) wrinkled particles and doughnut shape particles were obtained. SDS-page electrophoresis and circular dichroism (CD) for secondary structure (185 – 260 nm) show that the characteristics of the structures were maintained, meaning that NSD does not cause protein degradation. CD for tertiary structure (250 nm – 350 nm) and fluorescence tests confirmed the interaction between LF and iron, observed by an intensity decrease and a wavelength shift in fluorescence spectra. The CD tertiary structure analysis showed higher ellipticity for the Trp peak, meaning conformational changes in the neighbor amino acids due to protein-iron interaction. Iron release experiments were performed at different pH values (2.0 and 7.4) at 37 °C aiming to understand the release mechanism in different media. Results showed that at pH 2 the iron release could be described by the linear superposition model ($R_{adj}^2=0.9879$) (explained by Fick and relaxation phenomenon) and that at pH 7.4 there is no iron release, showing that particles are stable at neutral pH. NSD is a suitable technology for producing iron-loaded protein-based carriers that could be used in food applications.

33 **Keywords:** Food fortification; iron deficiency anemia; milk protein; health; Nano Spray Dryer.

34 **1. Introduction**

35 It is estimated that anemia, mostly caused by iron deficiency, affects 33% of non-pregnant
36 women, 40% of pregnant women, and 42% of children worldwide (World Health Organization,
37 2020). Iron is a micromineral that helps metalloproteins like myoglobin, hemoglobin, and
38 oxidases in reduction and oxidation reactions (Fathima et al., 2017). The lack of iron in humans
39 results on fatigue symptoms, low physical performance, poor growth and brain development, and
40 a weak immune system (Lozoff, 2007; Marques et al., 2019; Menza & Probart, 2013). Iron
41 deficiency can have different causes, such as an inadequate intake due to diets poor in iron, by
42 blood loss, or even poor iron absorption because of intestinal conditions or interaction with other
43 compounds that prevent absorption (e.g., dairy products) (Marques et al., 2019; Percy et al., 2017;
44 World Health Organization, 2020).

45 Aiming to increase the ingestion of iron and meet the daily recommended intake together with
46 avoiding health problems related to malnutrition, strategies such as diversification of diets, iron
47 supplementation, and even food fortification were proposed (Marques et al., 2019; Shubham et
48 al., 2020). However, direct iron addition to food has some drawbacks that mainly result in iron
49 instability and intense flavor, limiting its use in food products (Fathima et al., 2017; Katouzian &
50 Jafari, 2016; Marques et al., 2019; Shubham et al., 2020). One of the ways to preserve and
51 maintain the properties of micronutrients and improve their functionality and bioavailability is
52 their encapsulation. The encapsulation using natural carriers is a way to protect the micronutrients
53 from adverse factors, promote a controlled release, and mask undesirable flavors, which increases
54 their application in food products (Gharibzahedi & Jafari, 2017; Marques et al., 2019). Some
55 works encapsulated the mineral iron using different materials and encapsulation techniques.
56 Hatefi and Farhadian (2020) encapsulated iron (ferrous sulphate) in solid lipid nanoparticles with
57 a size of 358 ± 21.9 nm, while Gupta et al. (2015) used a blend of gum arabic, maltodextrin, and
58 modified starch for the iron encapsulation using the solvent evaporation method. An iron delivery
59 system was developed by Katuwavila et al. (2016) using controlled ionic gelation to produce
60 alginate particles with a size range from 15 nm to 30 nm and loaded with ferrous sulphate. Churio
61 and Valenzuela (2018) produced maltodextrin particles to encapsulate heme (bovine erythrocytes)
62 and non-heme (ferrous sulphate) iron, and in another work developed by Wardhani et al. (2020),
63 hydrolyzed glucomannan was used for the ferrous sulphate encapsulation. Both works used spray
64 drying technology to produce the particles. Micro- and nanoscale carriers have advantages, like
65 good stability against aggregation and sedimentation, enhanced solubility, controllable release
66 and diffusion rates, and increased absorption in the gastrointestinal (GI) tract (Marques et al.,
67 2019; Martins et al., 2016). Previous work has also shown that protein-based micro and

68 nanocarriers can be an interesting technique for protecting micronutrients like iron (Martins et al.,
69 2016).

70 Bovine lactoferrin (LF) is a protein from the transferrin family and consists of a single polypeptide
71 chain with a molecular weight of around 78 kDa with 703 amino acids and an isoelectric point
72 around 8-9 (Bourbon et al., 2015; Levay & Viljoen, 1995; Martins et al., 2016; Wang et al., 2019).
73 LF is a globular protein that has two lobes, C-lobe and N-lobe, and each C- and N-lobe can be
74 divided into two sub-lobes: C1 and C2; N1 and N2. Each lobe can bind an iron ion in a reversible
75 way (Baker & Baker, 2004; Bokkhim et al., 2014; Wang et al., 2019). In terms of iron saturation,
76 there are three different forms of lactoferrin, the native lactoferrin (Native-LF) that is only
77 partially iron-saturated, the iron-free lactoferrin that is called apo-lactoferrin (Apo-LF), and the
78 iron-saturated lactoferrin, called holo-lactoferrin (Holo-LF) (Bokkhim et al., 2014; Wang et al.,
79 2017b, 2019). Lactoferrin presents other functions and functionalities such as antiviral,
80 antibacterial, antifungal, anti-inflammatory, and anticarcinogenic activities. In addition, LF is a
81 natural compound with great biocompatibility, biodegradability, and low toxicity, making it
82 particularly appealing for food applications (Liu et al., 2018).

83 Some works reported the use of Nano spray drying (NSD) technology to produce bioactive
84 compound-loaded carriers. NSD is a recent technology that dries different types of solutions
85 (aqueous or organic solutions, emulsions, dispersions, and suspensions) to obtain dried particles
86 and encapsulate different bioactive compounds (Arpagaus, 2019b, 2019a; Büchi Labortechnik
87 AG, 2017b, 2017a; Marques et al., 2021).

88 Oliveira et al. (2013) used Arabic gum, cashew nut gum, sodium alginate, and carboxymethyl
89 cellulose to encapsulate vitamin B12 using NSD technology, while Pérez-Masiá et al. (2015)
90 encapsulated folic acid using whey protein concentrate and resistant starch as wall materials.
91 Other authors used this technology to encapsulate curcumin (Wang et al., 2018; Wang, Ma, et al.,
92 2016; Zhou et al., 2018), vitamins D3 and E, vitamins B3, B6, B12, C and BCAAS (Branched
93 Chain Amino Acids) (Wang, Soyama, et al., 2016), rutin (Pedrozo et al., 2020) and omega-3 fatty
94 acids (Nunes et al., 2020). However, the use of NSD to produce iron-loaded carriers using LF is
95 unexplored. Other works used different technologies to produce iron particles and carriers; for
96 example, thermal gelation (Martins et al., 2016), ionic gelation, high-speed homogenization,
97 (Naveen and Kanum 2014), and melting-lipid-double emulsion (Hatefi and Farhadian 2020).

98 In the present work, the production of iron-loaded protein-based carriers using NSD, and the
99 effect of the drying process, with and without the addition of iron, on the protein structure, were
100 studied. With that we aim to understand the iron binding capability to the LF during NSD, and
101 the effect of the drying temperature and NSD process on the final properties of LF, and on the
102 iron-loaded carrier. Additionally, the iron release was also evaluated at two different media, acidic
103 and neutral.

104 2. Materials and methods

105 Bovine lactoferrin (LF) was purchased from DMV International (USA). LF has a molecular
106 weight (MW) of 83 kDa and an isoelectric point of 8.5. LF composition is expressed as a dry
107 weight percentage of 96% protein, 0.5% ash, 3.5% moisture, and an iron content of around 120
108 ppm. This purchased commercial LF was dried by spray drying. Iron sulfate ($\text{FeSO}_4 \cdot x\text{H}_2\text{O}$) was
109 kindly given by the company Formulab (Maia, Portugal).

110 2.1 Solution preparation

111 LF solutions were prepared in a concentration of $10 \text{ mg} \cdot \text{mL}^{-1}$, $50 \text{ mg} \cdot \text{mL}^{-1}$ and $100 \text{ mg} \cdot \text{mL}^{-1}$ using
112 ultra-pure water (milliQ water). Lactoferrin was dispersed by stirring the powder in water at
113 350 rpm until total dissolution. After that, to avoid NSD blockage and clogging (Büchi
114 Labortechnik AG, 2017a; Harsha et al., 2015; Marques et al., 2021), solutions were centrifuged
115 (Universal 320, Hettick, Germany) at 1780 g for 20 minutes to remove any undissolved protein
116 (representing less than 3% of total mass).

117 For iron addition, different iron quantities were added to the LF solution prepared. Iron sulfate
118 ($\text{FeSO}_4 \cdot x\text{H}_2\text{O}$) was added to the LF solution and stirred at 350 rpm in a beaker protected from the
119 light, until total dissolution. Iron quantities were calculated based on: a) the iron amount needed
120 to bind just one binding site, which corresponds to 0.7 mg of iron per gram of LF
121 (NSD80_100_0.7); b) the iron amount needed to bind both binding sites in the LF molecule which
122 corresponds to 1.4 mg of iron per gram of LF (NSD80_100_1.4) (Bokkhim et al., 2014; Sharma,
123 2019); and c) the iron amount needed to bind both binding sites and has an excess of iron, which
124 corresponds to 2.8 mg of iron per gram of LF (NSD80_100_2.8). The iron sulphate amount was
125 calculated based on the iron content.

126 2.2 Nano Spray Dryer

127 Nano Spray Dryer B-90 HP (Büchi, Switzerland) with an open circuit (open loop) was used to
128 dry the different LF solutions (loaded and unloaded). The drying temperatures were selected
129 considering the reported denaturation temperature of LF. It has been reported that native LF has
130 two denaturation temperatures, 60 °C and 90 °C (Bokkhim et al., 2013; Bourbon et al., 2020;
131 Goulding et al., 2021; Wang et al., 2017b, 2019). Therefore, the first temperature considered was
132 60 °C (NSD60). The second selected drying temperature was 80 °C (NSD80) which is between
133 both denaturation temperatures for LF. The last temperature evaluated was 100 °C (NSD100)
134 which is above both denaturation temperatures.

135 The other parameters were previously optimized and kept constant for all the solutions: Frequency
136 120 kHz, the gas flow of 100 mg.mL^{-1} , pump 40%, and the spray 80%. After drying all the
137 solutions, the powder was collected from the cylinder and stored in a desiccator with a relative
138 humidity (RH) of 0%.

139 2.3 Particles size and morphology

140 The size and morphology of the obtained powder were evaluated by scanning electron microscopy
141 (SEM) (Quanta 650FEG (FEI Europe B.V., Eindhoven, Netherlands)). The powder was spread
142 onto a carbon tape and then sputtered with gold until achieving a thickness of approximately
143 10 nm. Samples were examined at 5 kV, spot 3, and a magnification of around 5000x. Particle
144 size distribution was obtained from three different pictures of each sample, measured with Image
145 J 1.52a (Java 1.8.0), and calculated using the software OriginLab - Origin 9 (version 90E)
146 (OriginLab Corporation, Northampton, MA, USA). At least 900 particles were measured for each
147 condition.

148 2.4 Circular dichroism

149 Circular dichroism (CD) was used to determine the secondary and tertiary structural changes of
150 the protein after the drying process in the NSD. Obtained powder from NSD was re-hydrated in
151 ultra-pure water and stirred until total dissolution. CD spectra were collected using the CD
152 spectrophotometer Jasco J-1500 (Jasco Inc., Tokyo, Japan). The CD average spectrum of the
153 blank was subtracted from each recorded spectrum. The analyses were performed at room
154 temperature ($\sim 22 \text{ }^\circ\text{C}$).

155 For the secondary structure study, the following parameters were used: wavelength ranging from
156 185 nm to 260 nm, with a scanning speed of 20 nm.min^{-1} ; a bandwidth of 1 nm; data pitch of
157 0.5 nm, a digital integration time (D.I.T) of 1 s and 5 accumulations. Samples with a concentration
158 of 0.1 mg.mL^{-1} were analyzed in a 1 mm path length quartz cell. Samples were studied with a gas
159 flow (N_2) of approximately 10 L.min^{-1} .

160 In the tertiary structure study, the following parameters were used: a wavelength ranging from
161 250 nm to 350 nm, on a 10 mm path length quartz cell and solutions with a concentration of
162 1 mg.mL^{-1} . A scanning speed of 20 nm.min^{-1} , a bandwidth of 1 nm; a data pitch of 0.5 nm, a
163 response D.I.T of 1s and 5 accumulations, and a gas flow (N_2) ranged between 3 to 5 L.min^{-1} was
164 used.

165 To study changes in the LF structure after the iron addition, 5 mg.mL^{-1} samples were analyzed in
166 the CD equipment with a wavelength ranging between 300 nm to 600 nm. Samples were placed

167 in a 10 mm path length quartz cell. The following parameters were used during analysis: a
168 scanning rate of 50 nm.min⁻¹, 5 accumulations, a response D.I.T of 1s and 5 accumulations. Also,
169 was used a gas flow (N₂) rate of approximately 3 L.min⁻¹ to 5 L.min⁻¹.

170 2.5 Native polyacrylamide gel electrophoresis (Native – PAGE)

171 In order to evaluate NSD temperature effect on the protein structure, Native-PAGE
172 electrophoresis was performed in: LF native samples (LF Nat), LF after drying in NSD
173 (NSD60_10, NSD60_50, NSD60_100; NSD80_10, NSD80_50, NSD80_100; NSD100_10,
174 NSD100_50, and NSD100_100), and also after iron addition (NSD80_100_0.7, NSD80_100_1.4,
175 NSD80_100_2.8). Standard maker Fisher BioReagent EZ-Run Rec Protein Ladder (10–200 kD)
176 (Thermo Fisher Scientific, Massachusetts, EUA) was used to identify the molecular weight of
177 samples.

178 Native-PAGE electrophoresis was carried out using Mini-Protean Tetra system cell equipped with
179 a PowerPAC Basic power supply (Bio-Rad Laboratories, Hercules, Ca, USA), and 12 wells Mini-
180 Protean TGX precast gels containing 4-15% of polyacrylamide Bio-rad (Bio-Rad Laboratories,
181 Hercules, Ca, USA).

182 Samples were prepared with a concentration of 2 mg.mL⁻¹ and 15 µL was added. Electrophoresis
183 was performed at 20 mA. Then gels were stained using a Coomassie Stain Blue solution (Bio-Rad
184 Laboratories, Hercules, Ca, USA).

185 2.6 Fluorescence determination

186 Fluorescence determinations were conducted using the fluorescence instrument Aqualog (Horiba-
187 Jobin Yvon, Inc. Japan). Intrinsic and extrinsic fluorescence was determined. For intrinsic
188 fluorescence was used a 1 g.L⁻¹ sample solution, and analysis were made with an excitation
189 wavelength of 280 nm in order to determine the intrinsic fluorescence by exciting the aromatic
190 pool and amino acids present in the protein. The emission from 290 nm to 450 nm was collected
191 (Rodrigues et al., 2020).

192 Extrinsic fluorescence was determined by adding the 8-Anilino-1-naphthalenesulfonic acid
193 (ANS). ANS solution was previously prepared in methanol with a concentration of 1.5 mM and
194 was stored protected from the light at 4 °C. ANS added to the protein allows access to the protein's
195 hydrophobic sites. ANS was added to the protein solution until a final concentration of 75 µM
196 and then was left to stabilize for 30 minutes at room temperature (~22 °C). After that, ANS –
197 protein complex fluorescence was analyzed at an excitation wavelength of 370 nm, and the
198 emission spectra were collected from 400 nm to 650 nm.

199 2.7 Thermogravimetric analyzes (TGA)

200 TGA was performed using a Shimadzu TGA 50 (Shimadzu Corporation, Kyoto, Japan). Each
201 sample, with a weight of around 10 mg, was placed in the equipment crucible on top of the balance
202 system. Samples were heated from 25 °C to 600 °C, with a heating rate of 10 °C.min⁻¹, under a
203 nitrogen atmosphere. For each sample, at least two repetitions were made.

204 2.8 Iron quantification by Inductively coupled plasma-optical emission spectrometer – ICP-
205 OES

206 The total iron content in LF was measured by using the equipment ICP, the analysis conditions
207 were based on the work of Majka et al. (2013) and Martins et al. (2016). To digest LF-iron
208 samples, 0.5 g of each sample was added to 9.5 mL of HNO₃ 65%, with the exception of
209 NSD80_100_2.8 in which was used 0.2 g with 9.8 mL of HNO₃ (this sample was very reactive
210 and with a quantity of 0.5 g the reactor was unstable). Samples were then digested using the
211 Microwave Digestion System SpeedWave4 (Berghof, Germany) with the following conditions
212 99 kW power, 15 min ramp, followed by a 20 min hold with a rate of 0.4 bar s⁻¹ up to 50 bar, at
213 220 °C. Then samples were diluted until a concentration of HNO₃ of 2% was obtained.

214 Iron content in each digested sample was determined with the ICP technique using the equipment
215 Spectrometer ICPE-9000 (Shimadzu, Japan) with the following conditions: plasma viewing mode
216 was radial and the wavelength used to quantify the iron was 238.204 nm. Also, before sample
217 analyzes, a calibration curve (0 mg.L⁻¹; 0.025 mg.L⁻¹; 0.05 mg.L⁻¹; 0.1 mg.L⁻¹; 0.5 mg.L⁻¹;
218 0.75 mg.L⁻¹; 1 mg.L⁻¹) was made. The measurement unit of the total released iron concentration
219 is mg.L⁻¹.

220 2.9 Attenuated total reflectance Fourier transform infrared spectroscopy (ATR-FTIR)

221 ATR-FTIR analyzes were made to the LF powder of the native form, after NSD and after iron
222 addition and NSD process. FTIR analyzes were performed using an ALPHA II- Bruker
223 spectrometer (Ettlingen, Germany) in the wavelength region between 4000 and 400 cm⁻¹, at a
224 resolution of 4 cm⁻¹, and 64 scans were conducted for each sample. Each spectrum was baseline
225 corrected and the absorbance was normalized.

226 2.10 Iron release at different pH

227 The behavior of iron-loaded protein-based carriers was evaluated in different media, simulating
228 the pH during gastrointestinal digestion, and for that, release tests were performed at pH 2 and
229 7.4 to mimic the stomach and intestinal pH, respectively. 2.86 g of NSD80_100_1.4 LF particles

230 (corresponding to 4 mg of iron) were placed in SpectraPor® Biotech dialysis membrane with a
 231 porosity of 500 Da (Repligen, Massachusetts, USA) previously washed with ultra-pure water.
 232 The dialysis membranes with the particles were placed in jacketed reactors with a temperature of
 233 37 °C with two different media, an acidic medium of HCL-KCL buffer with a pH=2, and
 234 phosphate buffered saline (PBS) medium with a pH=7.4. To avoid bacterial spoilage, 2 mg.mL⁻¹
 235 of sodium azide was added to each medium. Membranes with the particles inside were placed in
 236 the mediums and 500 µL was taken at defined time intervals.
 237 Collected samples from the release tests were diluted until a final volume of 5 mL with HNO₃
 238 2% (v/v), filtrated with nylon syringe filters, and analyzed by ICP, as described above, to quantify
 239 the iron release during the time in two different media.

240 2.10.1 Mathematical modelling

241 An equation that considers both Fickian and Case II transport (linear superposition model – LSM)
 242 effects in hydrophilic matrices was used to evaluate iron release from LF carriers (Berens &
 243 Hopfenberg, 1978):

$$244 \quad M_t = M_{t,F} + M_{t,R} \quad (\text{Eq. 1})$$

245 where $M_{t,F}$ represents the contributions for the Fickian process and $M_{t,R}$ the contributions of the
 246 relaxation process, at time t (in minutes). Variable M_t is the overall mass released (mg) from the
 247 polymeric structure. This equation can be simplified using the first term Taylor series, resulting
 248 in equation 2 where M_F is the compound released at equilibrium and K_F is the Fickian diffusion
 249 rate constant:

$$250 \quad M_{t,F} = M_F \left[1 - \frac{6}{\pi^2} \exp(K_F t) \right] \quad (\text{Eq. 2})$$

251 The swelling capacity of the polymer controls the polymer relaxation. Moreover, this is correlated
 252 to the dissipation of stress caused by penetrant input, expressed as a distribution of relaxation
 253 times, each assuming a first-order-type kinetic equation (Berens & Hopfenberg, 1978):

$$254 \quad M_{t,R} = \sum_i M_{\infty,R_i} [1 - \exp(-K_{R_i} \cdot t)] \quad (\text{Eq. 3})$$

255 with M_{∞,R_i} and K_{R_i} representing the contribution of the relaxation processes to compound release
 256 and the relaxation rate constants, respectively. When assuming a single major polymer relaxation
 257 that influences release, $i=1$, equation 3 can be simplified as follows:

$$258 \quad \frac{M_f}{M_\infty} = M_f \left[1 - \frac{6}{\pi^2} \exp(-K_F t) \right] + (1 - M_R) [1 - \exp(-K_R t)] \quad (\text{Eq 4})$$

259 where M_f represents the fraction of the compound released by the Fickian process and M_R is the
260 fraction of the compound released by the relaxation process. Thus, equation 4 can be used to
261 characterise LSM for iron release from LF carriers.

262 This model can be used to represent pure Fickian, anomalous (i.e. Fickian and polymer relaxation
263 phenomena), and Case II (only polymer relaxation phenomena) release processes in biopolymeric
264 matrices. Eq. (2) (Fick's second law) and Eq. (4) (LSM) were applied to the experimental data to
265 determine the release mechanism involved in the iron release from LF carriers at pH 2 and 7.4.

266 2.11 Statistical analysis

267 The data were fitted to Equation 2 and Equation 4 by non-linear regression, using the software
268 STATISTICA v7.0 (Statsoft. Inc, USA).

269 For the purpose of minimizing the least squares function, the Levenberg-Marquadt method was
270 applied. The determination coefficient, R_{adj}^2 , the squared root mean square error, $RMSE$ (i.e., the
271 square root of the sum of the squared residues (SSE) divided by the regression degrees of
272 freedom), and residuals visual inspection for randomness and normality were used to assess the
273 quality of the regressions. R_{adj}^2 and SSE values were obtained from the software. The
274 Standardised Halved Width ($SHW\%$), which was defined as the ratio between the 90% Standard
275 Error (also obtained from the software) and the value of the estimate, was used to assess the
276 precision of the estimated parameters.

277 Software Origin 9.0 (OriginLab Corporation, Northampton, MA, USA) was used to perform
278 sample analysis of variance (ANOVA), followed by multiple comparisons by the Tukey test
279 ($p < 0.05$).

280 3. Results and discussion

281 3.1 Unloaded carrier characterization

282 3.1.1 Particle size and morphology

283 Table 1 presents the size distribution of particles obtained at different drying temperature (60 °C,
284 80 °C, and 100 °C) and concentrations (10 mg.mL⁻¹, 50 mg.mL⁻¹, and 100 mg.mL⁻¹), being
285 represented as NSDtemperature_concentration (e.g., NSD60_10). SEM images and size
286 distribution histogram are presented in Figure S1.

287 <Insert Table 1 here>

288 SEM images (Figure S1) show that particles' sizes and morphologies change according to the
289 conditions used. The particles for the drying temperature of 60 °C and a concentration of
290 10 mg.mL⁻¹ present a mean size of $1.103 \pm 0.588 \mu\text{m}$ (Table 1). Most of the obtained particles in
291 these conditions have a round surface, but there are some particles wrinkled, and others with holes
292 and doughnut-shape (Figure S1A). The particles produced with 50 mg.mL⁻¹ of LF at 60 °C present
293 a mean size of $0.944 \pm 0.470 \mu\text{m}$ and a round shape and smooth surface, but there are some
294 particles with a doughnut-shape (Figure S1B). The doughnut shape particles can be explained by
295 the formation of glassy skin in the early stage of the drying process of solution droplets (Arpagaus
296 et al., 2017; Lee et al., 2011). This behavior was also observed by Lee et al. (2011) in particles
297 produced using bovine serum albumin (BSA). Also, using 60 °C but with a concentration of
298 100 mg.mL⁻¹ of LF, we obtained particles with a mean size of $0.925 \pm 0.465 \mu\text{m}$, but in this case,
299 all the obtained particles have a round shape and a smooth surface (Figure S1C).

300 The particles produced with a drying temperature of 80 °C resulted in particles with a round shape
301 and a smooth surface, and just few particles with holes and doughnut-shape were obtained in the
302 particles produced with a concentration of 10 mg.mL⁻¹ and 50 mg.mL⁻¹. The particles presented
303 a mean size of $0.907 \pm 0.453 \mu\text{m}$, $0.812 \pm 0.433 \mu\text{m}$, $1.084 \pm 0.550 \mu\text{m}$ for the concentrations of
304 10 mg.mL⁻¹, 50 mg.mL⁻¹ and 100 mg.mL⁻¹ (Figures S1D, S1E, S1F), respectively.

305 When the NSD was performed at 100 °C the obtained particles presented different morphologies
306 for each LF concentration. For the lower LF concentration (10 mg.mL⁻¹) the particles presented a
307 mean diameter of $0.677 \pm 0.359 \mu\text{m}$ (Figure S1G). In this case, the particles have a wrinkled
308 surface, due to the solution's low concentration and the high drying temperature, which
309 immediately dries the solution droplets producing hollow particles (Arpagaus et al., 2017;
310 Nandiyanto & Okuyama, 2011). When a concentration of 50 mg.mL⁻¹ was used, the particles
311 presented a mean size of $0.905 \pm 0.516 \mu\text{m}$, a round shape, and smooth surface morphology, with
312 the presence of very few particles with holes (Figure S1H). Finally, the concentration of
313 100 mg.mL⁻¹ produced particles with a round shape and a smooth surface, presenting a mean
314 diameter of $1.184 \pm 0.541 \mu\text{m}$ (Figure S1I).

315 These results agree with the work of Lee et al. (2011) that studied the effect of BSA protein
316 concentration (5 mg.mL⁻¹, 10 mg.mL⁻¹, and 20 mg.mL⁻¹) on the particles obtained by spray drying.
317 The authors concluded that the increase in protein concentration resulted in more smooth surface
318 particles. They also noticed that drying temperature has a minimal influence on the particles'
319 morphology (Lee et al., 2011). Similar conclusions were obtained by the laboratories Büchi that
320 observed the same behavior for BSA with a concentration of 1 mg.mL⁻¹, 10 mg.mL⁻¹, and
321 100 mg.mL⁻¹ (Büchi, 2017). Also Harsha et al. (2017) obtained similar results using albumin.

322 They concluded that particle size and size distribution increase with the protein concentration in
323 the feed solution (Büchi, 2017; Harsha et al., 2017).

324 3.1.2 Circular dichroism

325 3.1.2.1 Secondary structure

326 The circular dichroism analysis in the Far-UV region (185 – 260 nm) was used to study the
327 conformational changes in the protein secondary structure after the drying process. The analysis
328 was based on the characteristic CD spectrum of the helices, β -sheet, and random coils CD
329 spectrum obtained for the protein secondary structure, providing an estimation of the LF
330 secondary structure composition after the drying process. The CD study can be used to evaluate
331 quantitatively the overall secondary structure content of the protein since the different forms of
332 regular secondary structure found in proteins exhibit distant spectra (Kelly & Price, 2005).
333 Obtained spectrum for each LF condition is represented in Figure 1a).

334 LF Native (LF Nat) profile shows a negative peak at 208.5 nm, representing a weak but broad
335 $n \rightarrow \pi^*$ transition, which represents the α -helix configuration and is in agreement with other works
336 (Nunes et al., 2020; Wang et al., 2017a, 2017b). Also, it presents a positive and intense peak at
337 190 nm and a slight peak at 215 nm, representing β -sheet components.

338 The samples dried in NSD at different temperatures have a very similar spectra shape, even when
339 compared with the control (LF Nat), and no shifts were observed. However, ellipticity differences
340 were noticed at 208.5 nm in the CD spectra of the NSD samples, with an ellipticity decrease when
341 compared with the LF Nat. These differences are even more evident for the NSD samples at the
342 higher concentration (100 mg.mL^{-1}). The same behavior was obtained at 190 nm for the samples
343 with a higher concentration of LF and dried at higher temperatures (NSD80 and NSD100) which
344 present higher ellipticity. These results can be related to the formation of small protein aggregates
345 during the NSD process. Similar results were obtained by Wang et al. (2017b) when LF dried
346 using single droplet drying to simulate the spray drying process. Other work corroborates that
347 these changes in the spectra can be related to protein aggregation. Brisson et al. (2007) studied
348 LF aggregation in aqueous solutions with different temperatures and showed that LF Nat is heat
349 sensitive and at $60 \text{ }^\circ\text{C}$ can form intermolecular disulphide linkages resulting in protein aggregates
350 (Brisson et al., 2007; Zhang et al., 2015).

351 Obtained results show that the drying process using the NSD B-90 HP with temperatures of $60 \text{ }^\circ\text{C}$,
352 $80 \text{ }^\circ\text{C}$, and $100 \text{ }^\circ\text{C}$ did not cause major changes in the secondary structure of the LF protein since
353 all the characteristics of the structures were maintained,. Although, during NSD, especially for
354 higher temperatures ($80 \text{ }^\circ\text{C}$ and $100 \text{ }^\circ\text{C}$) can be formed intermolecular disulphide linkages,
355 resulting in small changes on protein conformation.

356 <Insert Figure 1 here>

357 3.1.2.2 Tertiary structure

358 The tertiary structure spectra can be evaluated in the region between 260 nm to 320 nm, which
359 assesses the aromatic amino acids. Each amino acid has a characteristic wavelength: the
360 tryptophan (Trp) with a peak between 290 and 300 nm, the tyrosine (Tyr) that shows a small peak
361 between 280 nm and 290 nm, and the phenylalanine (Phe) with a peak between 255 nm and
362 270 nm, and by their analysis is possible to identify changes in the protein tertiary structure (Kelly
363 et al., 2005). Figure 1b) presents the tertiary structure spectrum obtained by circular dichroism
364 for the LF native and for LF after the NSD process at different temperatures.

365 Comparing LF Native spectrum with the obtained spectra for dried samples, it is possible to
366 observe that there are no major differences in obtained results. The peaks are in the same
367 wavelength range for all samples, meaning that NSD process did not cause major changes in the
368 protein amino acids and the protein tertiary structure. However, in the Tyr peak, between 280 and
369 290 nm, there is a slight difference in the peak intensity. Samples after the drying process have
370 an increase in the intensity peak when compared with the LF Nat. This behavior is more evident
371 for the NSD80_10 and NSD100_10. This result is in agreement with the results obtained by other
372 authors that reported changes in the Tyr peak after the heating process (Liu et al., 2016; Xu et al.,
373 2019), which related them to small conformational changes due to the drying process and
374 consequent small aggregation formation (Brisson et al., 2007).

375 3.1.3 Electrophoresis

376 SDS-Page electrophoresis (Figure S2) was performed in order to evaluate potential changes in
377 the molecular structure and size of the LF after the drying process. The native form of LF (band
378 A) and samples dried with NSD process (bands B to J) present a major band that corresponds to
379 LF molecular mass (75 kDa).

380 After the NSD process (60 °C, 80 °C, and 100 °C) there are no changes in the intensity of the
381 major protein band, which suggests that there is no major molecular degradation of LF when dried
382 in the NSD. Although for the samples NSD60_50, NSD60_100, NSD80_50, NSD80_100,
383 NSD100_50, and NSD100_100, a minor band was obtained around 50 kDa and 30 kDa, which
384 suggests a minor molecular degradation of LF occurred to the NSD process. The slight band at
385 30 kDa can be due to residual α -lactalbumin, while the band around 50 kDa can be due to α s2-
386 casein residues (Wang et al., 2017a). The small aggregations described above are not evident in

387 the electrophoresis because they probably were broken in the presence of SDS (Brisson et al.,
388 2007).

389 3.1.4 Thermogravimetric analysis (TGA)

390 TGA provides information about the thermal degradation of the samples. Table S1 presents the
391 thermal events and respective weight loss of the samples. The thermal profile of each sample is
392 presented in Figure 2.

393 LF samples dried at different temperatures presented two major thermal degradation events. The
394 first one occurred between 27 °C and 145 °C and the other one between 150 °C and 500 °C. The
395 weight loss of the first thermal event can be attributed to water evaporation. The LF Nat sample
396 presents a higher weight loss ($9.42 \pm 0.13\%$) while the sample dried at 60 °C presents a weight
397 loss of $7.82 \pm 0.67\%$, and the ones dried at NSD80 and NSD100 result in a weight loss of $6.10 \pm$
398 0.16% and $5.33 \pm 0.12\%$, respectively. Lower weight loss was obtained for the high drying
399 temperature (100 °C), which shows that the use of higher temperatures during the NSD are more
400 effective in lowering the samples' moisture.

401 In the second event, between 150 °C and 500 °C, all the samples have a high weight loss that can
402 be attributed to protein degradation, i.e., degradation of the polypeptide structure. The similar
403 extent of mass loss in drying temperatures of 80 °C ($60.90 \pm 2.11\%$) and 60 °C ($59.89 \pm 0.07\%$)
404 and in LF Nat ($59.05 \pm 0.41\%$) indicates that the primary pyrolysis products of these two samples
405 are similar. Although the NSD 100 °C has a higher weight loss ($63.82 \pm 0.41\%$), which can be
406 observed in the derivative curve, it shows a degradation peak at a higher temperature than the
407 other samples. This difference shows that LF dried at 100 °C is slightly more resistant to thermal
408 degradation than LF dried at 60 °C and 80 °C. This result indicates that drying LF at 60°C and 80
409 °C in NSD did not have an effect on the protein degradation, but 100 °C had. This behavior can
410 be related to the formation of non-covalently linked oligomers and therefore intermolecular
411 thiol/disulphide and non-covalent interactions during the drying process that can be more evident
412 at 100 °C. Since this temperature is higher than the LF denaturation temperature, more bond
413 cleavage and new interactions can be formed, resulting in a more resistant structure (Brisson et
414 al., 2007).

415 Results are in agreement with Bourbon et al. (2020), which concluded that NSD drying
416 temperature affects protein degradation, having more thermal degradation in higher NSD
417 temperatures. Results suggest that NSD drying temperature can have a small effect on protein
418 conformation.

419 <Insert Figure 2 here>

420 3.2 Iron-loaded carriers characterization

421 After testing different drying conditions and studying the effect of three drying temperatures on
422 the protein structure, a drying temperature of 80 °C and a LF concentration of 100 mg.mL⁻¹ were
423 selected to develop the carrier of the mineral iron. The selection was based on particle
424 morphology, since at 80 °C the particles have a round shape and smooth surface. Particles with
425 holes and doughnut shapes were avoided since particles with this morphology have higher specific
426 surface when compared to spherical shape particles (Arpagaus et al., 2017). Based on the obtained
427 TGA results, for the drying temperature of 100 °C there is a higher weight loss which can be
428 related to conformation changes in the protein. Furthermore, samples dried at 80 °C present lower
429 moisture content than the ones dried at 60 °C.

430 3.2.1 Particles size and morphology

431 Figure 3 presents the SEM images of samples loaded with different iron concentrations and
432 Table 2 presents the size distribution for each sample. Results show particles with a round shape
433 and a smooth surface. The loaded particles have an average size similar to the control NSD80_100
434 (1.084 ± 0.550 µm) with particles size of 1.018 ± 0.576 µm, 0.903 ± 0.492 µm and 0.987 ± 0.574
435 µm for NSD80_100_0.7, NSD80_100_1.4, and NSD80_100_2.8, respectively. Iron addition did
436 not cause changes in the obtained particles' morphology, shape, and size.

437 <Insert Figure 3 and Table 2 here>

438 3.2.2 Circular dichroism

439 Figure 4a) shows that the samples' spectra presented the same shape, with a typical CD signal of
440 the LF. The obtained spectrum has the characteristic peaks of 190 nm and also a small peak at
441 215 nm, which correspond to the β-sheet and at 208.5 nm, which is related to the fingerprint of
442 α-helix.

443 Comparing the obtained spectrum for the control sample, NSD80_100, and the samples with iron
444 additions, there are just small differences in the ellipticity that can be due to small conformation
445 changes in the protein to bind to the mineral iron (Brisson et al., 2007).

446 <Insert Figure 4 here >

447 Similar results were reported by Bokkhim et al. (2014), who obtained a spectrum with only minor
448 differences in magnitude for different iron concentrations in LF, supporting the fact that the
449 binding of iron to LF does not cause major secondary structure changes.

450 Figure 4b) shows the tertiary structure spectrum for the protein in its native form, after NSD
451 process at 80 °C, and after iron addition in different concentrations. Similar to the results reported
452 above, all samples maintained the characteristic peaks of each amino acid. Although, for samples
453 with iron addition, a higher intensity for the Trp and Phe peaks is obtained. These results are
454 similar to the results obtained by Bokkhim et al. (2014) where LF samples without or with lower
455 iron concentration present a negative ellipticity for the Trp peak, while iron addition resulted in a
456 positive ellipticity in the same wavelength range. The change in the Trp peak is related to
457 conformational changes in the neighbor amino acids (Tyrosine, Tyrosine, Histidine, and
458 Asparagine) that allow the LF to bind to the mineral iron (Wang et al., 2019).

459 3.2.3 Visible CD (300 nm – 600 nm)

460 CD in the visible range (Vis-CD) (300 nm – 600 nm) allows evaluating the interaction between a
461 protein and a metal ion. Vis-CD spectra of transition metal ions are a result of a mixture of
462 absorption bands resulting from d-d electronic transitions. At these wavelengths, Vis-CD
463 spectrum is only obtained when the metal ion is in a chiral environment (Albetel & Outten, 2019;
464 Stanyon et al., 2014).

465 <Insert Figure 5 here>

466 Figure 5 shows the spectrum obtained for visible CD from 300 nm to 600 nm for the control
467 samples without iron addition and LF with three different iron concentrations. The obtained
468 spectra for LF Nat and NSD80_100 have a slightly positive peak at 334 nm and a slightly negative
469 peak at 448 nm. Although samples with iron addition have a different spectrum, in this case, was
470 obtained an intense positive peak at 330 nm, and an intense negative peak that increases with the
471 iron concentration, at 451 nm, 449.5 nm, and 448 nm for NSD80_100_0.7, NSD80_100_1.4, and
472 NSD80_100_2.8, respectively. Additionally, there is a difference between the spectrum with
473 different iron concentrations. The samples with lower iron concentration have a shoulder at
474 306 nm and the samples with higher iron concentration present a peak at 306 nm. These results
475 show that iron concentration leads to differences in the obtained spectrum. Also, the obtained
476 results confirmed the presence of an LF-iron interaction. Results are in accordance with the results
477 obtained by Bokkhim et al. (2014) which obtained a good correlation between the iron content
478 and the change in the samples' spectra.

479 3.2.4 Electrophoresis

480 Results are very similar to those obtained after the NSD process reported in section 3.1.3. Iron
481 addition did not cause changes in the intensity of the major protein band, which suggests that

482 there is no molecular degradation of LF when it is bonded to the mineral iron (Figure S3). Similar
483 results were obtained by Wang et al. (2017b), where different iron concentrations did not cause
484 LF degradation.

485 3.2.4. TGA

486 TGA analyzes were performed to evaluate the effect of iron addition on the thermal stability of
487 LF Nat and LF with different iron concentrations. The mass loss patterns and derivatives obtained
488 by thermogravimetric analysis of three forms of LF are presented in Figure 6. The first weight
489 loss event was until 145 °C, corresponding to moisture loss, are very similar for the three iron
490 concentrations, $7.04 \pm 0.02\%$, $7.10 \pm 0.07\%$, and $6.89 \pm 0.66\%$, to the samples NSD80_100_0.7,
491 NSD80_100_1.4, and NSD80_100_2.8, respectively. These results are explained by the drying
492 temperature that was the same for all the samples, showing the water content in the samples after
493 the drying process is very similar.

494 After 150 °C and until 500 °C the weight loss in all the samples started to drop, which indicates
495 the degradation of polypeptide structure. The samples with NSD80_100_0.7 present the minimum
496 peak in the derivative at a lower temperature, at 323.58 °C compared with the 328.9 °C and
497 331.3 °C from the NSD80_100_1.4 and NSD80_100_2.8, respectively. The results show that LF
498 with higher concentrations of iron are more resistant due to the compact conformation of LF
499 bound with iron (Wang et al., 2017b).

500 <Insert Figure 6 here>

501 3.2.5. Intrinsic and extrinsic fluorescence

502 Changes in protein structure imposed by iron addition and the NSD process were assessed using
503 fluorescence spectroscopy of endogenous tryptophan. For that, two different ligand binding
504 studies were made, one with ANS to study the protein extrinsic fluorescence, and other where
505 protein intrinsic fluorescence was analyzed. The NSD process and iron addition decreased Trp
506 fluorescence intensity, which is more evident for higher iron concentrations. When compared
507 with the LF Nat, the NSD80_100 sample has less fluorescence intensity but no shift in the
508 fluorescence maximum was detected (328.77 nm), indicating that the NSD process does not cause
509 changes in the Trp microenvironment, and therefore the decrease in fluorescence observed must
510 be the result of an increased quenching of the neighbor amino acids due to small conformation
511 rearrangements or higher structural dynamics (Bhattacharjee & Das, 2000; Lakowicz, 2006;
512 Rodrigues et al., 2020).

513 Figure 7a) shows that fluorescence intensity decreases with iron addition, which is more evident
514 for higher iron concentrations. The intensity goes from 15762 a.u. in the LF Nat, to 4269.88 a.u.
515 in the sample of LF with higher iron concentration. This decrease can be a result of an increased
516 quenching from the neighbor amino acids, which results from conformational rearrangements due
517 to iron binding (Brisson et al., 2007; Rodrigues et al., 2020). Also, there is a red-shift in the
518 maximum of Trp fluorescence with a broadening of the spectra to the right with the increase of
519 iron concentration, from 328.77 nm in the samples without iron, to 329.9, 332.19 and 333.33 nm
520 for the samples NSD80_100_0.7, NSD80_100_1.4, and NSD80_100_2.8, respectively.
521 Corresponding to a maximum red-shift of 4.56 nm, which indicates that tryptophan was exposed
522 to a more hydrophilic environment, which is justified by the conformational changes in the protein
523 when it binds to iron. Protein structure without iron is less stable and less compact when compared
524 with the LF-iron complex, which results in a more closed structure (Wang et al., 2013).

525 Similar results were obtained by Wang et al. (2013) that analyzed the effect of different iron
526 saturation (1%, 9%, 38%, 58%, and 96%) on the LF fluorescence, and observed that there is an
527 intensity decrease and a wavelength increase (red-shift) for lower concentrations of iron. These
528 results corroborate the occurrence of substantial structural changes resulting in different Trp local
529 environment and solvent accessibility.

530 <Insert Figure 7 here>

531 The obtained emission spectra for ANS-LF complex are presented in Figure 7b). Results show an
532 intensity decrease and a small blue-shift for the sample with a higher concentration of iron; while
533 for other samples no shifts are observed. Results obtained for LF Nat and LF after NSD process
534 present the same behavior. Iron addition leads to a decrease in fluorescence and a blue-shift
535 (1.17 nm) for the sample NSD80_100_2.8. Samples with iron addition display lower fluorescence
536 intensities than the samples without iron addition resulting from a lower affinity to ANS. The
537 decrease in ANS fluorescence may arise from a decreased binding affinity or from less exposure
538 to hydrophobic regions due to higher structural changes caused by iron binding and hydrophobic
539 pocket occlusion. From the results can be concluded that the increase in iron concentration leads
540 to fewer places available for the ANS to bind to the protein. This behavior results from a
541 conformational change in the protein caused by iron binding, also observed by tertiary structure
542 analysis by CD.

543 3.2.6. Fourier Transform Infrared spectroscopy (FTIR)

544 Figure 8 shows the obtained spectra for samples with and without iron addition. LF Nat spectrum
545 shows the characteristic protein absorption peaks, the amide I at 1637 cm^{-1} due to the C=O

546 stretching vibration of the peptide group, and the amide II at 1525 cm^{-1} due to N-H bending with
547 a contribution of C-N stretching vibrations. The absorbance peak at 3258 cm^{-1} represents the O-
548 H stretching, indicating the presence of water in the sample; this peak has a lower intensity in
549 samples that were dried in the NSD when compared with the LF Nat, which is in agreement with
550 the obtained results for TGA.

551 <Insert Figure 8 here>

552 The peaks observed between $2800\text{-}3000\text{ cm}^{-1}$ are related to C-H stretching vibration,
553 corresponding to the symmetrical and asymmetrical stretching in the $-\text{CH}_2$ and $-\text{CH}_3$ groups
554 (Martins et al., 2016). The peak that corresponds to amide I observed at 1637 cm^{-1} region gives
555 information about the protein secondary structure, and shows no shifts and changes in the amide
556 I and consequently in the protein secondary structures (Duca et al., 2018; Martins et al., 2016).
557 Regarding the amide II peak, observed at 1525 cm^{-1} for the LF Nat, starts to differentiate into two
558 peaks for the NSD samples with higher drying temperatures (NSD80 and NSD100), obtained one
559 peak at 1522 cm^{-1} and another at 1540 cm^{-1} . The differences in amide II peak were also obtained
560 by the author Wang et al. (2017a) for spray dried LF particles at $70\text{ }^\circ\text{C}$ and $95\text{ }^\circ\text{C}$.

561 The observed band around 1064 cm^{-1} is assigned to $\text{N}\equiv\text{C}$ or $\text{C}=\text{C}$ stretch and C-H deformation
562 vibrations of tryptophan (Duca et al., 2018). The obtained results show a small shift in the Trp
563 peak, from 1063 cm^{-1} in the LF Nat for 1065 cm^{-1} for samples after the NSD process and to
564 1066 cm^{-1} after iron addition. These results can be related to small protein conformation
565 rearrangement as described before in the secondary and tertiary protein structure and fluorescence
566 analysis.

567 The spectrum obtained for each sample shows they all have the typical protein bands, and only
568 small shifts in the peaks were obtained. These results are in agreement with the results obtained
569 by Martins et al. (2016) and Wang et al. (2017a) that obtained only small shifts in amide II
570 observed by FTIR after LF-iron particles production and LF particles by spray drying,
571 respectively

572 3.2.7. Iron release in different pH

573 The behavior of iron-loaded carriers was evaluated at two different pH (2 and 7.4). They can give
574 information about the behavior of the carrier in the gastrointestinal tract (e.g., stomach and small
575 intestine) but also in acidic and neutral foods (Martins et al., 2016; Nunes et al., 2020).
576 Figure 9a) shows the iron release from LF particles at pH 2 and pH 7.4, at $37\text{ }^\circ\text{C}$. Results show
577 that at pH 7.4 there is no iron release, while at acidic pH the iron is released from the LF particles.

578 At pH 7.4 the LF remains bounded to iron, indicating a good capacity of LF to keep its structure
579 and bound to iron at neutral pH. On the other hand, at pH 2, the LF structure starts to denature,
580 and iron starts to be released from the carrier because at these conditions, the pH is very different
581 from 8.5, the LF's isoelectric point. This behavior was described by Baker & Baker, (2004), that
582 analyzed the pH dependence of the iron release process from LF and concluded that LF retains
583 iron at pH ~3.0, while at pH 7 there is no iron release. The results are in agreement with the
584 results obtained by Martins et al. (2016) that analyzed the iron released from LF gels at similar
585 pH.

586 <Insert Figure 9 here>

587 In order to evaluate the mechanism of iron release from the particles, the data were fitted to Fick's
588 second law (Eq. 2) and LSM (Eq. 4). Results are represented in Figure 9b), where are presented
589 the experimental values and the Fick's fitting and the LSM fitting for iron release at pH 2. At
590 neutral pH, there is no iron released, which makes it impossible to apply these models. The values
591 for Fick's constant and relaxation constant are presented in Table S2. Results show that Fick's
592 model does not fit the experimental data and consequently does not describe the iron release
593 mechanism at pH 2 (Figure 9b)). However, LSM adequately fits the experimental data
594 ($Radj^2=0.9879$). The value of M_R was 21.5627 mg, which means that polymer relaxation (Case II
595 transport) was the major release mechanism responsible for the iron release. In the case of Fick's
596 mechanism (diffusion mechanism), it was obtained a M_f of 0.0349 mg of iron was released. The
597 relaxation rate constant (K_R) is lower than the Fickian rate constant (K_F) showing that iron is
598 released more rapidly by Fick's diffusion than by polymer relaxation (Martins et al., 2016).
599 Results show that the release mechanism for iron-loaded protein-based carriers can be described
600 by the LSM model. Thus, the iron released comes from a combination of diffusion and
601 macromolecular swelling processes confirming that LF-iron particles are a hydrophilic and
602 swellable system.

603 4. Conclusions

604 With this work, it is possible to conclude that NSD is an alternative technology to obtain dried
605 and stable LF particles. Despite being used at high temperatures, this process does not denature
606 the protein as proved by CD analysis and SDS-page electrophoresis results. Only small ellipticity
607 changes were obtained in the secondary and tertiary structure CD analysis, probably due to small
608 formed aggregations in the protein. This technology allows the production of round shape and
609 smooth surface particles with sizes ranging from 38.8 nm to 4533.6 nm.

610 Iron addition does not cause protein degradation as observed in the obtained results for the
611 secondary structure analysis by CD, but leads to changes in protein conformation as proved by

612 the results obtained in the tertiary structure that shows higher ellipticity for Trp peak.
613 Fluorescence results are also in agreement with obtained results for tertiary structure in CD, which
614 shows that iron binds to LF, causing conformational changes in the neighbor amino acids. LF-
615 iron interaction was also confirmed by Vis-CD.

616 Stability tests at pH 2 and pH 7.4, show that LF-iron carries are stable at neutral pH, and no iron
617 is released in this condition. On the other hand, at pH 2, the iron is released over time and polymer
618 relaxation is the governing phenomenon.

619 This work presents promising results for the use of NSD technology for the development of iron
620 delivery systems aiming the development of fortified foods, showing a simple, easy, and quick
621 technology for dried particle production suitable even for temperature-sensitive materials like
622 proteins.

623

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Table 1 – Particles diameter mean \pm standard deviation. ^{a-e}. Different letters mean statistically significant differences between values ($p < 0.05$)

Sample	Particles diameter (μm)
NSD60_10	1.103 ± 0.588^a
NSD60_50	0.944 ± 0.470^b
NSD60_100	0.925 ± 0.465^b
NSD80_10	0.907 ± 0.453^b
NSD80_50	0.812 ± 0.433^c
NSD80_100	1.084 ± 0.55^a
NSD100_10	0.677 ± 0.359^d
NSD100_50	0.905 ± 0.516^b
NSD100_100	1.184 ± 0.541^e

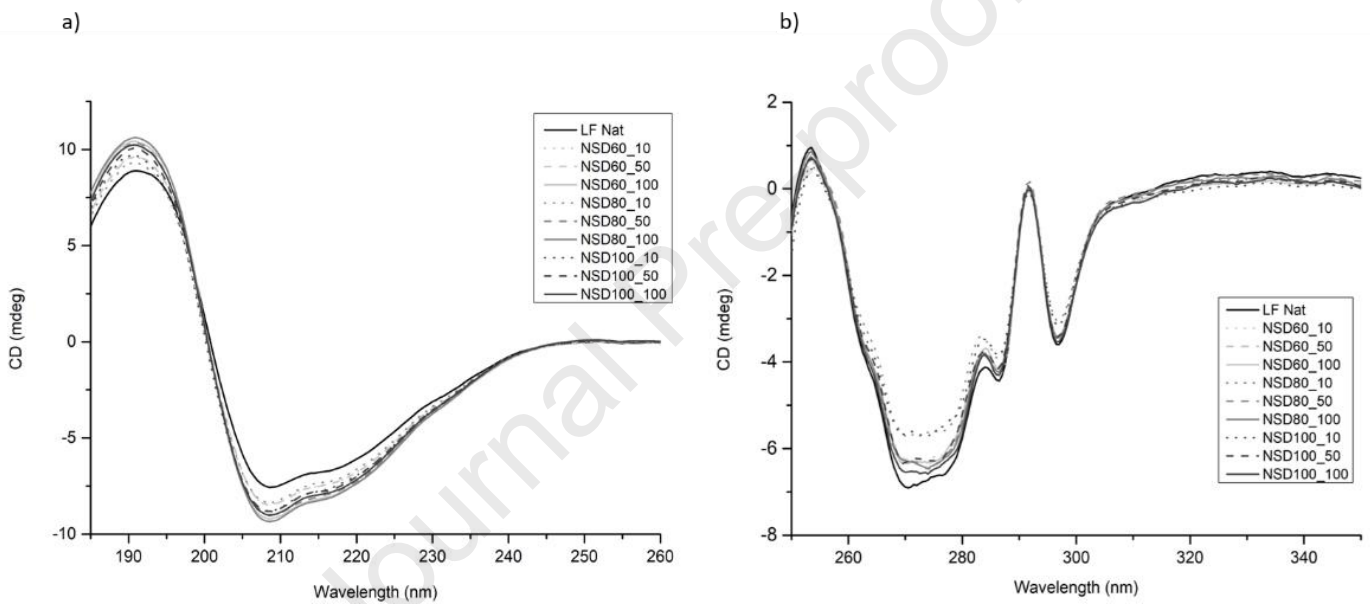


Figure 1 – a) Circular dichroism spectrum obtained for the secondary structure of the different LF concentrations and drying temperatures. b) Circular dichroism spectrum obtained for the tertiary structure of the different LF concentrations and drying temperatures.

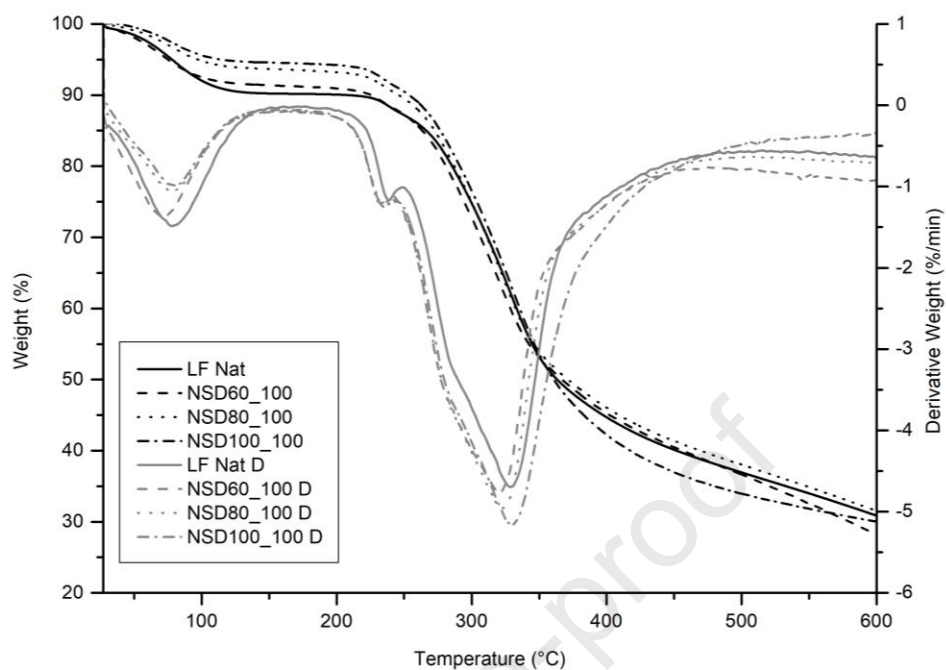
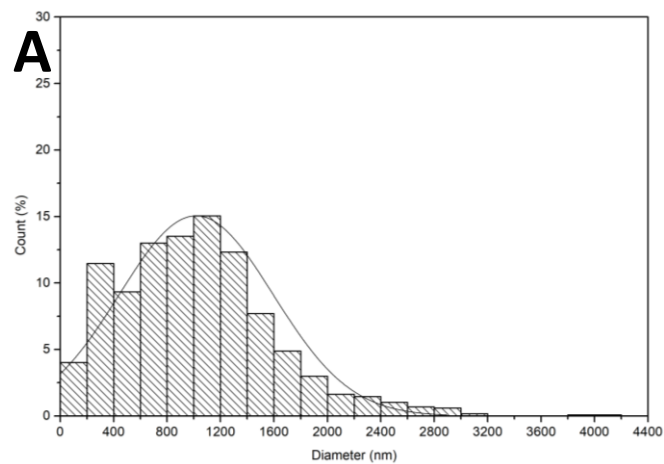
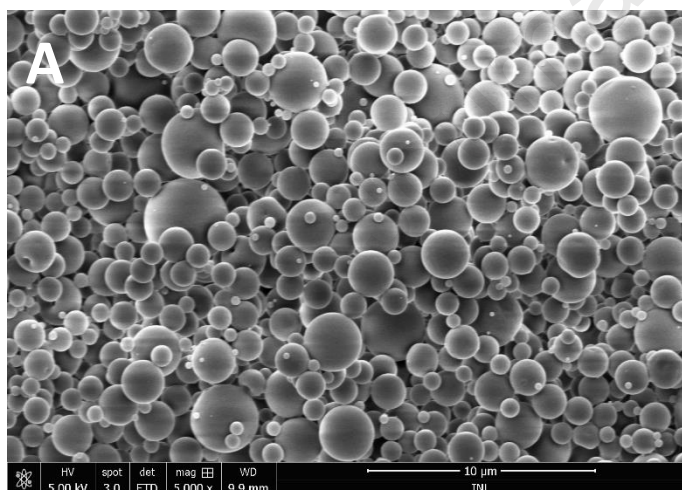


Figure 2 – Thermogravimetric analysis for LF samples after NSD at different temperatures. Derivative spectrum is identified with D in the inserted legend.



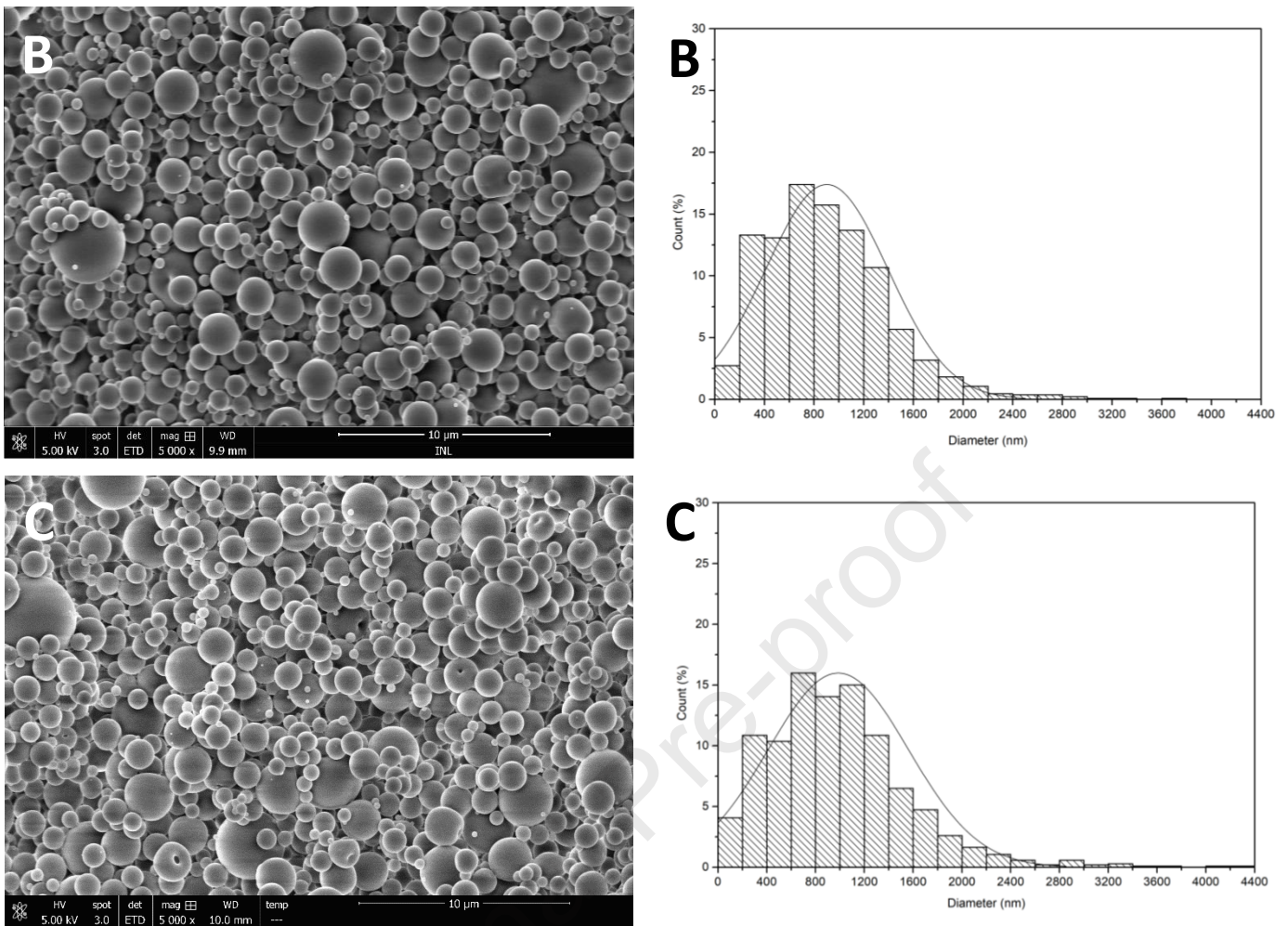


Figure 3 – SEM images and corresponding size distribution graphs: A) NSD80_100_0.7; B) NSD80_100_1.4; C) NSD80_100_2.8.

Table 2 – Particles diameter mean \pm standard deviation for samples with iron addition. ^a - ^c. Different letters mean statistically significant differences between values ($p < 0.05$)

Samples	Particles diameter (μm)
NSD80_100	1.084 ± 0.550^a
NSD80_100_0.7	$1.018 \pm 0.576^{a,c}$
NSD80_100_1.4	0.903 ± 0.492^b
NSD80_100_2.8	0.987 ± 0.574^c

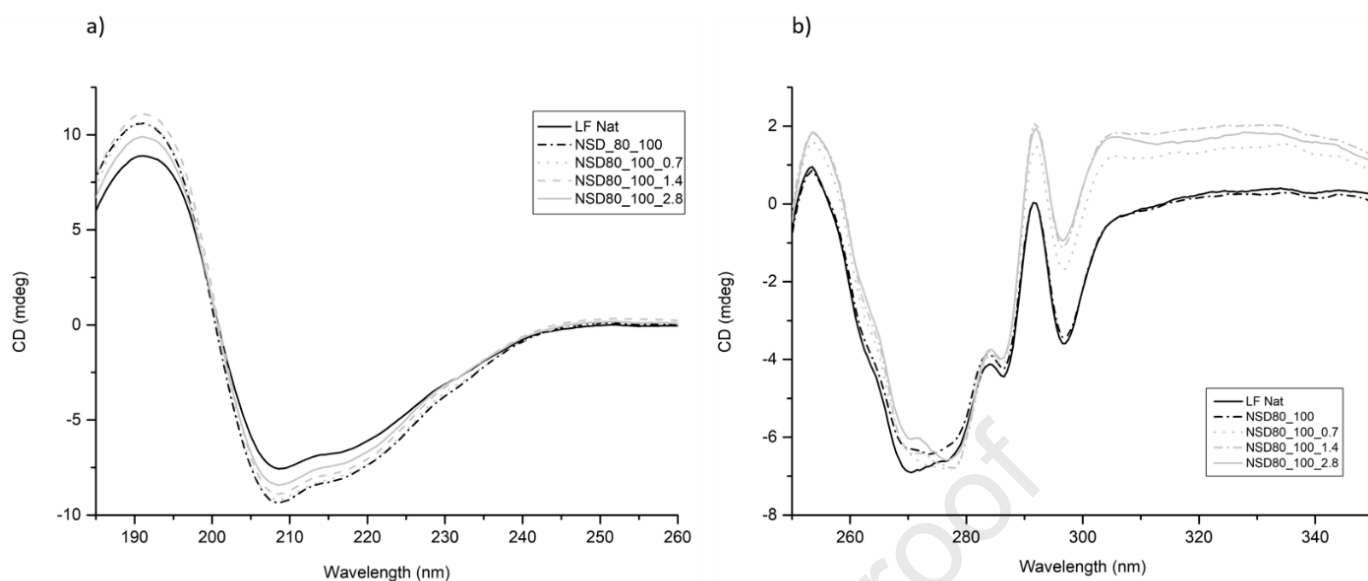


Figure 4 – Circular dichroism spectra of LF 100 mg.mL⁻¹ after drying process at 80 °C with the addition of iron at different concentrations: a) Secondary structure spectra for LF Nat, NSD80_100; NSD80_100_0.7, NSD80_100_1.4, and NSD80_100_2.8; b) Tertiary structure spectra for LF Nat, NSD80_100; NSD80_100_0.7, NSD80_100_1.4, and NSD80_100_2.8.

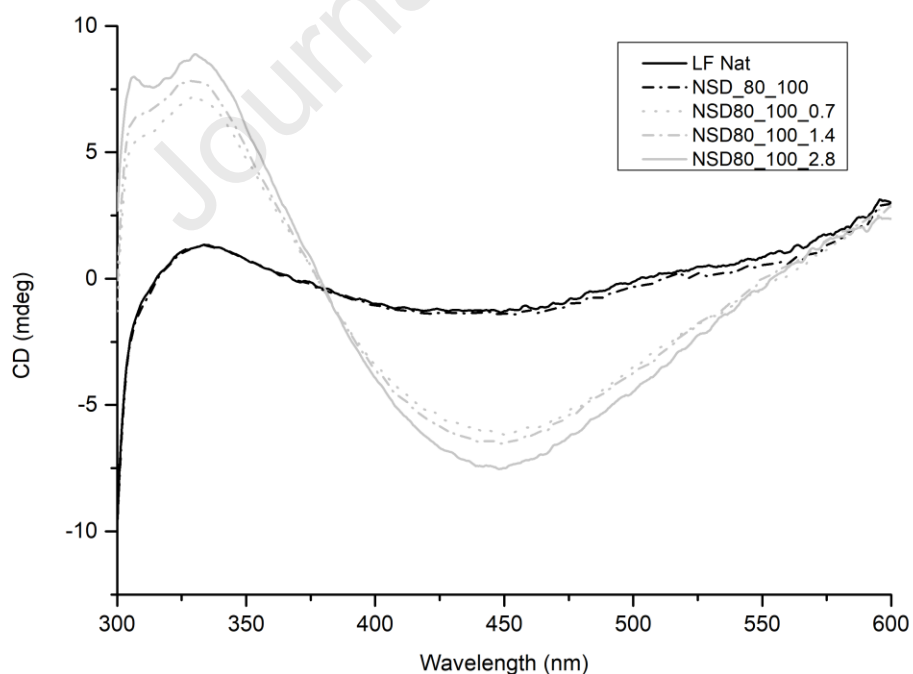


Figure 5 – Visible CD spectra (300 nm – 600 nm) to evaluate iron binding capacity to LF. NSD80_100 at different iron concentrations (0.7, 1.4 and 2.8 mg of iron per gram of LF).

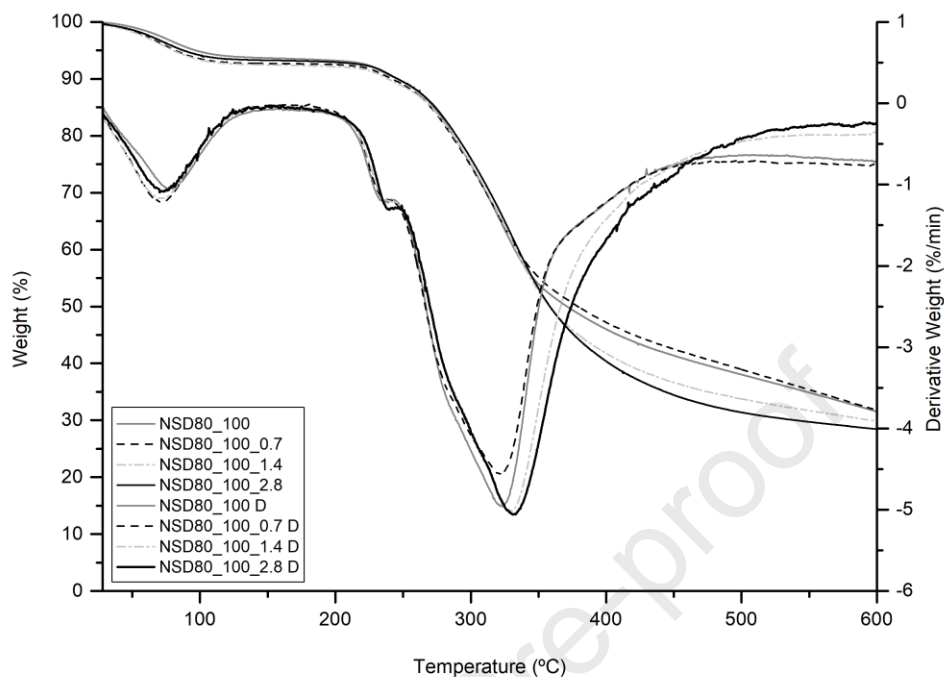


Figure 6 - Thermogravimetric analysis for NSD80_100 at different iron concentrations (0.7, 1.4 and 2.8 mg of iron per gram of LF). Derivative spectrum is identified with D in the inserted legend.

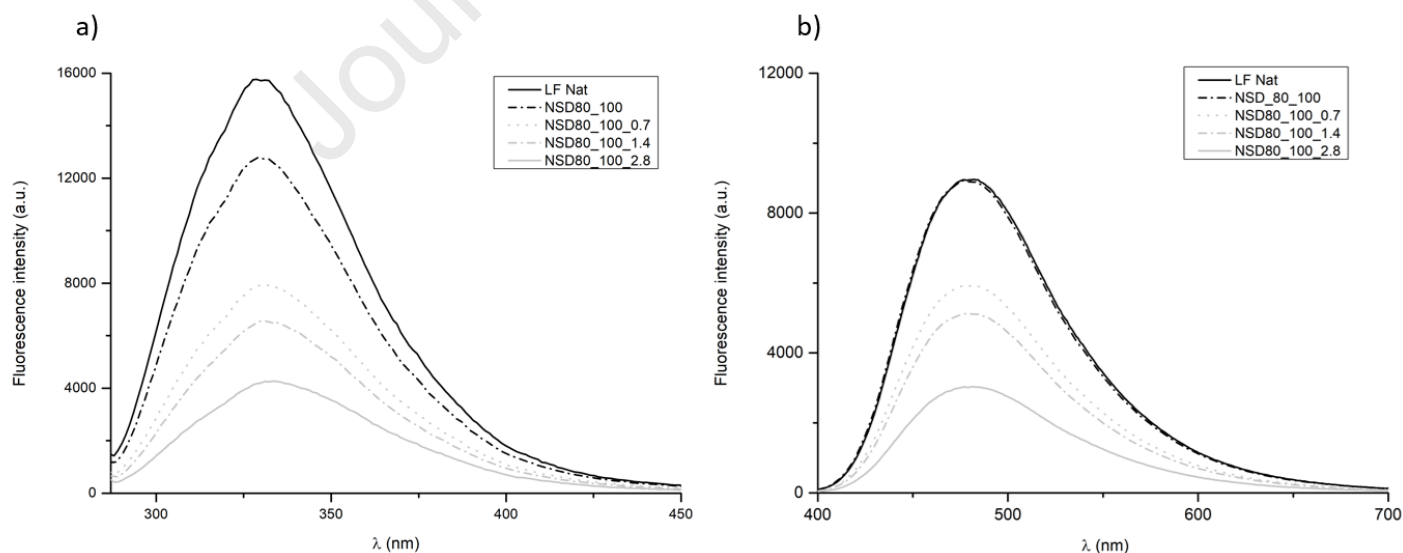


Figure 7 – a) Fluorescence emission spectra (excitation at 280 nm) of LF Nat and NSD80_100 with different iron concentrations (0.7, 1.4 and 2.8 mg of iron per gram of LF); b) ANS fluorescence emission spectra (excitation 370 nm) of LF Nat and NSD80_100 with different iron concentrations (0.7, 1.4 and 2.8 mg of iron per gram of LF).

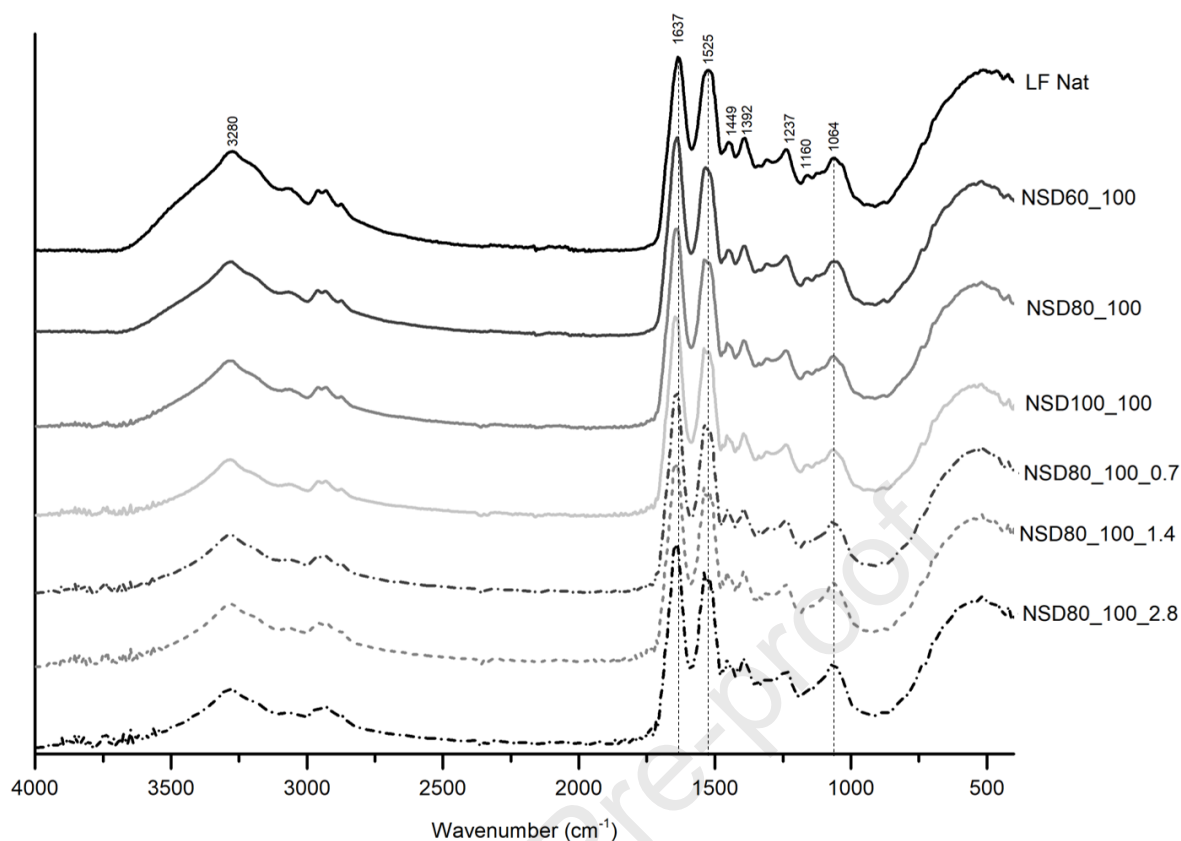


Figure 8 – FTIR spectra for LF Nat; NSD60_100 NSD80_100; NSD100_100; NSD80_10_0.7; NSD80_10_1.4; NSD80_10_2.8. Dashed vertical lines are just for orientation.

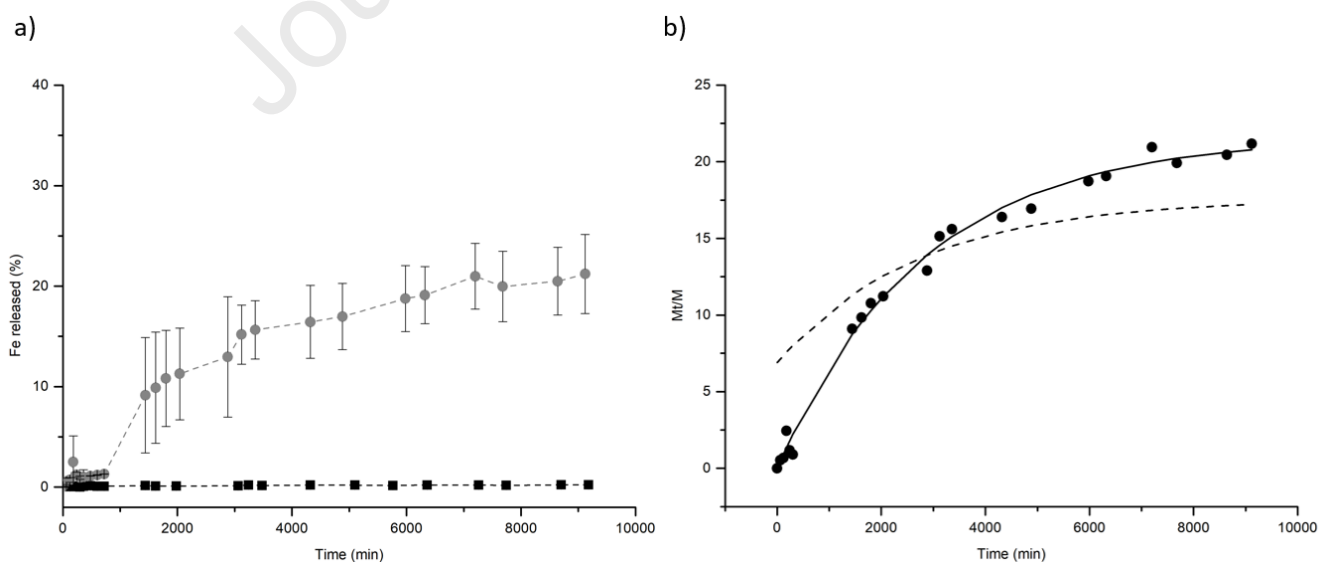


Figure 9 – a) Fe release from LF particles at pH=2 (grey) and pH=7 (black), at 37 °C. b) Iron release profile at pH 2, 37 °C. Experimental data (•); description of Fick's model ($i=0$) (- - -) and of Linear Superposition Model ($i=1$) (—).

Highlights

- Nano Spray Dryer is a suitable technology to dry protein-based carriers
- Nano Spray Dryer does not promote lactoferrin (LF) denaturation
- LF protein can be used as an iron carrier
- Iron release from LF-based carriers was pH-responsive

Journal Pre-proof

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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