



## REVIEW

## Cell secretome based approaches in Parkinson's disease regenerative medicine

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**Introduction:** The available therapeutic strategies for Parkinson's disease (PD) rely only on the amelioration of the symptomatology of the disease, lacking neuroprotection or neuroregeneration capacities. Therefore, the development of disease modifying strategies is extremely important for the management of PD in the long term.

**Areas covered:** In this review, the authors provide an overview of the current therapeutic approaches for PD and the emerging use of stem cell transplantation as an alternative. Particularly, the use of the secretome from mesenchymal stem cells (MSCs), as well as some methodologies used for the modulation of their paracrine signaling, will be discussed. Indeed, there is a growing body of literature highlighting the use of paracrine factors and vesicles secreted from different cell populations, for this purpose.

**Expert opinion:** Secretome from MSCs has shown its potential as a therapy for PD. Nevertheless, in the coming years, research should focus in several key aspects to enable the translation of this strategy from the bench to the bedside.

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### 1. Introduction

Parkinson's disease (PD) is a chronic neurodegenerative disorder of insidious onset, characterized by the presence of predominantly motor symptomatology such as bradykinesia, rest tremor, rigidity, and postural disturbances [1]. These motor deficits are the result of the progressive loss of dopaminergic (DAergic) neurons in the substantia nigra pars compacta (SNpc) leading to the denervation of the nigrostriatal tract and significant reduction of dopamine (DA) at the striatal level [2,3]. Current theories on the etiology of PD consider this disorder to be multifactorial and the result of a genetic predisposition in combination with environmental factors. These phenomena are thought to be responsible for the cellular changes leading to progressive neuronal degeneration in which oxidative stress, mitochondrial dysfunction, and failure of the protein degradation machinery are probably involved. One of the aims of the current PD research is to elucidate the sequence in which they act and understand the interaction between these pathways to develop effective neuroprotective strategies for PD [4]. Indeed, the treatment for PD has not changed substantially in the past 50 years, with DA replacement therapy using levodopa (L-DOPA) as the mainstay [5]. However, its extended use, associated with the needs of increased dosages, is linked with secondary side effects such as nausea, vomit, hypotension, and long-term complications including motor fluctuations and dyskinesias [6]. New drugs in the form of DA agonists or enzyme inhibitors have been developed to delay the need of L-DOPA therapy or to reduce its dosage [7,8]. Moreover, surgical treatments, such as deep

brain stimulation (DBS) in the globus pallidus internus, or in the subthalamic nucleus, have been applied as an alternative in patients with significant motor complications that no longer respond to pharmacological approaches [9]. However, these are all symptomatic approaches with no proven effect on disease progression, imposing the need for innovative therapeutic approaches.

Over three decades ago, several research groups have devoted to the development of cell-based dopamine replacement strategies [10]. While the capacity of human fetal ventral midbrain (fVM) grafts to induce clinical improvement when transplanted into the human brain was being achieved and evaluated, other cell sources including embryonic porcine ventral mesencephalic tissue, autologous carotid body cells or adrenal medullary tissue and human retinal pigmentary epithelial cells underwent clinical trials. However, these trials were unsuccessful, maybe as a result of limited pre-clinical data to support their use [10,11]. Regarding the use of fVM allografts, they proved to be effective just in certain patients. This inconsistency is justified by differences in tissue preparation, patient selection or also surgical method, among other factors [11]. However, these studies contributed to further knowledge that enabled the development of a new human fVM trial in PD, the TRANSEURO, funded in 2009 by the European Union. Although this strategy seems promising, it is not a realistic option for large-scale applications, in which a cell source that is easily stored, available and self-renewable is more advantageous. Therefore, stem cells emerged as an attractive alternative [10]. Among stem cell populations, the

## Article highlights

- The available therapeutic strategies for Parkinson's disease do not impact disease progression, imposing the need for innovative therapeutic approaches.
- The use of stem cells has emerged as a promising approach for regenerative medicine.
- Cell sources such as embryonic stem cells, induced pluripotent stem cells, neural stem cells, or mesenchymal stem cells are some of the most promising to replacement strategies.
- The secretome of some cell populations is now accepted as their main therapeutic action.
- The secretome can be modulated at intracellular and extracellular levels with the purpose to potentiate its benefits.
- The potential of the secretome in Parkinson's disease encourages the development of cell-free products over cell transplantation strategies.

This box summarizes key points contained in the article.

use of human embryonic stem cells (hESCs) (NCT019636), induced pluripotent stem cells (iPSCs), or neural stem cells (NSCs) (NCT02452723) to create DA neurons to restore the function of brain affected regions are some of the most promising cell sources to replacement strategies [12]. Other approaches have explored the use of non-ectodermal origin stem cells, such as mesenchymal stem cells (MSCs). Indeed, the use of these cells for regeneration purposes in different neurological disorders have been extensively studied [13]. However, the approach vary according to the disease and is completely different for PD and Huntington disease (HD) in comparison with stroke or traumatic brain injury (TBI) [14,15]. At the onset of PD and HD a considerable number of neurons are already lost. Therefore, a regenerative therapy in this context will potentially stimulate the differentiation of new cells for the replacement of the ones that are already dead. In the particular case of PD, a reactivation of cells that are still viable but dysfunctional or in a dormant phase might also happen [16]. On the other hand, a regenerative cell therapy for stroke or TBI is normally administered in an acute stage with the purpose of attenuating the inflammation process, which is a characteristic of this stage [15]. Therefore, in these diseases, the regenerative therapy would have a more protective role upon the cells that are still viable.

Even though much effort has been applied to the development of cell therapies, we still lack a clinically competitive treatment for people with PD. Currently, according to the US National Institutes of Health website <http://www.ClinicalTrials.gov>, there are two active (not recruiting) clinical trials using cells in the context of this disease. Seven are recruiting (phases I or II), involving the use of MSCs, neural precursor cells derived from fetal mesencephalic or hESCs, human parthenogenetic neural stem cells, and bone marrow-derived stem cells. Regarding the finished studies, four were completed (Table 1), one was withdrawn (company was dissolved), other one was terminated due to difficulties in recruiting the adequate number of patients timely. Lastly, one study was completed but denoted as 'terminated' (Table 1).

For the application of the generated DAergic neurons in a clinical setting, pre-clinical trials should demonstrate that these cells: i) can survive in large numbers upon transplantation; ii) are able to effectively reinnervate the nigrostriatal tract; iii) have the capacity for axonal outgrowth and DA release; and iv) lead to behavioral improvements in animal models of PD [17]. Moreover, in such a disease in which its pathogenesis is still unclear, experimental work is mandatory to answer to specific questions such as the correlation of age and PD or which is the role of Lewy Bodies in the initiation or progression of the disease, in order to uncover molecular targets for innovative treatments. Therefore, investment in the generation of pre-clinical data should be pursued to develop clinical studies with stronger evidence of clinical benefits and demonstrated mechanisms of action.

A recent paradigm shift has emerged suggesting that the beneficial effects of stem cells may not be restricted to cell transplantation/differentiation alone but could be mediated by the secretion of bioactive molecules, which nowadays is referred as secretome [18]. This could be advantageous when compared to the cell-replacement strategies. Indeed these latter still present several drawbacks, namely the need of to obtain high number of cells to compensate for their low rate survival after transplantation, the possibility of eliciting an immune response if allogenic cells are used and the uncertain destiny of systemically administered cells [19–21].

Cells' secretome includes a broad repertoire of trophic factors, immunomodulatory cytokines, lipids, and extracellular

**Table 1.** Clinical approaches using potential cell therapies for PD regeneration. (PD = Parkinson's disease; DBS = deep brain stimulation).

	Therapy	Aim of the study	Comments	ClinicalTrials.gov identifier
Active (not recruiting)	Stereotactic intraputaminar implantation of human allogeneic fetal derived stem cells	Safety trial pathology of the disease	Phase I ongoing	NCT02780895
	Xenotransplantation of immunoprotected (alginate-encapsulated) porcine choroid plexus cells	Safety and effect on progression of PD	Phase II ongoing	NCT02683629
Completed	Embryonic dopamine cell implant surgery	Effect on progression of PD	Phase III: clinical benefit and graft viability sustained up to 4 years after transplantation	NCT00038116
	Transplantation of fetal porcine cells	Safety and effect on progression of PD	Phase II (no posted conclusions)	NCT00226460
	Xenotransplantation of immunoprotected (alginate-encapsulated) porcine choroid plexus cells	Safety and effect on progression of PD	Phases I/II (no posted conclusions)	NCT01734733
	Implantation of peripheral nerve graft during DBS surgery	Safety data for a larger phase clinical trial	Pilot study: feasible and safe delivery of the graft; possible clinical benefit	NCT01833364
	Bilateral implantation of cultured human retinal pigment epithelial cells on microcarriers	Safety and effect on progression of PD	Phase II: no advantage over sham surgery for advanced PD	NCT00206687*

\* Completed study but registered as terminated, because lifelong extended follow-up phase was discontinued after 12 years.

145 vesicles, which in turn have multiple implications in the reg-  
 ulation of key biologic processes such as neuroprotection (e.g.  
 150 protecting cells from oxidative stress), neurodifferentiation, as  
 well as regulation of inflammatory processes [22]. Here, we  
 specifically highlight the current understanding regarding the  
 155 use of NSCs, glial cells and MSCs as natural sources or as  
 vehicles for the delivery of neurotrophic factors in the context  
 of PD. Moreover, we review recent experimental data address-  
 ing different methodologies being used for an efficient and  
 high-quality secretome production.

## 155 2. Therapeutic strategies for PD based on cell secretome

### 160 2.1. Neural-derived cells

Neural stem cells are the obvious candidates for cell transplan-  
 160 tation strategies due to their ability to self-renew and differ-  
 entiate into neurons. Besides the graft-derived functional  
 effects when transplanted into the striatum of 6-hydroxydo-  
 pamine (6-OHDA)-lesioned rats, Yasuhara and colleagues [23]  
 further demonstrated the neuroprotective effects of these  
 cells mediated by trophic factor secretion.

Recent work from our lab as shown that the injection of the  
 165 secretome of human neural progenitor cells (hNPCs) in the  
 SNpc and striatum of a 6-OHDA rat model of PD stimulated  
 the recovery of DAergic neurons, resulting in an improvement  
 of the motor behavior [24]. Moreover, the improvement in  
 170 DAergic neurons survival and motor deficits was superior in  
 the groups that were injected with secretome in comparison  
 to the groups not treated or those transplanted with hNPCs.  
 The characterization of the secretome revealed the presence  
 of different players, such as brain-derived neurotrophic factor  
 (BDNF) or pigment epithelium-derived factor (PEDF), which are  
 175 known to have important functions in DAergic neuronal pro-  
 tection and survival [24].

Several studies have also demonstrated the effects of glial  
 180 cells in the context of PD. Microglia secretome, and particu-  
 larly the fraction of the medium containing molecules below  
 30 kDa, was shown to protect cerebellar granule neurons from  
 6-OHDA neurotoxicity, mainly attributed to the release of TGF-  
 β2 [25]. The secretome of olfactory ensheathing cells (OECs),  
 a special population of glial cells that ensheath the axons of  
 the olfactory receptor neuron, was also shown to prevent  
 185 apoptosis induced by 6-OHDA in PC12 cells [26]. This effect  
 was mediated by the modulation of intrinsic apoptotic path-  
 ways, via up-regulation of Bcl-2, down-regulation of Bax, and  
 thus attenuation of mitochondrial transmembrane potential  
 loss, which inhibited apoptosis [26].

To increase the neuroprotective effects of glial cells' secre-  
 190 tome, other authors have modulated the expression of neuro-  
 trophic factors in the glial cells. For instance, the secretome of  
 astrocytes overexpressing glial cell line-derived neurotrophic  
 factor (GDNF) led to a resistance against 6-OHDA toxicity in  
 195 a growing neuronal cell line (SK-N-MC) [27]. Biju and collea-  
 gues [28] have also demonstrated the amelioration of 1-metil-  
 4-fenil-1,2,3,6-tetraidropiridina (MPTP)-induced degeneration,  
 synaptic marker staining and functional recovery after sys-  
 temic administration of bone-marrow derived microglia

expressing neurturin. The authors support the use of microglia 200  
 as a source of sustained local delivery of neurturin, due to  
 their ability to cross the blood brain barrier. In a recent study,  
 OECs overexpressing nuclear receptor-related factor 1 and  
 neurogenin 2 increased the viability of PC12 cells treated  
 with the neurotoxin MPP<sup>+</sup> (1-methyl-4-phenylpyridinium), 205  
 inhibited oxidative stress and apoptosis *in vitro*, and amelio-  
 rated the behavioral deficits in a 6-OHDA rat model co-  
 transplanted with ventral mesencephalic cells [29].

### 2.2. Mesenchymal stem cells

MSCs are a population of adult multipotent cells with the 210  
 ability to self-renew and differentiate into mesenchymal  
 lineages, with strong immunomodulatory activities [30].  
 These cells can be easily isolated from different sources,  
 including bone marrow, adipose tissue, dental pulp, and umbi-  
 215 lical cord Wharton's Jelly (WJ), which prompt several authors  
 to explore their use in cell transplantation strategies for PD  
 [31–33]. The first report of MSCs' potential for PD recovery  
 demonstrated a behavioral recovery in apomorphine-induced  
 rotations after WJ-derived MSCs (WJ-MSCs) transplantation in  
 the striatum of a hemiparkinsonian rat model, suggesting 220  
 trophic factor secretion as a mediator of the rescue of the  
 degenerating DAergic neurons in the substantia nigra and  
 ventral tegmental area (VTA) [31]. Intravenous administration  
 of rat bone marrow-derived MSCs (BM-MSCs) has also signifi-  
 225 cantly ameliorated the functional deficits and preserved tyro-  
 sine hydroxylase (TH)-positive fibers in the striatum and TH-  
 positive neurons in the SNpc in a 6-OHDA rat model [33]. After  
 detecting SDF-1α in the secretome of MSCs, the authors  
 demonstrated that this chemotactic cytokine suppressed  
 apoptotic cell death of 6-OHDA-exposed PC12 cells with con-  
 230 sequent increase of DA release from the cells [33]. Cova and  
 colleagues [32], have also demonstrated that human MSCs  
 (hMSCs) transplantation in the striatum of 6-OHDA lesioned  
 animals, five days after the toxic insult, protected the DAergic  
 terminals and induced neurogenesis in the subventricular 235  
 zone, suggesting that hMSCs *in situ* may provide an effective  
 support to injured neurons through the local release of solu-  
 ble factors, such as BDNF.

Despite the previous reports that establish the potential  
 240 of MSCs as a therapeutic tool for PD, none of them demon-  
 strated the acquisition of a neuronal phenotype of grafted  
 cells, and thus put forward the secretion of neurotrophic or  
 anti-apoptotic factors as mediators of neuroprotection. In  
 line with this, our group showed that the secretome of  
 mesenchymal progenitors from the human umbilical cord, 245  
 besides inducing neuronal differentiation of human telence-  
 phalon neural precursor cells, it was able to stimulate the  
 levels of proliferation, neuronal/glial survival and differentia-  
 tion when injected in the rat hippocampal dentate gyrus in  
 a similar way to the transplantation of cells [34]. Other 250  
 authors explored the use of MSCs secretome (in the form  
 of conditioned media) as a cell transplantation-free  
 approach for PD. *In vitro* studies revealed the neuroprotec-  
 tive effect of the secretome collected from human BM-MSCs  
 (hBM-MSCs) and human tooth germ stem cells, in 6-OHDA 255  
 induced cytotoxicity of murine differentiated neural stem

cells and SH-SY5Y cells [35,36]. Parga et al. [37] have also explored the viability of DAergic cells from different sources in response to rat BM-MSCs secretome, unveiling prostaglandin E2 receptors as main mediators of the observed neuroprotective and neurorescue activities. Additionally, our group has also investigated the *in vivo* effects of hBM-MSCs secretome, showing a behavioral recovery of 6-OHDA lesioned rats supported by an increase of DAergic neurons and neuronal terminals in the SNpc and striatum, respectively. Based on the proteomic analysis of the secretome, we found that BM-MSCs secreted not only important neurotrophic factors, such as vascular endothelial growth factor (VEGF), BDNF, interleukin-6 (IL-6) and GDNF, but also other potential neuroregulatory molecules, namely cystatin C (Cys C), glia-derived nexin, galectin-1, and PEDF [38]. Recently, it was also demonstrated the ability of MSCs secretome to degrade extracellular  $\alpha$ -synuclein both *in vitro* and *in vivo*, an effect partially mediated by matrix metalloproteinase-2 (MMP-2) [39].

Another important therapeutic use of MSCs secretome for PD is its combination with cell replacement strategies. Shintani and colleagues [40], demonstrated that pretreatment of embryonic DAergic neurons with rat BM-MSCs secretome increased their survival after grafting in a 6-OHDA rat model of PD. Yao and colleagues [41] have also reported a reduction of apomorphine-induced rotational asymmetry and improved spatial learning after transplantation of secretome-treated neural stem cells into PD rats, which was correlated with an increased cell survival and differentiation into DAergic neurons in the VTA.

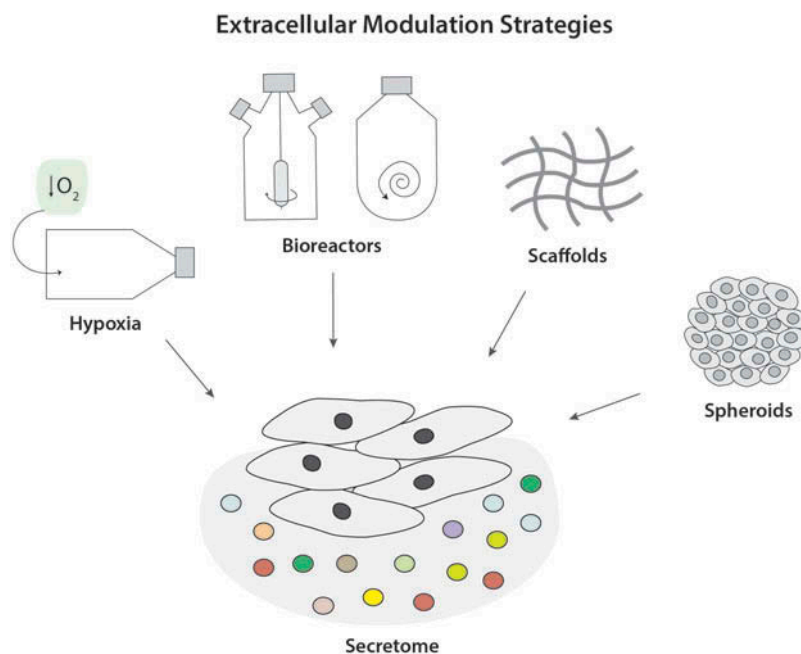
Recently, the contribution of the MSCs-secreted vesicles for paracrine-mediated regeneration has also been investigated [42]. In the context of PD, Jarmalavičiūtė and colleagues [43] have demonstrated that exosomes isolated from dental pulp

stem cells rescued human DAergic neurons from 6-OHDA induced apoptosis. Nevertheless, this is a growing area of research, and thus more studies are required to assess the role of exosomes in the reported effects of MSCs secretome.

Other authors have modulated MSCs secretion of neurotrophic factors, either by neurotrophic exposure [44] or over-expression of a specific factor in MSCs. For instance, the transplantation of GDNF-transduced rat BM-MSCs was shown to induce protection and sprouting of DAergic terminals in the striatum of neurotoxin-induced and inflammation-induced rat PD model [45,46]. Human umbilical cord-MSCs transduced with hepatocyte growth factor (HGF) were also able to regenerate SH-SY5Y cells exposed to MPP<sup>+</sup>, an effect probably achieved through the regulation of intracellular Ca<sup>2+</sup> by modulating the expression of CaBP-D28K, an intracellular calcium-binding protein [47].

### 3. Modulation of cell secretome profile

Cell plasticity is one of the most important characteristics that makes some cell populations (e.g. MSCs) a potential therapeutic tool for central nervous system (CNS) applications [48–52]. Indeed, the capacity to adapt and grow into different culture conditions indicates that MSCs could be able to change/modulate their own secretome according with the conditions in which they are cultured [53–55]. Besides the secretome modulation at the intracellular level, the modulation of external cues present in cells' microenvironment and their impact on paracrine signaling have also been explored (Figure 1). However, the mechanisms underlying this modulation by the external environment are still unknown. Harnessing this knowledge would be extremely valuable to modulate the secretome according to the context of the disease in which it would be applied. The culture conditions can be changed regarding, for instance, oxygen



**Figure 1.** Strategies for the extracellular modulation of secretome from MSCs. The use of hypoxia conditions, bioreactors, hydrogels, scaffolds and spheroids has been shown to stimulate the expression of certain factors with important roles in the CNS.

325 tension [56] and establishing dynamic cultures such as porous  
scaffolds, spheroids, or their combination, as well as encapsulation  
330 in hydrogels or even inside bioreactors [57]. Although  
diverse studies examined the secreted factor production of  
different cell types, most of recently published reports focus  
on MSCs due to their widespread preclinical use for tissue  
regeneration. Therefore, most of the concepts discussed in  
335 this topic are based on MSCs culture conditions modulation  
and their impact on trophic factors secretion.

### 3.1. Hypoxia

335 The oxygen tension present in the culture medium plays an  
important role in the behavior of MSCs. MSCs are usually cultured  
under normoxic conditions (21% O<sub>2</sub>). However, in the  
human body, these cells are exposed to much lower concentrations  
of oxygen. Oxygen levels of 21% have been linked to DNA  
340 damage, leading to genomic instability and cellular senescence  
[58]. Several studies have been reporting that exposure to  
a hypoxic environment leads to changes in MSCs physiology,  
such as increasing the release of VEGF, impacting cellular senescence  
[59] cell proliferation or differentiation [60,61] and consequently  
345 their regenerative potential [62].

The effects of a hypoxic environment may also influence  
350 MSCs secretome profile. On this topic, our group showed that  
hypoxic (5% O<sub>2</sub>) and normoxic conditions-induced alterations in  
the secretome profile of WJ-MSCs which is translated in the  
capacity of both secretomes to maintain cell viability and induce  
differentiation of hNPCs. Furthermore, hypoxia led to the expres-  
355 sion of 62 more proteins, when compared to normoxic culturing  
conditions [63]. Yuan and colleagues [64] also reported changes  
due to the exposure to 3% O<sub>2</sub> in the secretion of VEGF, nerve  
growth factor (NGF), BDNF, GDNF, and MMP-2. The authors  
suggest that hypoxia effects are mediated by the hypoxia-  
360 inducible factor-1, which is important for the transcriptional  
activation of VEGF. In line with this, Ahmed and coworkers [65]  
reported that hypoxia (5% O<sub>2</sub>) led to a significant increment in  
the expression of VEGF, BDNF and NGF. In another study, Chang  
and coworkers [66] administered secretome from hypoxic-  
365 preconditioned MSCs in rats with traumatic brain injury and  
saw that they performed significantly better in motor and cog-  
nitive function tests, had significantly less brain damage, and  
higher neurogenesis levels. Authors concluded that secretome  
had higher expression levels of VEGF and HGF.

### 3.2. Bioreactors

365 Cells, specifically MSCs, are usually expanded in 2D or static  
cultures, inside tissue culture flasks that provide a good gas  
exchange and not only are cost-effective, but also easy to  
operate. Nevertheless, if a larger number of cells are needed  
370 for clinical applications, using the mentioned systems would  
not be the most suitable methodology. For the scalable pro-  
duction of MSCs or their secretome, the development of  
a bioprocess that provides a controlled environment, where  
physiological, nutritional, chemical, and mechanical require-  
375 ments are well-defined and maintained, should be mandatory  
[67]. Bioreactor systems can provide an interactive 3D micro-  
environment through the regulation of the spatial distribution

of macromolecules and mechanical cues. Therefore, they have  
the capacity to recreate the interactions between MSCs and  
their microenvironment [57,68].

Mechanical forces are capable of shaping MSCs' fate and  
bioreactors provide a tool to study the cellular response to  
mechanical stimulation under controlled conditions [69].  
Indeed, several studies used bioreactors to successfully stimu-  
385 late the differentiation of MSCs for different purposes [70–72].

MSCs grow inside the bioreactor as tissue aggregates or  
adherent cells to microcarriers.

Different types of bioreactors have been used for the  
expansion of MSCs, including stirred tank bioreactors, perfu-  
390 sion bioreactors, rotating well bioreactors and also microfluidic  
bioreactors [57,73]. However, few studies have addressed  
the use of these systems to modulate the paracrine signaling  
of MSCs for neurodegenerative purposes. Hupfeld and collea-  
gues [74] studied the effects of the expansion process of MSCs  
derived from the amniotic membrane (AM) and from the  
395 umbilical cord (UC) on their biological characteristics. The  
authors concluded that the culture of MSCs in controlled  
bioreactor systems led to less heterogeneity between cells  
from different donors. These cells significantly differed from  
the cells cultured in flasks regarding surface markers, paracrine  
400 factors and gene expression profiles. Interestingly, VEGF was  
only secreted by UC-MSCs (also stimulated with cytokines)  
which had been expanded in bioreactors. Similarly, AM-MSCs  
cultivated in bioreactors secreted significantly higher levels of  
VEGF. Our group used computer-controlled stirred bioreactors  
405 to modulate the secretome of hBM-MSCs [75]. We showed  
that this system led to an enhancement of the neuroregulatory  
profile of hBM-MSCs secretome, which induced an  
increased differentiation of hNPCs. When administered in  
rats, even though more notorious in dynamic secretome,  
410 both secretomes induced proliferation and neuronal differen-  
tiation. All of these findings can be related with the upregulation  
in the dynamic secretome of important regulators/  
modulators of the neurogenic and neural differentiation pro-  
cesses such as Cys C, glia-derived nexin, galactin-1, PEDF and  
415 also BDNF, VEGF, NGF, and insulin-like growth factor 1.  
Moreover, we identified more 28 specific molecules in the  
dynamic secretome which can be, at least some of them,  
molecules with a neuroregulatory potential.

### 3.3. Culture within scaffolds or encapsulated in hydrogels

420 One of the limitations of the administration of secretome per se  
is the impossibility of establishing a controlled release system.  
Indeed, we saw that when secretome was administered in a rat  
PD model, the effects of the secretome decreased with time,  
425 possibly due to *in situ* consumption [38]. In tissue engineering-  
based approaches, one possible strategy to overcome this lim-  
itation is the local injection of hydrogel-embedded MSCs,  
enabling a long lasting secretome production with increased  
control over cell fate [76]. The use of biomaterials can be an  
430 essential strategy [77], because 3D culture of MSCs within scaf-  
folds or hydrogels impacts cell physiology, enhancing endogen-  
ous extracellular matrix (ECM), and integrin expression while  
promoting the secretion of trophic factors [57]. However, cell

435 organization and functionality are influenced by the mechanical  
properties of the biomaterial. Furthermore, these parameters  
also depend on the surface properties and spatial distribution  
of ECM molecules on the scaffolds and hydrogels [78]. In line  
440 with this, our group has already shown that cell adhesive cues  
present in 3D hydrogels regulate cell paracrine response,  
enhancing higher metabolic viabilities and neuronal cell densi-  
ties [53]. Lee and colleagues [79] also concluded that the incor-  
poration of carbon nanotubes within collagen hydrogels  
445 promoted the secretion of neurotrophic factors, particularly,  
NGF and BDNF. In another study, these neurotrophins were  
only expressed by MSCs isolated from gingiva cultured in  
a poly(lactic acid) scaffold [80].

450 Given that the size of the scaffolds can be restricted in  
static culture, they can be combined with bioreactors to pro-  
vide a controllable environment for cultured cells. These  
dynamic systems should mimic cells' microenvironment to  
mobilize their full biological potential [81].

### 3.4. Culture as spheroids

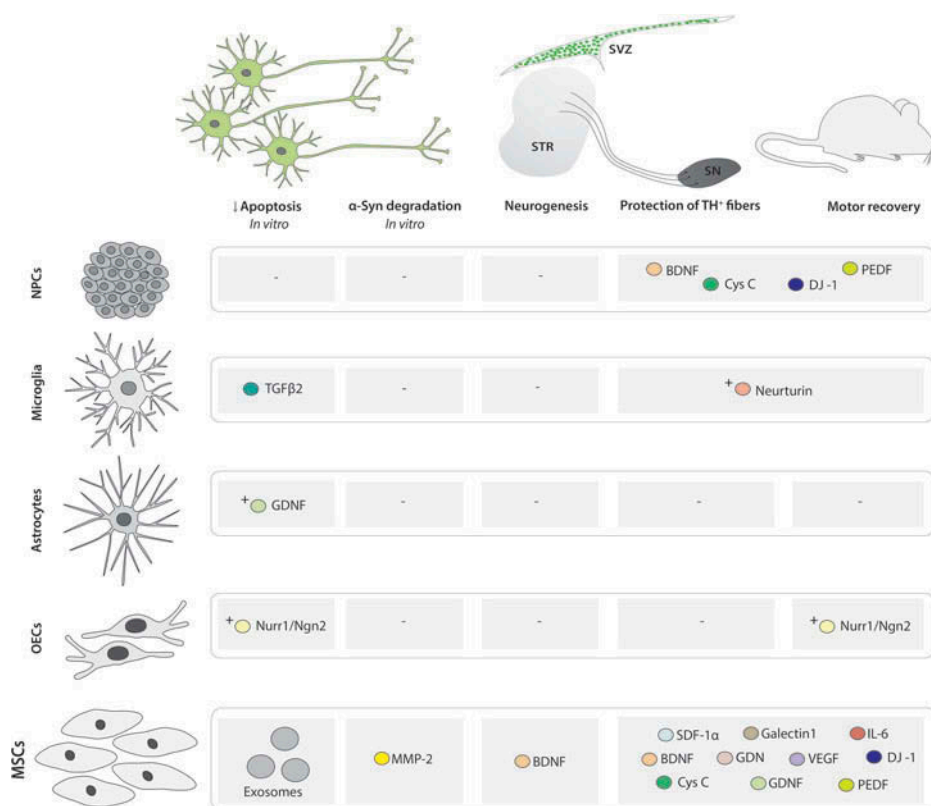
455 Spheroids, or multicellular aggregates, are one of the simplest  
methods of 3D culture, which allow enhanced cell–cell inter-  
actions and better mimic the natural microenvironment of  
a tissue [82]. These characteristics can be the reason for the  
benefits obtained with this methodology. Indeed, spheroid  
460 grown MSCs showed enhanced differentiation potential [83],  
anti-inflammatory [84] and angiogenic properties [85], as well  
as improved survival after transplantation and increased para-  
crine expression [86]. This can be a simple approach to mod-  
ulate the secretome of MSCs. Redondo-Castro and colleagues  
465 [87] showed that culture of MSCs spheroids changed the  
secretome of these cells leading to an enhanced secretion of  
cytokines involved in tissue repair and modulation of inflam-  
mation. Berg and coworkers [88] showed that spheroid cul-  
tures of adipose-derived MSC displayed higher levels of  
470 neurotrophic factors compared to adherent cultures, particu-  
larly nerve growth factor receptor and GDNF and also the  
integrin alpha subunit CD49b. However, the implementation  
of spheroid cultures demands stirring, through the use of  
bioreactors, or incorporation within biomaterials, to minimize  
475 spheroid agglomeration, which is the cause for cell necrosis/  
apoptosis or decreased cell proliferation [82].

## 4. Conclusion

480 PD is an incredibly complex and multifaceted disorder, affect-  
ing millions of people worldwide. The nigrostriatal pathway,  
which is involved in the fine modulation of motor function is  
the anatomical circuit mostly affected in PD, due to the loss  
of DAergic neurons at the SNpc [89]. The currently available  
485 therapeutic options reflect the lack of understanding of the  
causes of PD, as they are designed to minimize the motor  
and non-motor symptomatology, by replacing the lack of DA  
or increasing its activity/metabolism. Nevertheless, these  
strategies fail at a long-term perspective as they lose their  
therapeutic effect over time, leading to the use of higher  
dosages, which has been associated with undesirable side

490 effects [90]. Several groups have been focusing their research  
on disease-modifying strategies, able to prevent, slow or halt  
the progression of PD. The use of stem cells has emerged as  
a promising approach for regenerative medicine and several  
495 debilitating disorders, due to their capacity to rapidly prolifer-  
ate, self-renew, and differentiate [91]. In this field, both  
ectodermal-origin and non-ectodermal origin stem cells  
have been explored in stem cell-based strategies. However,  
the secretome of some cell populations and their paracrine  
500 activity on other neighboring cells is now accepted as their  
main therapeutic action [21]. This acknowledgement  
together with the ethical and technical concerns associated  
with cell transplantation, constitute valid reasons to foment  
the research on the characteristics and applications of  
secretome.

505 In this review, studies that explored the potential of the  
secretome from neural-derived cells and MSCs in the context  
of PD were reported (Figure 1). Although much has been done  
to demonstrate the effectiveness of the secretome from differ-  
ent sources, a complete description of its mechanism of action  
510 is still missing. This could be justified by the lack of a clear  
definition about which fraction (soluble or vesicular) is medi-  
ating the evident benefits of the secretome or if they act together  
as a whole. Regarding the soluble fraction of secretome, the  
knowledge gathered until now suggests that some of its mole-  
515 cules, with important roles in the CNS, may act together or  
singly to exert their therapeutic effects (Figure 2). The char-  
acterization of the secretome of undifferentiated hNPCs through  
a proteomic analysis enabled the identification of several  
important molecules with neuroregulatory potential, namely,  
520 GDNF, BDNF, PEDF, Cys C, and also DJ-1, among others [24].  
Different studies have been developed to ascertain their roles.  
The results indicate that all of these molecules display neuro-  
protective actions in the CNS through, for instance, the activa-  
tion by GDNF of signaling pathways such as the mitogen-  
525 activated protein kinase (MAPK) or the protein kinase B, induc-  
ing the inhibition of pro-apoptotic molecules like JNK or p38  
[92]. In line with this, DJ-1 modulates the levels of miR-221 in  
part through the activation of the MAPK/extracellular-regulated  
kinase pathway. miR-221 also downregulates the expression of  
530 pro-apoptotic proteins [93]. Moreover, PEDF stimulates the acti-  
vation of the nuclear factor NF- $\kappa$ B signaling cascade, leading to  
the expression of BDNF and GDNF [94]. In a mice model expres-  
sing human-mutated  $\alpha$ -synuclein, Cys C upregulated VEGF and  
autophagy and downregulated  $\alpha$ -synuclein and apoptosis dis-  
535 playing, consequently, a neuroprotective effect [95]. In another  
characterization study developed by our team, VEGF was also  
identified in the secretome of MSCs, together with some of the  
proteins secreted by NPCs like GDNF, BDNF, PEDF, Cys C, DJ-1,  
and other molecules such as IL-6 and MMP-2 [38,96]. VEGF plays  
540 a protective role in DAergic neurons either through direct  
mechanisms, like the activation of the neuropilin receptor  
expresses on DAergic neurons or indirectly by the promotion  
of angiogenesis, increasing vessel permeability or enhancing  
both glial proliferation and secretion of neurotrophic factors  
545 [97]. IL-6 may also protect DAergic neurons through signal  
transducers and activators of transcription pathways, leading  
to the increment of antioxidant enzyme activity and reactive  
oxygen species scavenging [98].



**Figure 2.** Overview of the impact of secretome from neural-derived cells and MSCs on different aspects relevant in the context of PD and the secreted factors that are mediating these effects. Several factors are present in cells' secretome, namely exosomes, enzymes (MMP-2), hormones, cytokines (SDF-1 $\alpha$ , IL-6, TGF- $\beta$ 2) and growth factors (BDNF, GDNF, VEGF, neurturin).  $\alpha$ -syn =  $\alpha$ -synuclein; BDNF = brain-derived neurotrophic factor; GDN = glia-derived nexin; GDNF = glial cell line-derived neurotrophic factor; IL-6 = interleukin 6; MMP-2 = matrix metalloproteinase 2; MSCs = mesenchymal stem cells; NPCs = neural progenitor cells; Nurr1/NG2 = nuclear receptor-related factor 1 and neurogenin 2; OECs = olfactory ensheathing cells; SDF-1 $\alpha$  = stromal cell-derived factor 1; TGF- $\beta$ 2 = transforming growth factor-beta 2; TH+=tyrosine hydroxylase positive; VEGF = Vascular endothelial growth factor; +=overexpression.

550 The presence of abnormal aggregates of  $\alpha$ -synuclein, known as Lewy bodies, in cell bodies and processes of neurons are one of the hallmarks of PD and might result in the disruption of different cellular functions involving the mitochondria, lysosomes, endoplasmic reticulum and Golgi or also the nucleus [99]. As previously mentioned, MMP-2 may partially mediate the degradation of  $\alpha$ -synuclein aggregates [39]. Another study showed that the secretome of BM-555 MSCs had a neuroprotective effect in  $\alpha$ -synuclein-enriched cellular and animal models, due to the induction of M2 microglia polarization (considered anti-inflammatory and constituted by phagocytic cells), which enhanced  $\alpha$ -synuclein clearance. The authors concluded that interleukin-4, secreted by MSCs, was the mediator of this effect [100].

560 MSCs have been extensively explored not only as a cell-therapy strategy, but also as a source of secretome with important applications for neurodegenerative diseases and other conditions. The understanding that MSCs respond to alterations in their microenvironment, for instance, those induced by dynamic culture conditions, changing their paracrine profile, has led to the development of strategies to modulate their secretome. In this regard, recent approaches that took advantage from this feature were reviewed. Essentially, by decreasing the oxygen tension or by establishing dynamic cultures through the use of

bioreactors, biomaterials or spheroid cultures, these strategies led, generally, to the upregulation of certain key molecules with important roles in the proliferation, survival, migration, and differentiation of cells in the nervous system.

575 The knowledge gathered until now regarding the efficacy of the secretome in animal models of PD, encourages the development of cell-free products, eliminating the need for cell transplantation strategies. Nevertheless, before the acceptance of secretome as a clinically viable option for regenerative therapies, different hurdles must be overcome. To fully harness the potential of secretome, the best strategies to efficiently modulate the secretome should be defined. Moreover, they must be easily reproducible and enable a large-scale production. Moreover, to better understand the effects and mechanism of action of secretome and translate this knowledge into clinically relevant results, more robust *in vitro* and *in vivo* models are necessary. Furthermore, other practical considerations such as mode of administration, dosage, timing and safety must also be addressed prior to clinical integration.

580 Cells' secretome represents a promising alternative to cell-based regenerative medicine therapies. However, beyond the great enthusiasm regarding this approach, much investigative work must be developed to build a robust and customized secretome-based therapy for PD.

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600 **5. Expert opinion**

Satisfactory approaches to relieve or to slow down PD are still missing. While important gains were achieved with the current pharmacological/surgical treatments in the quality of life of PD patients, they have failed to arrest PD progression and do not promote DAergic neurons protection or differentiation. Therefore, there is an urgent need for the development of novel antiparkinsonian strategies with specific neuroprotective and neuroregenerative effects on the dopaminergic system. In recent years, new concepts mainly focused on neuroprotective/disease-modifying actions have promisingly emerged for the treatment of PD, such as the use of stem cell-based strategies. Within them, MSCs and their secretome has been presented as a promising therapeutic option. Indeed, studies have shown that the administration of secreted factors and vesicles leads to the protection of DAergic neurons and animal behavior improvement (of PD rat models), most likely due to the activation or modulation of endogenous neuro-restorative pathways. Therefore, while transdifferentiation and cell fusion remains still a (elusive) potential mechanism behind the regenerative capacity of MSCs, its secretome arises nowadays as the most likely candidate. In fact, the use of the secretome instead of cell transplantation can be more advantageous, proving to be an easily cryopreserved product, even in very small volumes, without recurrence to cryopreservation solutions, exhibiting a high stability after thawing. These characteristics make the MSCs secretome a 'ready-to-use' product, which is a very important feature when speaking about hospital routine regarding daily administration of treatments in patients.

630 Despite the identification of several factors within the secretome with the capacity to induce neuronal cell growth, survival and differentiation, the challenge remains regarding the full characterization of MSCs secretome. Additionally, another question that is still unanswered is, if the enthusiastic outcomes seen so far are the result of one or two factors or the result of the combination/interaction between all of the factors present in the secretome. Lastly, studies reporting improvement in animal behavior in PD animal models rely on intracranial injections to deliver the secretome. What about intraperitoneal or intravenous administrations? Would the outcome be the same?

While in animal models the secretome has proven to partially revert the phenotype of the disease, the doubt regarding its ability to reach the clinical setting remains. To approach such assumption, new protocols for the production of high-quality secretome under good manufacturing practices guidelines should be developed. In this regard, bioreactors constitute an important tool to the large-scale production of secretome under controlled conditions, avoiding variability between batches and enabling the establishment of MSCs secretome as an off-the-shelf product.

Given that the number of MSCs in the human body decreases with age and that the techniques for their isolation are considered invasive, expensive, and labor-intensive, research on the most suitable source of MSCs must be fostered. One possible alternative could be the use of patient-specific induced MSCs (iMSCs) derived from iPSCs.

We consider that in the coming years, research will be pursued to answer the aforementioned topics. Among them, we believe that for their importance, full characterization of the secretome and modulation strategies will receive particular attention. Indeed, these are two areas in which we are developing our work, together with the derivation of iMSCs from iPSCs.

Attending to the epidemiology and the characteristics of the disease, there is an urgent need for alternative therapeutic approaches for PD. The research on the development of cell secretome as a disease modifying strategy can have an impact on the progression of the disease by slowing it down through the protection of DAergic neurons from premature death. Furthermore, the secretome might be used as a potential addition to PD symptomatology therapies, as well as a vehicle in cell transplantation strategies to increase the survival and viability of transplanted (un)differentiated cells.

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**Declaration of interest**

The authors have no other relevant affiliations or financial involvement with any organization or entity with a financial interest in or financial conflict with the subject matter or materials discussed in the manuscript apart from those disclosed.

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