

# 1 CHARACTERIZATION OF DRY-STACK

## 2 INTERLOCKING COMPRESSED EARTH BLOCKS

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### 15 ABSTRACT

16 Earth has been a traditional building material to construct houses in Africa. One of the most common techniques  
17 is the use of sun dried or kiln fired adobe bricks with mud mortar. Fired bricks are the main cause for deforestation  
18 in countries like Malawi. Although this technique is low-cost, the bricks vary largely in shape, strength and  
19 durability. This leads to weak houses which suffer considerable damage during floods and seismic events. One  
20 solution is the use of dry-stack masonry with stabilized interlocking compressed earth blocks (ICEB). This  
21 technology has the potential of substituting the current bricks by a more sustainable kind of block. This study was  
22 made in the context of the HiLoTec project, which focuses on houses in rural areas of developing countries. For  
23 this study, Malawi was chosen for a case study. This paper presents the experimental results of tests made with  
24 dry-stack ICEBs. Soil samples from Malawi were taken and studied. Since the experimental campaign could not  
25 be carried out in Malawi, a homogenization process of Portuguese soil was made to produce ICEBs at the

1 University of Minho, Portugal. Then, the compression and tensile strength of the materials was determined via  
2 small cylinder samples. Subsequently, the compression and flexural strength of units were determined. Finally,  
3 tests to determine the compressive strength of both prisms and masonry wallets and to determine the initial shear  
4 strength of the dry interfaces were carried out. This work provides valuable data for low-cost eco-efficient housing.

5 **KEYWORDS:** *Compressed earth blocks; dry-stack; masonry; interlocking; testing.*

## 6 **Introduction**

7 In many African regions, the use of hand moulded unfired or fired earth blocks is still widespread.  
8 Although this technique is cheap and allows the self-construction, the bricks vary largely in shape,  
9 strength and durability. Due to the unregularly shapes, also thick mortar joints of several centimetres are  
10 necessary. Furthermore, the use of wood kilns to fire the bricks has led to widespread deforestation in  
11 countries such as Malawi (Zingano 2005).

12 Taking into account the growing population in this region, and therefore the demand for housing, it  
13 seems very unlikely, both technically and economically, that this demand will be only met with  
14 industrialized building materials, such as concrete or steel, in the next decades. For this purpose there  
15 are simply neither enough production capabilities nor resources (Minke, 2006). Earth will continue to  
16 be the primary building material and self-construction a usual practice for communities in developing  
17 countries, where modern materials and technical supervision is simply too costly.

18 In the middle of the 20th century, new kinds of unfired blocks were developed. These blocks are similar  
19 to unfired earth blocks made in moulds, with the difference that the earth is compressed in the mould  
20 mechanically before drying, and hence they carry the name of 'compressed earth blocks' (CEB). This  
21 allows a higher compacting of the soil, resulting in blocks with regular shape and higher strength and  
22 durability properties without using fuel to burn the bricks (Zingano 2005). These kind of blocks have  
23 experienced an increased popularity in some African countries due to their perceived superiority over  
24 traditional earth materials (Lyamuya and Arch 2013). Even though CEBs provided a cost effective and  
25 environmentally-friendly alternative to traditional blocks, some disadvantages remained: the need of

1 skilled masonry labour and the large thickness of the mortar joints (usually cement based) (Uzoegbo  
2 and Ngowi 2004).

3 In recent decades, the CEBs have evolved from solid blocks to more complex shapes. The incorporation  
4 of perforations make the blocks lighter and allow the use of reinforcements. A more recent feature is the  
5 introduction of indentations (male) and their female counterpart into the blocks, which allows for a fast  
6 and easy way of constructing (Uzoegbo 2001). With this interlocking compressed earth blocks (ICEB)  
7 the masonry can be dry-stack and the construction process has been simplified, as the blocks lock  
8 themselves during the erection of the walls. This makes them ideal for self-construction and eliminates  
9 the use of mortar joints, thus reducing the final building cost (UN HABITAT 2009). This construction  
10 concept in conjunction with adequate details, such as strong foundations, ring beams and overhanging  
11 roofs, has the potential of offering new possibilities for affordable, safe and quality housing in these  
12 regions.

### 13 **A case study in Malawi**

14 As a contribution to this subject, this work focuses on the study of the strength of dry-stack ICEB  
15 masonry. The aim is to characterize the mechanical properties of this system for its use in developing  
16 countries with moderate seismicity. All the work took place within the HiLoTec (HLT) project, which  
17 is a cooperative action between the major Portuguese contractor Mota-Engil and the University of Minho  
18 (UM). The HLT project has been dedicated to a social concept for innovative small houses in rural areas  
19 of developing countries, favouring the adoption of local materials and with the main premise of being  
20 dedicated to self-construction. The selected target group are families of rural areas, since they have less  
21 access to the 'good practice' knowhow and can afford only less expensive materials in comparison to  
22 urban families.

23 Because social and economic conditions can vary largely from one region to another, a reference country  
24 for a case study had to be chosen. This work aims at the study of self-made ICEBs by local rural  
25 communities in countries with the following conditions: (i) they are developing countries; (ii) self-  
26 construction is usual; (iii) earth construction is common; (iv) there is the need for improvement of the

1 housing condition of low income families. Although several countries in Africa, Asia or Latin America  
2 might have been good candidates, only one could be chosen for the case study. The assumption is that  
3 from the case study the results of this work can be extrapolated to other regions with similar conditions  
4 to that of the case study country.

5 Finally, Malawi was chosen as the reference country, since it fulfils the desired characteristics and has  
6 only moderate seismicity (details about Malawi can be found in USAID, 2004).

## 7 **Block geometry**

8 The ICEB used in this project has been designed and manufactured within the HLT project. The ICEB  
9 was inspired by the Rhino Block (Gate, 2005), but it is slightly smaller in size. Also the vertical holes  
10 of the Rhino Block which are not part of the interlocking have been left out, since these high level of  
11 details can lead to weak flanges in the block. To produce the new ICEB, a mould was made and adapted  
12 to the Belgian Testaram® (Appro 2014).

13 This ICEB allows dry-stacking masonry with running bond arrangement using single or double-leaf  
14 walls. The interlocking is such that locking of the blocks in the two main horizontal directions is present.  
15 The overall dimensions of the block and some possible arrangements are shown in Fig. 1. The  
16 thicknesses of the walls are in accordance with the NZS4299 (1998).

17 The shape chosen for the ICEB was such that dry-stacking is possible with running bond, using single  
18 or double-leaf walls, and such that locking in the out-of-plane direction is present. The overall  
19 dimensions of the block and some possible arrangements are shown in Fig. 1. The idea behind the use  
20 of double-leaf walls is to provide stronger external walls and lighter masonry (single-leaf) for the interior  
21 walls. In case of the double leaf walls, every five courses header blocks can be laid in the perpendicular  
22 direction to the wall to improve the out-of-plane behaviour and the stability of the wall to vertical loads,  
23 see Fig. 1c.

# 1 **Research Objectives**

2 The current work focuses on rural houses for central Africa. Samples were gathered in Malawi to  
3 determine the soil characteristics. The soils have low clay content (~5%) and a stabilization of 9% of  
4 cement in weight was needed to achieve a compressive strength over 2 MPa, as it was also the case for  
5 Reddy and Gupta (2005). Since a large quantity of soil was needed for the experimental campaign with  
6 the proposed ICEB, a local Portuguese soil with similar characteristics was used instead. To obtain a  
7 similar compressive strength, the Portuguese soil had to be stabilized with 5% of cement (CEM II/B-L  
8 32.5N) and 10% of kaolin. After production, the blocks were first cured under black nylon films for  
9 seven days and then got air dried until they reached an age of 28 days.

10 Kaolin had to be added to give the Portuguese soil a similar workability when compared to the Malawi  
11 soil. It is important to mention that workability is not relevant when considering the strength of CEB  
12 masonry, but it is a fundamental parameter during production. A low workability leads to weak green  
13 blocks, which can easily break when they are taken from the pressing equipment.

14 The aim of this work is to present a comprehensive experimental campaign that characterizes ICEB  
15 masonry from its basic material properties (stabilised soil), to the unit (the blocks) and up to the masonry  
16 (prism, wallets, dry interface). The comparison of the strength of single ICEBs with its masonry gives  
17 an insight in the relation these measures have. The study of the behaviour of the dry interface is presented  
18 for the first time and is important for future testing and to take into account in numerical modelling.

## 19 **Experimental Testing Campaign**

20 The test campaign carried out can be divided into four phases according to the size of the samples and  
21 the characteristics to be studied. The results of these tests contribute to the mechanical characterization  
22 of the material used (soil), the ICEB (units) and the masonry prisms and wallets, see Table 1.

1 In all compression tests, the compressive stress was obtained by dividing the vertical load by the net  
2 area of the cross section of the specimen and the Young's modulus ( $E$ ) was obtained as the tangent curve  
3 between 40% and 70% of the peak stress.

#### 4 **Small cylinder samples**

5 As a way of characterizing the material properties of the stabilized soil to produce CEBs and for quality  
6 control reasons, samples of the soil mix were taken in each block production day. For each day at least  
7 6 small cylinder specimens were made. During production samples were compressed with a pressure of  
8 2 MPa, which replicates the effect of the pressing machine to make the blocks. The resulting samples  
9 had an average length of 65 mm and 50 mm diameter.

10 Both the compression tests and the indirect tensile tests were carried out in a 50 kN electro-mechanic  
11 testing machine under displacement control. Due to the small size of the specimens, displacements were  
12 measured internally by the machine.

#### 13 *Compression tests*

14 The samples were tested under direct compression just after production (green stage) and at an age of 7,  
15 14 and 28 days to determine the compressive strength of the soil ( $f_c$ ). Cylinder samples have already  
16 been used by Chan and Low (2010), which obtained compressive strengths between 1.20 MPa to 1.39  
17 MPa for 5% and 2.16 MPa to 2.67 MPa for 10% cement stabilised earth samples with soils similar to  
18 the ones used in this investigation. Yetgin et al. (2008) and Galán Marín et al. (2010) have obtained  
19 compressive strengths ( $f_c$ ) ranging from 2 MPa to 3.5 MPa for different natural fibre reinforced adobes  
20 with cubic specimens and 2.2 MPa to 4.4 MPa for natural polymer stabilised adobes with rectangular  
21 specimens, respectively. Cubic and rectangular specimens are known to show higher strengths than  
22 slender cylindrical samples.

#### 23 *Indirect tension tests*

24 The tensile strength of the stabilized soil was determined with the indirect tensile test method proposed  
25 by the EN13286-42 (2003), even though this code focuses on the determination of the tensile strength

1 of hydraulically bound mixes. This test determines the tensile strength ( $f_{it}$ ) by applying a vertical force  
2 on two parallel faces of a horizontally laid cylinder. The specimen then splits vertically along its length  
3 and the tensile strength can be determined indirectly with the expression from the EN13286-42 (2003):

$$4 \quad f_{it} = \frac{2 * F}{\pi * H * D} \quad (1)$$

5 where  $f_{it}$  is the indirect tensile strength,  $F$  is the maximum applied force,  $H$  is the length of the specimen  
6 and  $D$  is the diameter of the specimen.

7 The test specimens had an age of 28 days and the displacement rate used during the test was equal to  
8 0.002 mm/s. Related to this type of test, Yetgin et al. (2008) have obtained tensile strengths ranging  
9 between 0.4 MPa and 0.75 MPa.

## 10 **Units**

### 11 *Compressive strength*

12 Compressive strength has become a basic and universally accepted characteristic for measuring the  
13 quality of masonry units (Morel et al. 2007). A common criterion adopted by codes or guidelines of  
14 earth construction is to demand compressive strengths higher or equal to 2 MPa (ASTM D1633-00 2007;  
15 ARS674 1996; DL 2009; CSIRO 1987; NMAC 2006; AEI 2005; HB195 2002). This compressive  
16 strength is often defined as confined compressive strength, whereas unconfined compressive strength  
17 (i.e. prisms or walls) is usually only between 0.3 to 0.4 times the value of the unit strength (Uzoegbo  
18 and Ngowi 2003).

19 Compressive tests of handmade soil blocks carried out by Browne (2009) typically gave 2 MPa,  
20 but machine testing has a history of providing strengths higher than 3.5 MPa (Morel et al. 2007; Piattoni  
21 et al. 2001; Kuchena and Usiri 2009). As long as CEBs have compressive strengths over 2 MPa, strength  
22 is not viewed as an issue as historical data shows that this is an adequate strength for the application and  
23 use of blocks in low rise, low cost housing projects (Browne 2009). Compression tests of single CEB  
24 do not differ from those used for other types of bricks. They can be made on a conventional

1 concrete/brick compression machine, in which individual units are capped and tested directly between  
2 platens (Heath et al. 2009; Morel et al. 2007).

3 The standard followed for this test is the EN 772-1 (2000), for masonry units with peak compressive  
4 strength below 10 MPa. The tests were carried out with a hydraulic press under force control with a  
5 loading rate of 0.5 kN/s at 7, 14 and 28 days, as the standard HB195 (2002) suggests. For comparison,  
6 both CEB made of soil from Malawi and from local soil (Portugal) were tested. Five blocks from Malawi  
7 were tested, while six blocks made of Portuguese soil of three production days (18 in total) were tested  
8 at each age.

### 9 *Flexural strength*

10 The three point bending test is used to determine the tensile strength indirectly, being known as flexural  
11 strength ( $f_{bf}$ ). In this test, the block is laid on two simple supports at its ends and a vertical force is  
12 applied in the middle of the block. The tests were carried out in accordance to the EN 772-6 (2001), but  
13 with modifications inspired on the HB195 (2002), because the European Standards do not fit the  
14 dimensions of the CEB. The vertical load was applied by means of a hydraulic actuator with  
15 displacement control at a rate equal to 0.005 mm/s, using a control linear variable differential transducer  
16 (LVDT). This LVDT measures the vertical deflection ( $\delta$ ) of the block. Additionally, vertical and  
17 horizontal measurements of displacement were made with LVDTs attached to the specimen. The  
18 flexural strength  $f_{bf}$  was then calculated as mentioned in HB195 (2002).

19 With this test, Lenci et al. (2012) have obtained average strengths of 0.85 MPa with manually pressed  
20 earth blocks and Galán-Marín et al. (2010) obtained strengths between 1.1 and 1.5 MPa for adobes with  
21 natural fibres. But the results of this type of test have been disputed, as the Saint Venant principle is not  
22 fully verified and the non-linearity is neglected (Morel and Pkla 2002). Despite this fact, this test can  
23 also estimate in an indirect way the compressive strength and has been used for CEB in-situ quality  
24 control, as an easy setup can be made in which the vertical force needed to achieve failure is about 20  
25 times lower than in compression (Morel and Pkla 2002). This is relevant when CEB are being produced  
26 in developing countries by small scale CEB manufacturers and self-constructors, since it gives to the



1 producers a way to develop a simple quality control method. Morel and Pk1a (2002) define a minimum  
2 total load of 4 kN for the unit for quality control on manual compression and low cement content CEB.

3

#### 4 **Compression tests of masonry specimens**

5 Since the proposed ICEB will be dry-stacked, the expected strength should be governed by the properties  
6 of the stabilized soil, by the frictional interface between units, by the contact in the interlocking and by  
7 geometrical aspects. Compression tests of stacked bond prisms or masonry wallets are frequently used  
8 to determine the compression strength of masonry. Both tests were carried out to characterize the impact  
9 of the specimen type on the compressive strength, as the stack bonded test is much easier to carry out in  
10 developing countries.

#### 11 *Masonry prisms*

12 The test on masonry prisms (or stacked bond prisms) has the advantage that the specimens are small and  
13 that the test is easy to carry out. The obvious disadvantage of the test is that it does not replicate the  
14 bond pattern of the masonry. This test followed the ASTM C1314-03b (2003) standard, which defined  
15 masonry prisms with at least two units in height and a slenderness ratio between 1.3 and 5.0. This  
16 standard defines the compressive strength of masonry ( $f_{mp}$ ) as the average of the results. Due to the  
17 dimensions of the specimens, the result of this test is also referred to as unconfined compressive strength.

18 No capping of the specimens or levelling mortar was needed, since the lower and upper platens of the  
19 mould from the pressing machine were used, which have the exact shape of the CEBs top and the bottom  
20 surfaces (including interlocking). The force was applied by means of an hydraulic actuator with  
21 displacement control with a rate of 0.005 mm/s. Relative displacements were measured between the  
22 second and fourth blocks, which corresponds approximately to the middle third of the height, on both  
23 longitudinal faces by two LVDTs, and between the middle of the first and the fifth blocks on the  
24 transversal faces.

1 It should be stressed that Morel et al. (2007) have obtained strengths ranging from 2.3 to 3.1 MPa for  
2 unconfined masonry specimens of different sizes.

### 3 *Masonry wallets*

4 Single and double-leaf wallets with the proposed ICEB following the EN 1052-1 (1999) standard were  
5 adopted. The specimens were 0.84 m in length and 0.84 m in height. This is equivalent to wall specimens  
6 of 3 blocks in length and 9 blocks in height. The thickness was 0.14 m for the single-leaf wall and 0.28 m  
7 for the double-leaf wall. Two LVDTs were attached vertically to the specimens in the middle third of  
8 both longitudinal faces, one horizontally on one of the longitudinal faces and one horizontally in one of  
9 the transversal faces. The load was applied by a hydraulic actuator by means of displacement control  
10 with a rate equal to 0.015 mm/s.

11 Stiff steel beams of more than 0.3 m in height were placed on top of the specimen to uniformly distribute  
12 the vertical load of the actuator. A total of ten specimens were tested.

13 The typical failure mode observed in masonry walls subjected to vertical compression is a vertical split  
14 through the walls thickness (Heath et al. 2009). Jayasinghe and Kamaladasa (2007) tested rammed earth  
15 panels of 1 m length, 0.16 m thickness and 0.65 m of height made with different soils and 6%, 8% and  
16 10% of cement content, obtaining compressive strengths between 1.8 and 3.7 MPa and an average  
17 Young's modulus of around 500 MPa.

### 18 *Dry interface*

19 Masonry is often treated as an isotropic material, even if it can exhibit a high orthotropic behaviour,  
20 depending on factors such as the unit to mortar strength and the bond arrangement. Dry-stack masonry  
21 with ICEB is expected to have an orthotropic behaviour since the block has large vertical perforations  
22 and no continuity of the material is given under traction. In addition, under vertical (compressive)  
23 loading dry-stack masonry does not behave different than other masonries, although it has no tensile  
24 strength due to the lack of mortar bond between units. In the horizontal direction, the shear strength is  
25 governed mainly by the friction between the units, i.e. the interface. The Coulomb friction law has long

1 been used as a constitutive model of friction interfaces, in which the shear strength is dependent of the  
2 initial shear strength ( $f_{v0}$ ) and the tangent of the internal friction angle ( $\tan \alpha_k$ ). Where in continuous  
3 materials the initial shear strength might be provided by the cohesion, in ICEB masonry the initial shear  
4 strength is expected to be provided by the interlocking, as long as the upward movement is restrained.  
5 The results of a dynamic test of an ICEB house (Elvin and Uzoegbo 2011) show that the self-weight of  
6 a structure (i.e. walls and roof system) is enough to restrain the upward movement of the blocks in the  
7 in-plane direction.

8 Another relevant feature of masonry joints is the so-called dilatancy angle ( $\psi$ ), which measures the uplift  
9 of one unit over the other upon shearing. The tangent of the dilatancy angle ( $\tan \psi$ ) is determined by  
10 dividing the vertical displacement ( $\delta_v$ ) by the horizontal displacement ( $\delta_h$ ) upon shearing. The dilatancy  
11 angle can assume positive or negative values and depends on the confining stress (Lourenço 2008).  
12 Usually, the dilatancy angle ( $\tan \psi$ ) is positive but tends to zero upon increasing shear displacement and  
13 increasing normal confining stress (Pluijm 1999). But the results presented in Lourenço and Ramos  
14 (2004) demonstrate, that even for the same material, the friction and dilatancy angles are very dependent  
15 on the roughness of the joint. In particular, a smooth (polished) surface exhibits very low friction and a  
16 rough surface can exhibit a negative non-negligible dilatancy angle.

17 The shear behaviour of this dry-stack masonry was determined through the triplet test according to  
18 EN 1052-3 (2002) standard, although modifications had to be made to the proposed setup. The triplet  
19 test consists of a three block stacked prisms with mortar joints which is laid horizontally between two  
20 roller supports. The prims are horizontally pre-compressed and finally a distributed vertical load is  
21 applied on the block in the middle. In absence of mortar joints (bond), it is very difficult to lay the prism  
22 horizontally. Therefore, instead of laying the specimen horizontally, the prism was kept standing  
23 vertically and the shear load was applied horizontally, in a similar fashion as the EN 1052-4 (2000)  
24 suggests.

25 The vertical force was kept constant by means of a force controlled hydraulic actuator. The horizontal  
26 force was applied by an actuator under displacement control with a rate equal to 0.007 mm/s.

1 Two LVDTs measured the horizontal displacement of the block in the middle at its ends and two LVDTs  
2 on each main face measured the vertical displacements. Three tests were made with three different  
3 confining loads. The shear strength of an individual sample at each confining stress is determined by  
4 dividing the maximum attained shear force by two times the cross sectional area (EN 1052-3, 2002).

5 Afterwards, the results of each individual test can be plotted in terms of the confining stress versus the  
6 attained shear strength. The Coulomb friction plane is then obtained by a linear regression of these  
7 results, in which the shear strength  $f_v$  is a function of the confining stress:

$$8 \quad f_v = \tan(\alpha_k) \times f_p + f_{v0} \quad (3)$$

9 where  $f_p$  is the confining stress,  $f_{v0}$  is the initial shear strength, and  $\tan(\alpha_k)$  is the tangent of the internal  
10 friction angle.

## 11 **Results**

12 The results for all the tests are next presented in terms of average value and coefficient of variation  
13 (CoV).

### 14 **Small cylinder samples**

#### 15 *Compressive strength*

16 Several tests were carried out at different ages, 21 samples were tested at green stage and an age of 14  
17 days, and 39 samples were tested at an age of 7 and 28 days, making a total of 120 samples. The results  
18 of the compressive tests are summarized in Fig. 2a which shows the evolution of the compressive  
19 strength ( $f_c$ ) over a period of 28 days while Fig. 2b shows the stress strain curves of the tested samples  
20 at an age of 28 days. As expected, the average maximum compressive strength of the samples increase  
21 from around 0.2 MPa to around 1.1 MPa in 28 days, as the cement hardens. The 0.2 MPa compressive  
22 strength of the green samples is only related to the cohesion of the soil mix with low influence of the  
23 cement (in Fig. 2, the error bars at green stage are too small to be appreciated). From the evolution of

1 the average compressive strength, it can also be observed that its increase slows down with age. The  
2 CoV of the compressive strength increases drastically after seven days, reaching a CoV of 34% at the  
3 age of 28 days. The average Young's modulus at an age of 28 days was 106 MPa with a CoV of 32%.

4 Although the target compressive strength for the CEBs is of over 2 MPa, the results of this test cannot  
5 be directly compared with that target, since these specimens are more slender, they can be regarded as  
6 unconfined and therefore are expected to have a lower compressive strength.

### 7 *Indirect tensile strength*

8 A total of 12 samples were tested at 28 days to determine the tensile strength of the material ( $f_{it}$ ).  
9 The indirect tensile tests determined that the average tensile strength of the soil mix is equal to  
10 0.058 MPa with a CoV of 24%. This is equivalent to around 5% of the compressive strength of the  
11 cylinder samples. Fig. 3 shows the stress-displacement curves of the tests.

12 The post-peak behaviour of the curves shown in Fig. 3 seems to be relatively ductile. But this is mainly  
13 due to the nature of the test, in which material gets trapped between the lower and upper platens even  
14 after post-peak. In reality, a test of this material carried out under direct tension should show a quite  
15 brittle behaviour.

## 16 **Units**

### 17 *Compressive strength*

18 The compressive strength of the blocks ( $f_b$ ) was determined at different ages: 7, 14, 28 and 56 days.  
19 These ages are normally used for testing cement and mortar specimens. At 28 days of age, mortar is  
20 considered to have reached its reference value. Nevertheless, the strength continues to grow over time,  
21 but at a slower rate.

22 Fig. 4 shows the average results of these tests. As it can be observed in this figure, the compressive  
23 strength of both type of blocks rise constantly in the first 28 days, achieving 3.06 MPa (CoV of 12%)

1 with the soil from Malawi and 1.96 MPa (CoV of 27%) with the Portuguese soil. Only at an age of 56  
2 days, the blocks of Portuguese soil reach an average strength of 2.34 MPa with a CoV of 24%.

3 Even if the results obtained during the homogenization indicated similar strengths of the soils at the  
4 material level, the strength of the ICEBs made with the two soils did not have a good correlation, since  
5 the Portuguese soil had a lower strength than predicted. Despite of this, the test campaign was continued.  
6 Since this project focuses on self-construction, it is also assumed that self-made ICEBs in Malawi might  
7 at times have less compressive strength than the ones studied in this case. Therefore, the results of the  
8 masonry studies made with the Portuguese blocks can be viewed as a conservative estimate. Moreover,  
9 the study of ICEB masonry with the Portuguese block still gives a valuable insight into the behaviour  
10 of ICEB masonry in general.

11 The stress-strain curves of the blocks with soil from Malawi at an age of 28 days and of the blocks with  
12 the soil from Portugal are shown in Fig. 5. In this figure it can be observed that the blocks with soil from  
13 Malawi seem to be more ductile than the ones of soil from Portugal. Also the high dispersion of the  
14 results from the Portuguese soil can be clearly appreciated in Fig. 5.b. Due to the small amount of blocks  
15 tested with the Malawian soil, it cannot be excluded that the smaller CoV of 12% might not be higher  
16 indeed.

17 The average Young's modulus of the blocks made with Malawian and Portuguese soil was equal to  
18 148 MPa and 163 MPa with CoVs of 20% and 30% at an age of 28 days and 56 days, respectively.  
19 In Fig. 6 it can be observed that the Young's modulus and the compressive strength correlate well to  
20 each other, although not enough data is available in case of the blocks made with soil from Malawi to  
21 assure this statement.

## 22 *Flexural strength*

23 Normally, in flexural strength tests the specimen is notched in the middle of the lower side of the block  
24 in order to control the plane of fracture, and to capture the fracture energy. Due to the fragile nature of  
25 this kind of blocks, it was not possible to make a notch. As the cross section of the blocks is not constant

1 along its length (due to the vertical holes), the plane of failure was usually not vertical but diagonal with  
2 an angle of approximately  $30^\circ$  from the vertical axis.

3 A total of 12 blocks were tested. The average flexural strength ( $f_{bf}$ ) determined with the expression  
4 given by the HB195 (2002) was equal to 0.21 MPa, which is equivalent to a load of around 730 N, with  
5 a CoV of 19%.

## 6 **Masonry specimens**

### 7 *Compression of masonry prisms*

8 Compressive tests of prisms with 5 dry-stack ICEB units were made. The height of the prisms was equal  
9 to 0.47 m. A total of 12 prisms were tested. The resulting stress-strain curves are shown in Fig. 7. The  
10 average compressive strength ( $f_{mp}$ ) of the tests is equal to 0.87 MPa with a CoV of 24%, and the average  
11 Young's modulus was equal to 129 MPa with a CoV of 19%.

12 Bui and Morel (2009) tested rammed earth specimens of 0.4 m of height and with a slenderness ratio of  
13 2, obtaining an average compressive strength 0.84 MPa. Even though the typology of the masonries is  
14 not the same, it is interesting to observe that the results are of the same range.

15 The failure patterns were similar for all specimens. Fig. 8 summarizes the main observed damages.  
16 Spalling in one main faces of one block was generally present, see Fig. 8a. In some cases compression  
17 zones formed at the tip or one or more corners broke off, see Fig. 8b and Fig. 8c. In the lateral face,  
18 small vertical cracks appeared in the upper blocks and larger cracks in the subsequent lower blocks. It  
19 is interesting to notice that the spalling was almost only present on one block, see Fig. 8d.

### 20 *Compression of masonry wallets*

21 Compressive tests of single and double-leaf ICEB masonry wallets were carried out. The double-leaf  
22 wall had one course of headers only at mid-height, i.e. at fifth row. In total ten masonry wallets were  
23 tested, being five of each type.

1 The double-leaf walls presented a classical damage, concentrated in the less restrained part of the wall  
2 (free edges and mid-height), as shown in Fig. 9a to Fig. 9c. In the process of disassembling the walls,  
3 two main cracks in the longitudinal direction of the walls were found, see Fig. 9.d to Fig. 9.f. The cracks  
4 pass through the centre of the holes of the blocks, indicating that failure occurs also in the out-of-plane  
5 direction as it is less constrained by the boundary conditions.

6 In the case of the single-leaf walls, the cracking pattern on the main faces was more evenly distributed,  
7 with some spalling in the vertical edges of the walls, see Fig. 10a to Fig. 10c. In the case of the single-  
8 leaf walls a longitudinal crack passing through the middle of the holes of the blocks could also be  
9 observed in the two upper thirds, see Fig. 10d to Fig. 10f.

10 No substantial difference were found between the results of the double-leaf wall and the single-leaf wall,  
11 being the double leaf-walls 10% weaker. This means that the slenderness of the specimens and the three-  
12 dimensional arrangement of the units have hardly any influence on the compressive strength. The overall  
13 average compressive strength was equal to 0.53 MPa, with a CoV of 12% MPa, while the Young's  
14 modulus results have an average equal to 102 MPa with a CoV of 39%.

15 Fig. 11a and b show the stress-strain curves of the tests. As can be seen, both series of specimens (single-  
16 leaf and double-leaf wallets) present similar behaviour at pre-peak up to the compressive strength. The  
17 strain at maximum stresses seems to be higher in the case of the double-leaf wallets.

### 18 *Dry interface*

19 In this test series the vertical confining stress levels were 0.02 MPa, 0.15 MPa and 0.30 MPa. For each  
20 level three specimens were tested. The horizontal displacement versus shear stress curves are shown in  
21 Fig. 12.

22 The maximum shear strengths at their corresponding confining stresses can be seen in Fig. 13. The linear  
23 regression between the confining stress and the shear strength shows that the initial shear strength  $f_{v0}$  is  
24 equal to 0.035 MPa. Since for dry masonry it is expected to have zero value, the interlocking effect is  
25 most probably responsible of this non-zero value. The tangent of the internal friction angle  $\tan(\alpha_k)$  is



1 equal to 0.73, a value often encountered for masonry specimens (Lourenço and Ramos 2004). Therefore,  
2 the shear strength  $f_v$  of this masonry in terms of the confining stress  $f_p$  can be calculated with equation  
3 3 by replacing  $f_{v0}$  and  $\tan(\alpha_k)$  with the obtained results.

4 The typical failure mode is shown in Fig. 14. Fig. 14a shows how the block in the middle slides  
5 horizontally when pushed laterally. After the test, see Fig. 14b, the interface shows signs of roughened  
6 surfaces due to the friction between the blocks and broken indentations. It is interesting to notice that  
7 both indentations always broke, revealing that they are effective in providing the interlock. It is also  
8 important to mention that the resulting surface roughness increased with the increasing confining stress,  
9 being almost non-existent at the lowest stress.

10 Fig. 15 shows the horizontal versus the vertical displacements during the triplet shear test for each  
11 confining state, where the dots mark the moment upon shearing. With the exception of one test,  
12 all curves have a negative vertical displacement before reaching 1 mm of horizontal displacement. It is  
13 believed that this is due to the blocks' accommodation before the indentations and its counterparts get  
14 into contact. After this, the vertical displacements for the confining stress of 0.02 MPa start increasing  
15 steadily. For the confining stresses of 0.15 MPa and 0.3 MPa, the vertical displacements are towards in  
16 the negative direction.

17 The values of the dilatancy ( $\tan\psi$ ) obtained upon shearing for each confining state are shown in Fig.  
18 16. The results show positive values for lower compressive states, near to zero values for intermediate  
19 compressive states and negative values for higher compressive states.

20 The decreasing values of the dilatancy for each compressive state are related to the failure mode of each  
21 state. As mentioned earlier and shown in Fig. 17a, at low compressive states the blocks slide one respect  
22 to the other due to the inclination of the indentation, even though the indentation get damaged. At  
23 intermediate compressive states, the indentation work fully and the flat surfaces get only roughened  
24 slightly, see Fig. 17b. At higher compressive states, the indentations work fully, but also the flat surfaces  
25 roughen up due to the higher friction. This effect is expected in sandy soil-cement mixes. As mentioned  
26 by Lourenço (2008), materials with rough surfaces tend to have negative dilatancy values.

# 1 Discussion

2 A summary of the main experimental results is given in Table 2. In general, the attained compressive  
3 strengths results of the ICEBs are similar to those obtained to other authors for sandy soils (Reddy and  
4 Gupta, 2005). These sandy soils do not attain the higher strengths which clayey soil attain, but their  
5 strength is sufficient for construction according to the different earth construction guidelines (ASTM  
6 D1633-00 2007; ARS674 1996; DL 2009; CSIRO 1987; NMAC 2006; AEI 2005; HB195 2002). The  
7 soil which was selected in Malawi was common available soil on a construction site, showing that using  
8 this soil is possible for CEB manufacturing.

9 The CoV of the blocks is 24%. Other authors such as Morel et al. (2007) reports a CoV of 26% and  
10 Piattoni et al. (2011) between 11% and 19%. Most authors mentioned throughout this paper do not report  
11 the scatter of their results, and a comparison cannot be made. The large variability of mechanical  
12 properties is well known to the earth building community and seems to be intrinsic to the system. The  
13 compressive strength of the masonry corresponds to 48% of the strength of the small cylinders, to 23%  
14 of the strength of the blocks and to 61% of the strength of the masonry prisms. The NZS4297 (1998)  
15 standard defines the compressive strength of CEB masonry ( $f_m$ ) as half of the unconfined compressive  
16 strength (i.e. the compressive strength of the prisms ( $f_{mp}$ ), which is close to the 61% obtained in this  
17 test campaign. The NZS4297 (1998) standard also defines that the strength of the masonry as 3.5 times  
18 the flexural strength. In this case, the value is closer to 2.5 times the flexural strength. The lower strength  
19 of double-leaf of around 10% can be due to the variability of the material and some geometrical  
20 imperfection defects on the interlocking blocks between the two leaves, as the slenderness is not  
21 expected to play a role in the response of the adopted specimens.

22 Concerning the tensile strength, the values obtained indirectly by the flexural test on blocks are around  
23 5% and 9% of the compressive strength of the cylinders and blocks, respectively.

24 The measured average Young's moduli of the compressive tests vary between 102 MPa and 163 MPa.  
25 The HB195 (2002) standard proposes a Young's modulus  $E$  of 200 MPa for CEB masonry and the

1 NZS4297 (1998) defines the Young's modulus of the masonry as 300 multiplied by the compressive  
2 strength of the masonry. Using the obtained compression strength and the definition of the NZS4297  
3 (1998) to calculate  $E$ , the result is of 159 MPa. Therefore, the Young's modulus of the tested ICEB  
4 masonry seems to be a little bit lower but within the range of the ones proposed by these earth  
5 construction standards.

6 The shear strength of the masonry joints depends of the confining stress and the initial shear strength,  
7 which in this case seems to be provided by the interlocking. Since this is just the shear strength of the  
8 interface between the horizontal blocks, shear tests of masonry specimens have to be carried out to  
9 determine the shear strength of the assemblage.

10 Finally, taking into account the previous statements and using the values of Table 2, approximate relationships  
11 based on the smaller tests can be established for the studied ICEB masonry.

12 Table 3 shows the ones that could be the most useful.

## 13 **Conclusions**

14 On this work, experimental tests were carried out to characterize dry-stack interlocking stabilized  
15 compressed earth blocks. Different tests have been made to characterize the mechanical properties of  
16 the soil-cement mix, the strength of interlocking compressive earth blocks (ICEB) and the compressive  
17 strength of dry-stack masonry wallets. Based on these test results, different average strength values and  
18 the relationships between them were proposed.

19 Even if the homogenization of the Malawian and the Portuguese block in terms of mechanical properties  
20 was not successful, the test campaign was continued because the results of this study are valuable and  
21 give an insight into dry-stack ICEB masonry. They can be regarded as conservative results, since the  
22 Portuguese blocks used represent well the average of the minimum strength given by the various codes  
23 and guidelines.

1 The results of the masonry wallets can be considered as representative of real dry-stack ICEB masonry  
2 walls. The strength and Young's modulus of the ICEB masonry can be determined indirectly through  
3 the compressive strength of the small cylinders, blocks or prisms or through the flexural strength of the  
4 blocks.

5 The interlocking of the blocks proved to be effective. During the shear tests at low compressive states  
6 both of the indentation always broke. Although they provide low initial shear strength, the interlocking  
7 plays a fundamental role when ICEB masonry is loaded in the in plane or out-of-plane direction,  
8 as shown by Uzoegbo and Ngowi (2004) and Bland et al. (2011).

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12 project.

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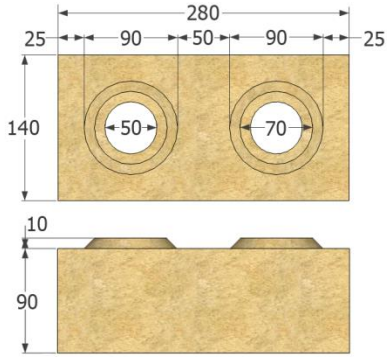
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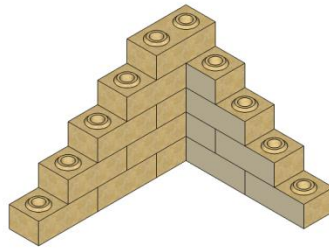
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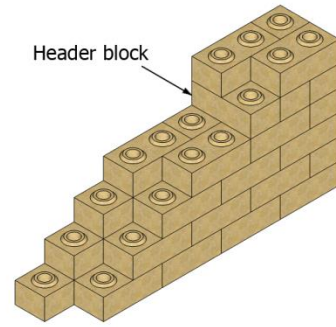
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(a)



(b)



(c)

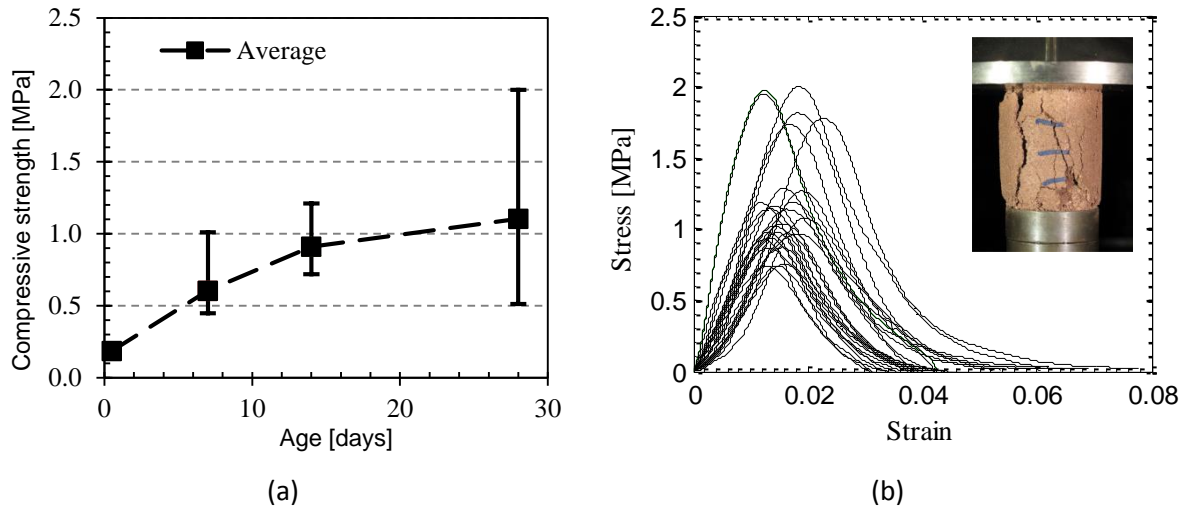
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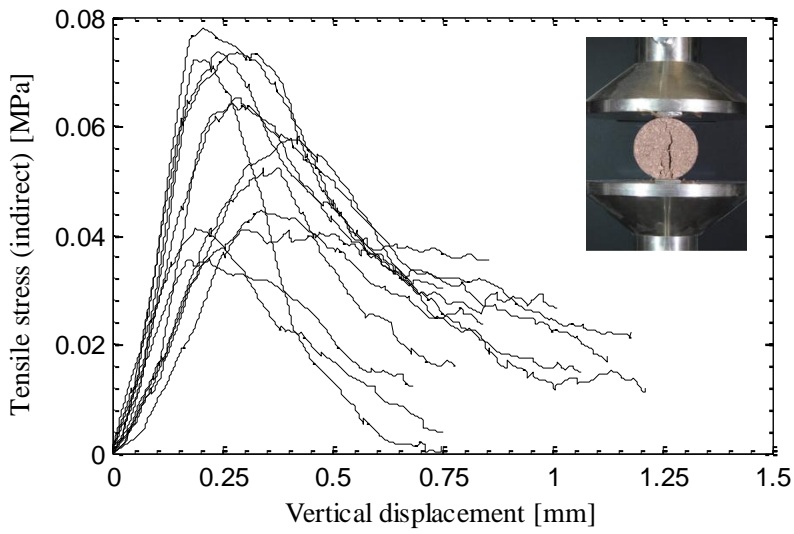
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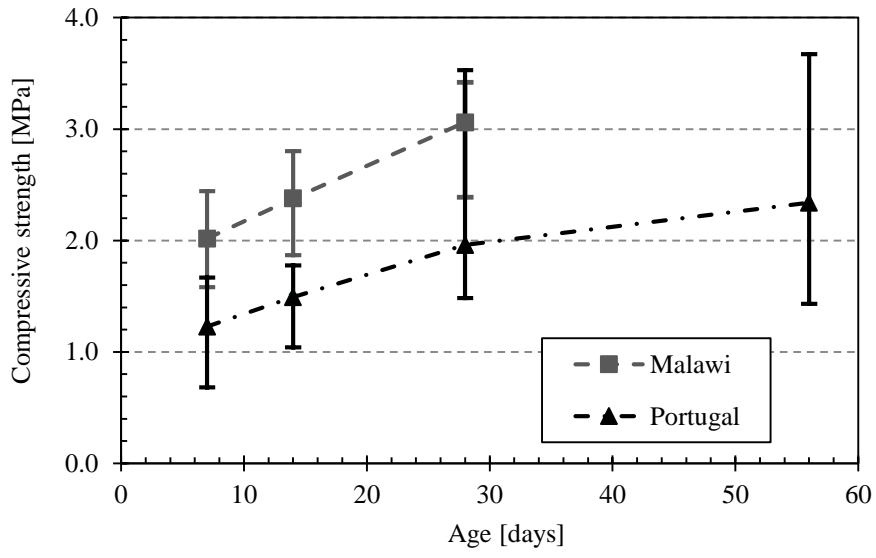
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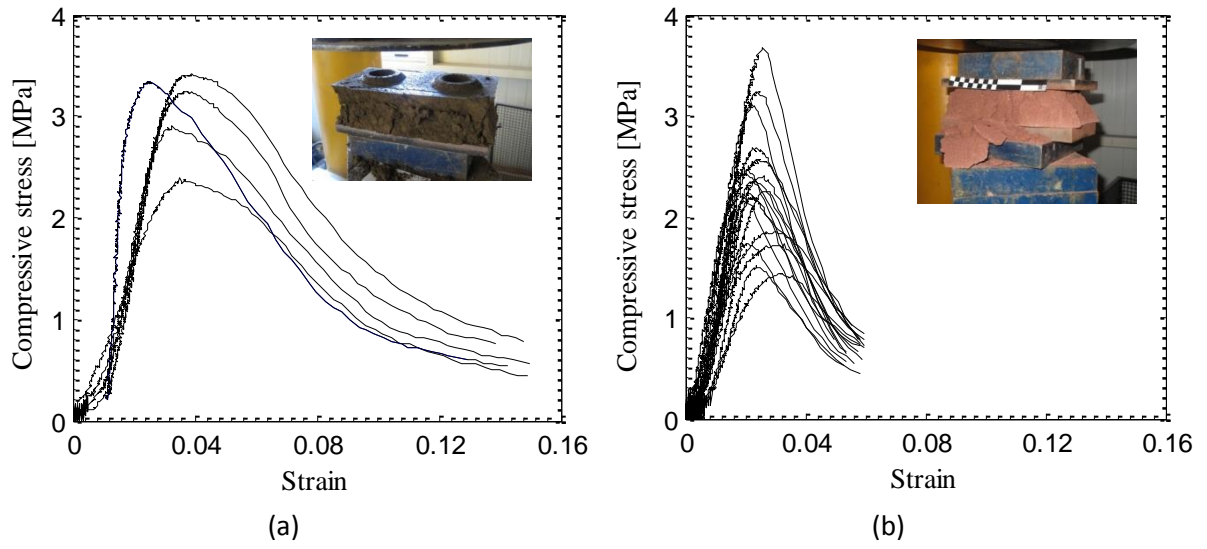


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3 Fig. 4 Compression strength of the CEB with maxima and minima.

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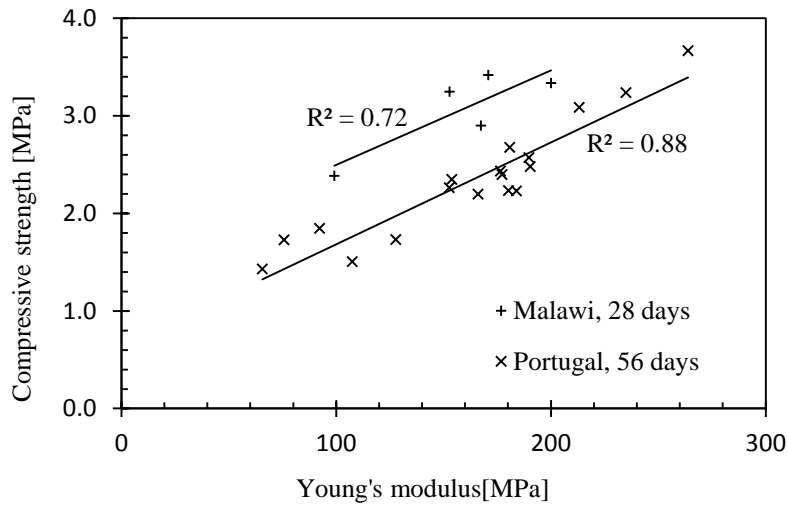
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2 Fig. 5 Stress-strain curves of blocks: (a) Malawian soil, 28 days; (b) Portuguese soil, 56 days.

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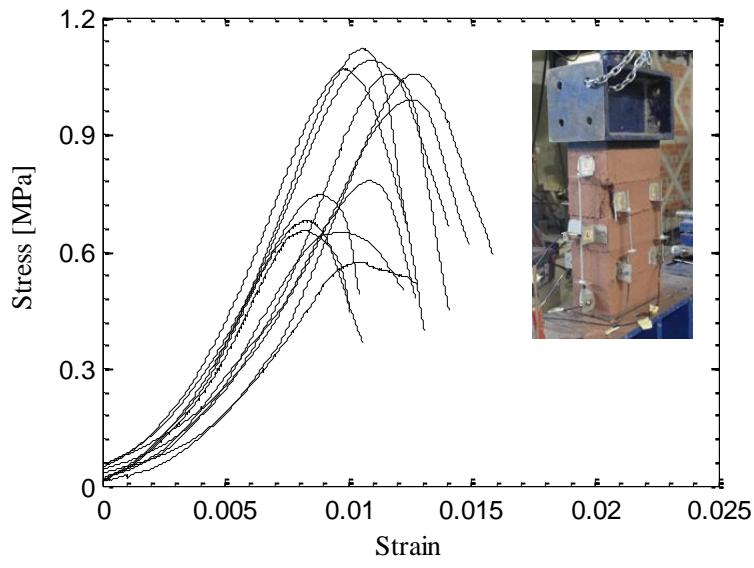


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3 Fig. 6 Correlation between the Young's modulus and the compressive strength of the blocks.

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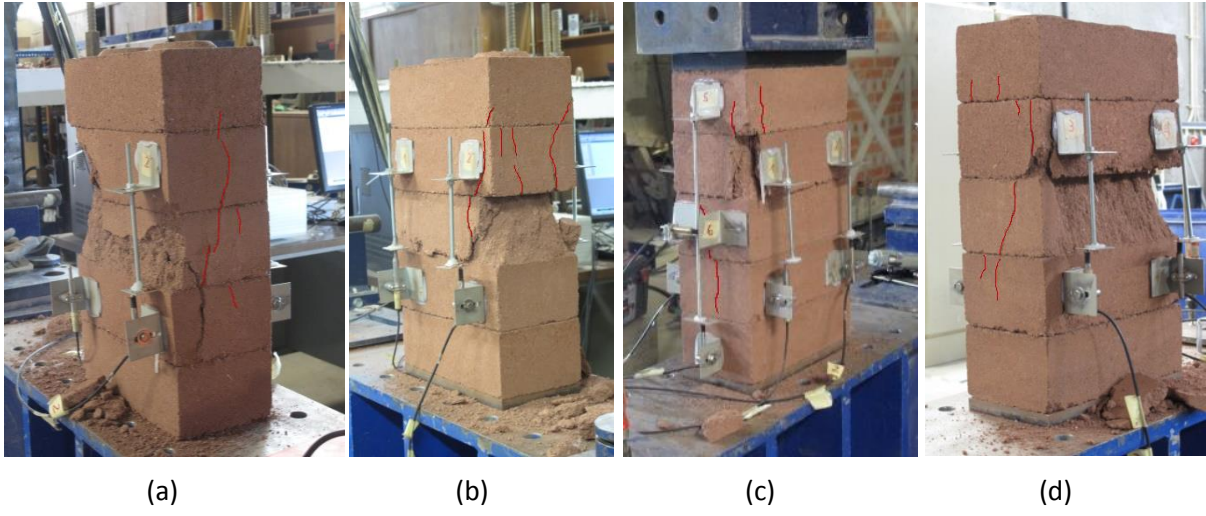


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3 Fig. 7 Stress-strain curves of prisms in compression.

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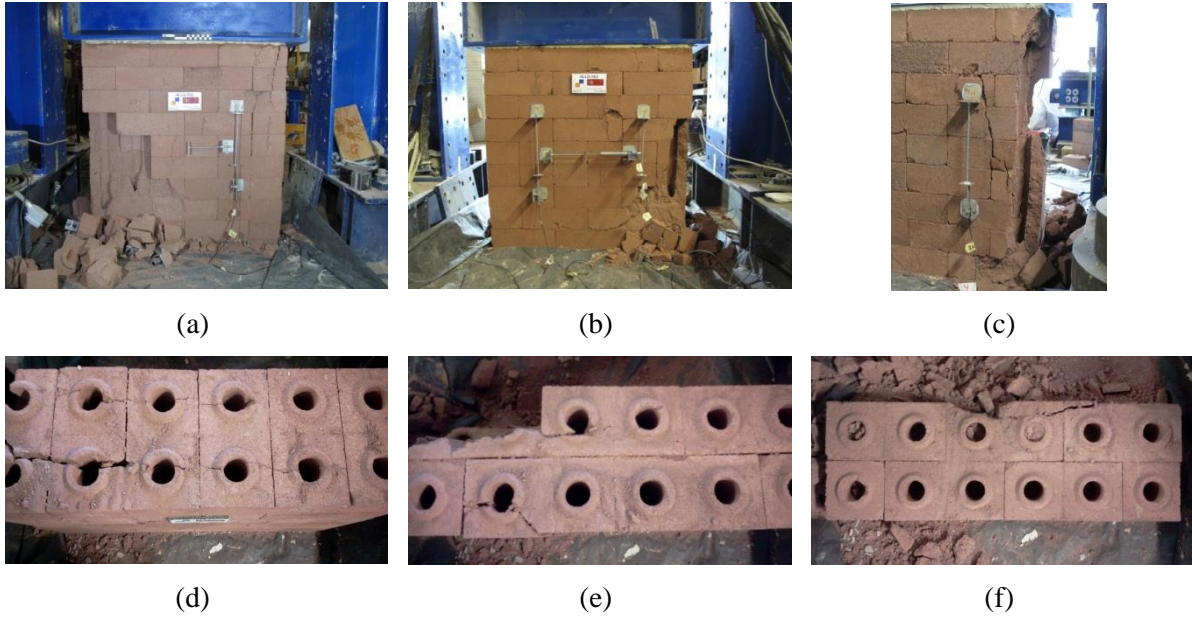


2 Fig. 8 Failure pattern of the masonry prisms (cracks are highlighted in red): (a) spalling of the main face; (c) failure  
3 at the tip; (c) broken corner; (d) interrupted cracks.

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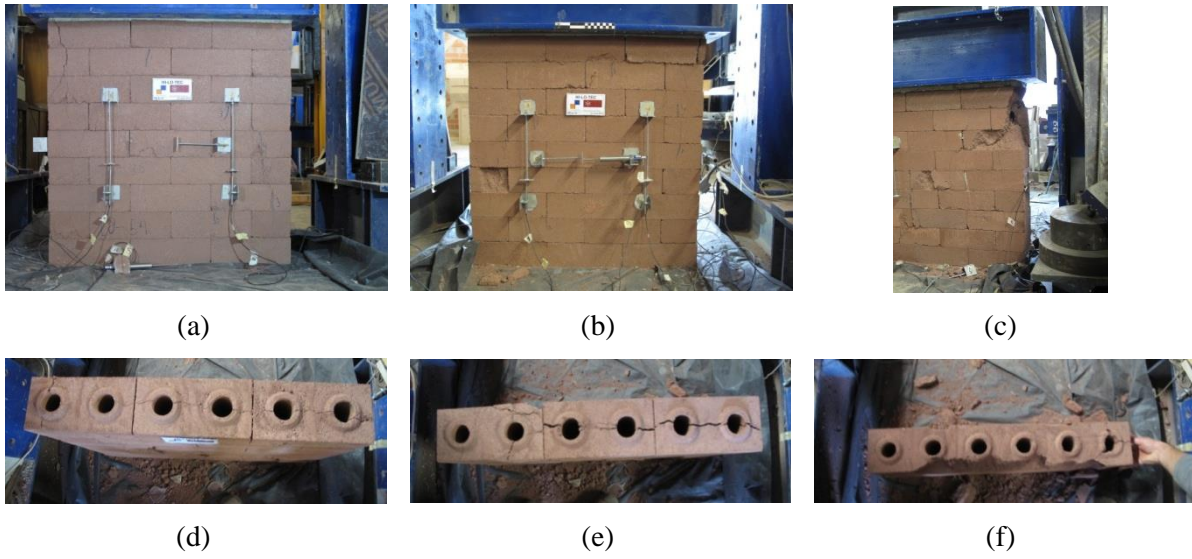
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2 Fig. 9 Typical failure mode of double-leaf walls observed during dismantling of the specimens: (a) front view; (b)  
3 back view; (c) side view; (d) 7<sup>th</sup> row; (e) 5<sup>th</sup> row; (f) 3<sup>rd</sup> row.

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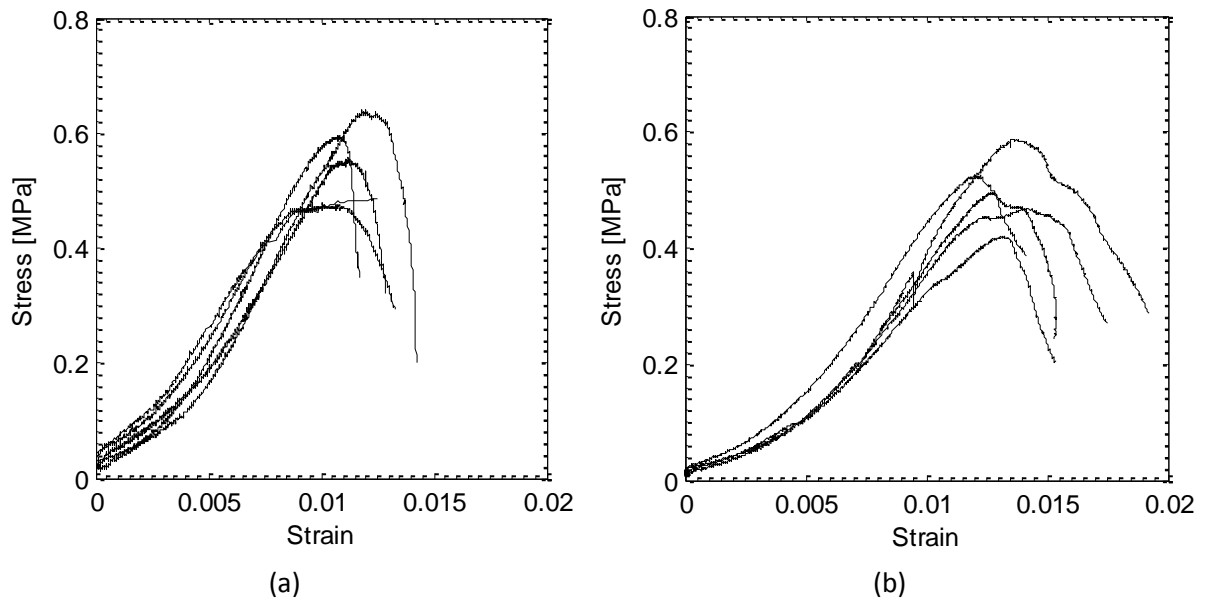
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2 Fig. 10 Typical failure mode of single-leaf walls observed during dismantling of the specimens: (a) front view; (b)  
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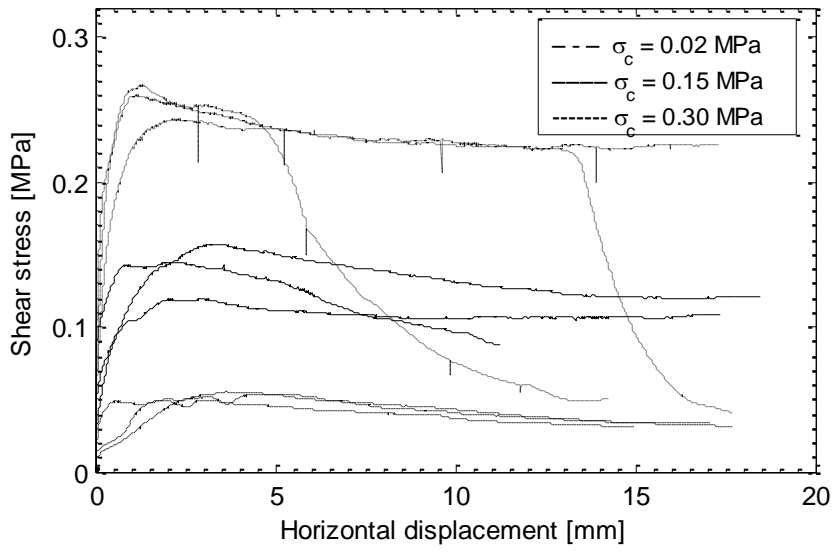
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2 Fig. 11 Stress-strain curves of the wallets: (a) single-leaf; (b) double-leaf.

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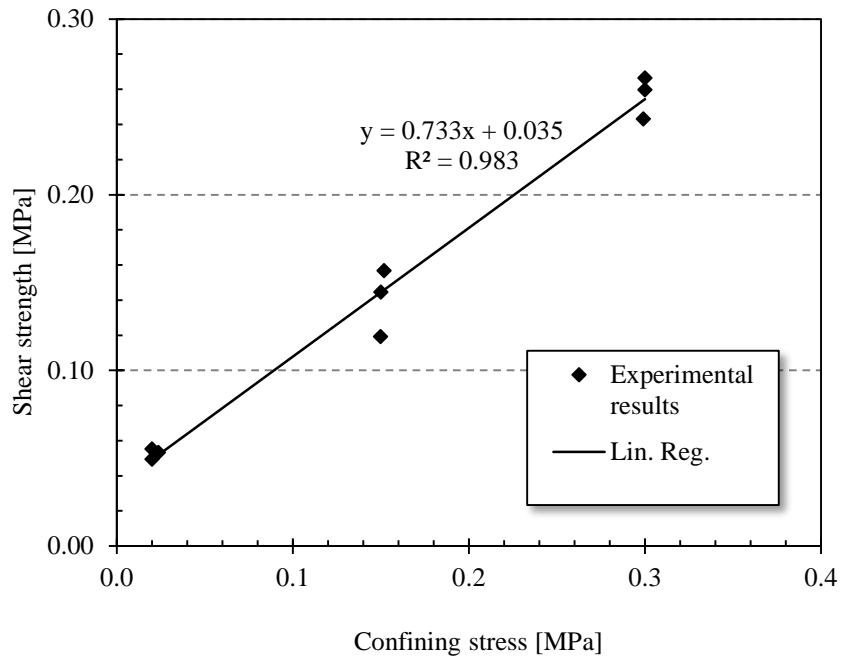


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3 Fig. 12 Horizontal displacement versus shear stress curves.

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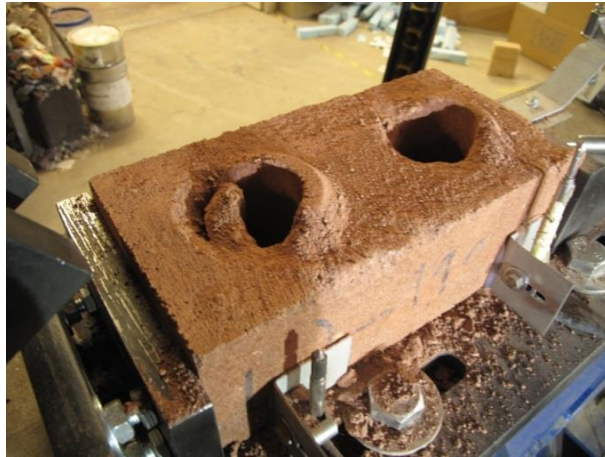
3 Fig. 13 Results of the triplet tests.

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(a)

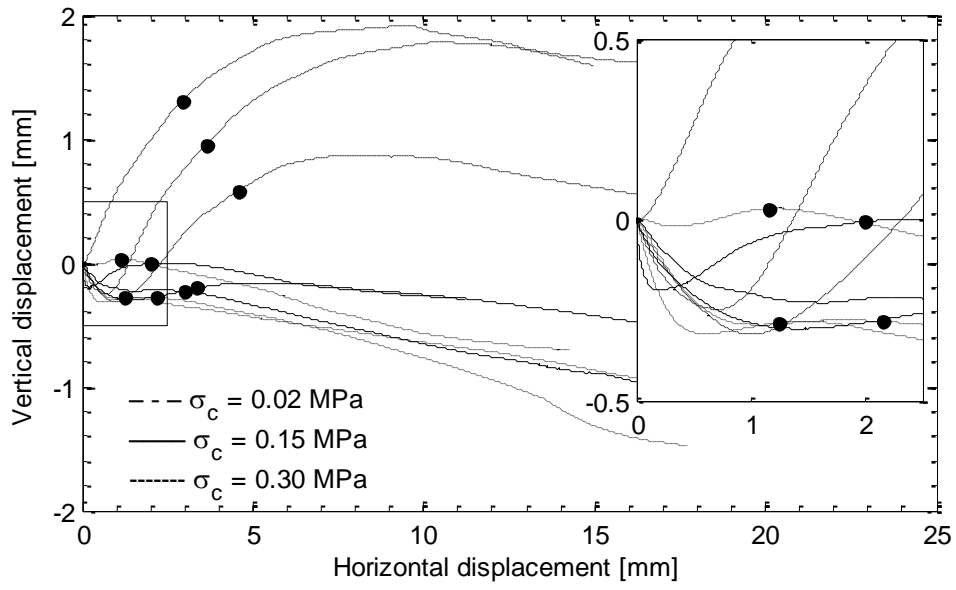


(b)

2 Fig. 14 Failure mode: (a) the CEB in the middle slides horizontally; (b) the CEB show broken indentation and  
3 roughened surfaces.

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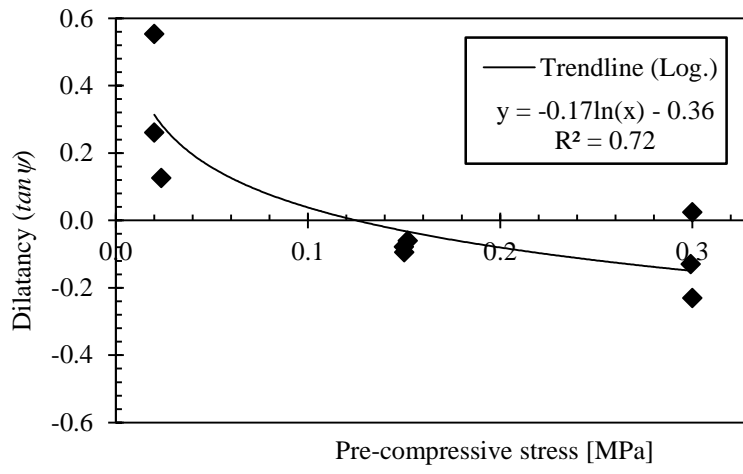


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3 Fig. 15 Shear displacement versus the vertical displacement during the triplet test.

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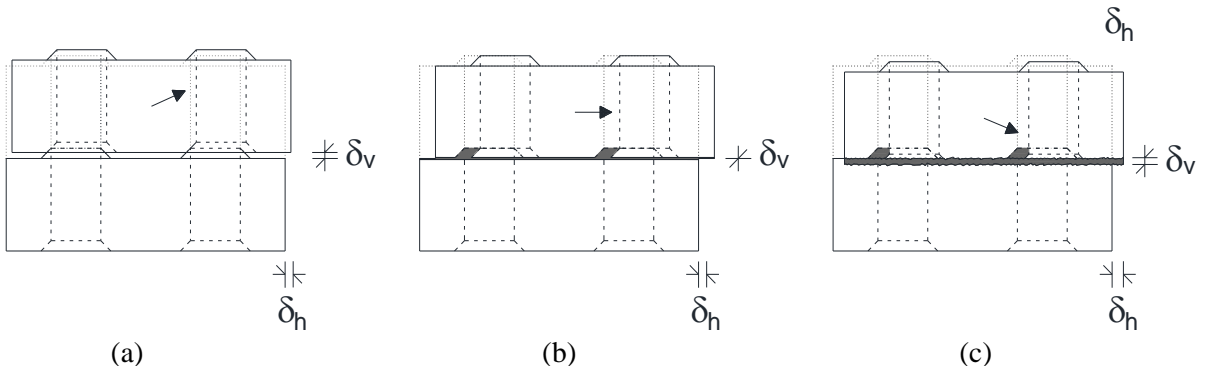
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3 Fig. 16 Results of dilatancy for each state of compression.

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Fig. 17 Failure mode for different compressive states: (a) 0.02 MPa; (b) 0.15 MPa; (c) 0.30 MPa.

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1

2 Table 1 Summary of the laboratory tests carried out.

<b>Specimen type</b>	<b>Tests</b>
Small cylinders (soil)	-Compression -Indirect tension
Units	-Compression -Flexure
Prisms & masonry wallets	-Compression
Interface between ICEB	-Initial shear

3

4

1

2 Table 2 Summary of the test results.

Specimen type	Measured strength	Symbol	Strength		Young's modulus	
			Average [MPa]	COV [%]	Average [MPa]	COV [%]
Small cylinders	compressive	$f_c$	1.10	34	106	32
Small cylinders	indirect tensile	$f_{it}$	0.06	24	n/a	n/a
Single blocks	compressive	$f_b$	2.34	24	163	30
Single blocks	flexural	$f_{bf}$	0.21	19	n/a	n/a
Masonry prism	compressive	$f_{mp}$	0.87	24	129	19
Masonry wallets	compressive	$f_m$	0.53	12	102	39
Prism (triplet)	shear	$f_v$	$0.73f_p + 0.035$	n/a	n/a	n/a

n/a= does not apply

3

4 Table 3 Relationships between the characteristic values.

5

1

<b>Nº</b>	<b>Relationship</b>
1.	$f_m = 0.5 \times f_c$
2.	$f_m = 0.2 \times f_b$
3.	$f_m = 0.6 \times f_{mp}$
4.	$f_m = 2.5 \times f_{bf}$
5.	$E = 200 \times f_m$

2