BATCH: bm6a18

1

2

3

4

5 6

7

8

9

10

11

12

13

14

15

16

17

18

19

20 21

22

23

24

25

26

27 28

29

Soy Matrix Drug Delivery Systems Obtained by Melt-Processing Techniques

Cláudia M. Vaz,*,† Patrick F. N. M. van Doeveren,‡ Rui L. Reis,†,§ and António M. Cunha†

Department of Polymer Engineering, University of Minho, Campus de Azurém, 4800-058 Guimarães, Portugal, ATO, Agrotechnological Research Institute, PO Box 17, 6700 AA Wageningen, The Netherlands, and 3B's Research Group—Biomaterials, Biodegradables and Biomimetics, University of Minho, Campus de Gualtar, 4710-057 Braga, Portugal

Received February 12, 2003; Revised Manuscript Received July 14, 2003

The aim of this study was to develop new soy protein drug delivery matrix systems by melt-processing techniques, namely, extrusion and injection moulding. The soy matrix systems with an encapsulated drug (theophylline, TH) were previously compounded by extrusion performed at two different pH values, (i) pH 4 (SIpD_{tp}) and (ii) pH 7 (SID_{tp}), and further injection-moulded into a desired shape. During the extrusion process the matrixes SID_{tp} were also cross-linked with glyoxal $(0.6X-SID_{tp})$ and reinforced with a bioactive filler, hydroxylapatite (SI-HAD_{tp}). The obtained mouldings were used to study the drug-release mechanisms from the plastic soy-TH matrixes. In an isotonic saline solution (ISS) buffered at pH 5.0 (200 mM acetate buffer), the resulting release kinetics could be described using the Fick's second law of diffusion. Because the diffusion coefficients were found to be constant and the boundary conditions to be stationary, these systems are drug-diffusion controlled. Conversely, the dominant phenomena in an isotonic saline solution buffered at pH 7.4 (200 mM Tris/HCl buffer) are more complex. In fact, because of the higher polymer solubility, the resulting matrix is time-variant. So, the drug release is affected by swelling, drug diffusion, and polymer dissolution, being faster when compared to ISS-200 mM acetate buffer, pH 5.0. The changes in the formulation composition affecting the correspondent release rates were also investigated. At pH 7.4, increasing the cross-linking degree of the polymer matrix (via reaction with glyoxal or heat treatment) or decreasing the net charge (extruding at pH near its isoelectric point) led to lower release rates. The incorporation of ceramic filler caused the opposite effect. Because of the low solubility of the matrix at pH 5.0, no significant variations were detected with variations in the selected formulations. These systems, based on a nonstandard protein-based material, seem to be very promising to be used as carriers for drug delivery.

1. Introduction

The most conventional way to make matrix drug delivery 30 systems is based on the compression of polymer-drug 31 mixtures into a compact form (e.g., slabs and tablets).^{1–3} 32 Alternatively, the drugs can be previously granulated with 33 the polymer so that the drug particles are covered with a 34 layer aiming at retarding the respective release process.^{4,5} 35 In other studies, drugs have also been incorporated into the 36 polymer granulates using techniques such as (i) solvent 37 evaporation,⁶ (ii) polymer solution granulation,⁷ (iii) melt 38 granulation,⁸ and (iv) sintering.⁹ The obtained granulates were 39 then compressed into slabs or tablets. However, the release 40 kinetics was found to be greatly dependent on the compaction 41 properties of the polymer-drug granules.⁶⁻⁹ Other alterna-42 tives include the design of matrix drug delivery systems in 43 which the drug particles are dispersed in a melted polymeric 44 45 phase. A common example is the compression of drug-filled polymeric compounds above the melting point of the polymer46to form a solid part containing the drug.10-12 In this type ofdevice, the release kinetics is controlled by the polymer bulk48properties and not by the porosity of the system.49

A less-frequent methodology is the encapsulation of a drug 50 into a polymer extrudate that will be subsequently shaped 51 in the final geometry by injection moulding. The major 52 advantages over the more-conventional above-described 53 methods are (i) continuity of the production process because 54 the different production steps (mixing, melting, homogeniz-55 ing, and shaping) are carried out in a single equipment, (ii) 56 cost-effective process because the technique usually offers 57 high throughputs, low material loss, and excellent homoge-58 neity, (iii) high geometrical freedom, (iv) environmental 59 friendliness because no organic solvent is used during the 60 processing stage, (v) possibility to work in clean room 61 conditions, and (vi) high versatility in terms of materials and 62 formulations.^{10,11,13–15} 63

Following this last described approach, soy protein was 64 chosen as the matrix former because of its high availability 65 and biodegradability,¹⁶ good melt processability,¹⁷ high 66 thermal stability,¹⁷ and noncytotoxicity.¹⁸ Its outstanding 67

^{*} To whom correspondence should be addressed. Tel.: + 31 40 247 4839. Fax: + 31 40 244 7355. E-mail: c.m.vaz@tue.nl.

[†] University of Minho, Campus de Azurém.

[‡] ATO, Agrotechnological Research Institute.

[§] University of Minho, Campus de Gualtar.

В Vaz et al.

Table 1.	Compositions	of the	Investigated	Matrixes ^a

Biomacromolecules

	formulation					
	SI _{tp}	SID _{tp}	0.6X-SID _{tp}	24TT-SID _{tp} ^b	SIpD _{tp}	SI-HAD _{tp}
soy	100	100	100	100	100	100
glycerol	10	10	10	10	10	10
water	30	30	30	30	30	30
glyoxal			0.6			
theophylline		20	20	20	20	20
hydroxylapatite						30
pH	~7.0	~7.0	~7.0	~7.0	~4.0	~7.0
free amine group content (%)		97.6 ± 0.5	55.9 ± 1.0	$\textbf{72.2} \pm \textbf{1.1}$		

^a All quantities in parts/100 g protein (phg). Abbreviations: SI_{tp}, thermoplastic soy matrix; SID_{tp}, thermoplastic soy matrix with encapsulated theophylline; 0.6X-SID_{tp}, glyoxal cross-linked thermoplastic soy matrix with encapsulated theophylline; 24TT-SID_{tp}, thermal-treated thermoplastic soy matrix with encapsulated theophylline; SIpDtp, thermoplastic soy matrix with encapsulated theophylline extruded at pH 4; SI-HADtp, hydroxylapatite reinforced thermoplastic soy matrix with encapsulated theophylline. ^b Subjected to thermal treatment, 24 hrs/80 °C.



Figure 1. Chemical structure of theophylline anhydrous (TH, C₇H₈N₄O₂).

feasibility has been established by a variety of applications 68 in the polymer, food, and agriculture fields.¹⁹⁻²¹ Although 69 it is also used as a cosmetic aid²² and was proposed to be 70 used in the biomedical field,^{23,24} no applications have been, 71 to our knowledge, proposed on what concerns to the use of 72 soy for the production of melt-extruded or injection-moulded 73 drug/matrix compounds. 74

Therefore, this work was developed with the objective to 75 76 explore the possibility to encapsulate a drug into a soy matrix by melt extrusion and subsequently study its suitability to 77 be used as a drug delivery system. The release behavior of 78 the obtained devices was investigated for a range of 79 formulations to evaluate the effect of parameters such as the 80 cross-linking degree, the matrix net charge, and the presence 81 of bonelike ceramic reinforcements. 82

83

91

97

2. Experimental Section

2.1. Materials. The following materials were used as 84 85 received from the manufacturers: soy protein isolate (amorphous, 83.4% protein w/w on dry basis, Loders Crocklaan 86 87 BV, The Netherlands), glycerol, glyoxal (40% v/v aqueous solution), o-phthaldialdehyde (OPA, Sigma-Aldrich Chemie 88 BV, Zwijndrecht, The Netherlands), and theophylline anhy-89 drous (TH, $C_7H_8N_4O_2$, $M_w = 180.2$ g/mol, mp 270–274 °C, 90 solubility 1 g/120 mL H₂O (RT), purity > 98%, Figure 1, 92 Sigma-Aldrich Chemie BV, Zwijndrecht, The Netherlands).

Hydroxylapatite (a nonsintered bonelike ceramic filler with 93 an average particle size $< 20 \ \mu m$) was supplied by CAM 94 Implants BV, Leiden, The Netherlands. All of the other 95 reagents used in the experiments were of analytical grades. 96

Glyoxal was the selected cross-linker because of its 98 dialdehyde functionality able to react with the free ϵ -amine 99 groups of the lysine (or hydroxylysine) residues of soy protein (eq 1): 100

> $\begin{array}{c} 0 & 0 \\ \parallel & \parallel \\ -C & -C & -H \end{array} \xrightarrow{Prot} Prot \\ N = C & -C = N \\ \end{array} \begin{array}{c} H & H \\ -Prot \\ + 2H_{2}O \\ \end{array}$ (1)

Moreover, glyoxal has shown to be less toxic for the human 101 cells than the most commonly used formaldehyde and 102 glutaraldehyde cross-linkers when used to cross-link protein-103 based matrixes such as collagen.²⁵ 104

2.2. Premix Preparation. Premixes of the matrix material 105 (soy protein isolate), filler, and drug were prepared prior to 106 processing. The constituents of each formulation were 107 weighed and transferred into a mixer container. This was 108 followed by mixing all of the compounds for 15 min at room 109 temperature (25 °C) using a Bear Varimixer (Bear, Denmark) 110 equipped with a low-shear spiral-mixing tool at a speed of 111 45 rpm. 112

2.3. Melt Extrusion. The solid premixes were converted 113 into plastic materials with encapsulated TH by extrusion.²⁶ 114 They were directly fed into a corotating twin-screw extruder 115 (Berstorff, Hannover, Germany, D = 25 mm and L = 40D). 116 The liquids, such as plasticizers (glycerol and water (or a 117 buffer solution of acetic acid (CH₃COOH)/sodium acetate 118 (CH₃COONa) 200 mM, pH 4)) and cross-linker (glyoxal), 119 were concurrently injected into the second feeding zone of 120 the extruder barrel with a piston pump (Pro Minet, Verder 121 BV, The Netherlands). Table 1 presents all of the formula-122 tions prepared during this experiment and the respective 123 codes. The following extrusion conditions, based on pre-124 liminary research work, were used: a screw speed of 200 125 rpm and a temperature profile of 50-70-80-80-80-80-126 80-80-80-50 °C (from the hoper to the die). 127

The obtained extrudates were cooled to room temperature 128 and cut into pellets. Prior to further analysis they were dried 129 for 24 h at 60 °C. 130

2.4. Sample Preparation. Dumbbell-like specimens (4 \times 131 10 mm² of cross section) were injection-moulded in a 132 DEMAG D25 NC IV machine under optimized and steady 133 processing conditions, namely, barrel temperatures ranging 134 from 120 to 140 °C, injection pressure of 2500 bar, and 100 135 mm/s injection speed during 5.0 s of injection time. 136

A batch of the injection-moulded specimens was subjected 137 to a thermal treatment performed at 80 °C during 24 h in an 138 air-circulating oven (24TT-SID_{tp}). Subsequently, all of the 139 specimens (SID_{tp}, 0.6X-SID_{tp}, 24TT-SID_{tp}, SIpD_{tp}, and SI-140 HAD_{tp}) were conditioned at 25 °C and 60% relative humidity 141 (RH) for at least 1 week before testing (ISO 483:1998 (E)). 142

2.5. Sample Characterization. 2.5.1. Morphology. The 143 mouldings morphology was assessed by observation of the 144 respective sections (parallel to the flow direction) using an 145 Biomacromolecules



Figure 2. General SID_{tp} moulding morphology.

Olympus SZ-ET stereoscope magnifier. The respective photographs were taken using an Olympus DP 11 digital camera. Figure 2 illustrates a typical section of the moulding SID_{tp} showing the utility of the production methodology to form homogeneous drug dispersions.

2.5.2. Cross-Linking Degree. The cross-linking degree of 151 152 the produced specimens was evaluated through the quantification of the reduction of the respective free amine group 153 content. This was determined using the OPA (o-phthaldial-154 dehyde) method.²⁷ A 50 μ L aliquot of a solution containing 155 2 g/L of protein powder dissolved in sodium tetraborate 156 buffer (0.0125 M + 2% SDS) was added directly to 1.0 mL 157 of OPA reagent in a cuvette. The resulting solution was 158 mixed rapidly and incubated for 2 min at room temperature 159 before the absorbency was read at 340 nm against water. 160 The determination of the free amine groups of each sample 161 was achieved through a calibration curve previously estab-162 lished using L-leucine as a standard. 163

2.6. Drug Release Studies. The release kinetics of the 164 model drug TH from the soy matrixes was also assessed. 165 Randomly selected batches of specimens were immersed at 166 37 °C in isotonic saline solutions (ISS, NaCl 9 g/L-1% 167 sodium azide (NaN₃)) buffered at two different pHs: (i) pH 168 5.0 \pm 0.03, 0.2 M acetic acid (CH₃CO₂H)/0.2 M sodium 169 acetate (CH₃CO₂Na) buffer; (ii) pH 7.4 \pm 0.02, 0.2 M tris-170 (hydroxymethyl) aminomethane/0.2 M hydrochloric acid 171 172 (HCl) buffer (0.2 M Tris-HCl buffer). The 1% sodium azide was used to avoid the growth of unwanted microorganisms. 173 The experiments were performed in a horizontal shaker (GFL 174 3033, Gesellschaft, Burgwedel, Germany) at 100 rpm. 175

Soy Matrix Drug Delivery Systems C

At preset times, 1 mL of every solution was taken out 176 and replaced by 1 mL of fresh solution. The solution was 177 then carefully filtered using a Microcon filter (Amicon, The 178 Netherlands) of 10 kD mesh. The supernatant was assayed 179 for released of TH at 273 nm using an Alliance HPLC-UV 180 system (Water Chromatography BV, The Netherlands) 181 coupled with a Waters 2690 separations module and a Waters 182 996 photodiode array detector. The selected mobile phase 183 corresponded to a mixture of water/acetronitrile (80:20 v/v) 184 used at a flow rate of 0.75 mL/min. A Chrompack Varian 185 Hypersyl 5 μ m ODS column of 150 mm \times 4.6 mm was 186 used in combination with a 1 cm precolumn. All experiments 187 were conducted in triplicate. 188

The total drug loading of the samples was also determined 189 using the above-described HPLC system. Ten milligrams of 190 each sample, previously pulverized, were dissolved in 100 191 mL of ethanol. A $100 \,\mu$ L aliquot of this solution was injected 192 onto the column to determine the theophylline concentration. 193 Using these data, we calculated the percentage of theophylline in the sample versus the theoretical concentration. 195

2.7. Solubility Determination. An excess amount of TH 196 was placed in contact with ISS-acetate buffer, pH 5.0, and 197 ISS-Tris/HCl buffer, pH 7.4, to determine its solubility in 198 these release media. The samples were shaken for 24 h at 199 37 °C. After convenient centrifugation, the supernatant was 200 filtered through a 0.45 μ m syringe filter. Aliquots of the 201 filtrate liquids were analyzed for TH by HPLC-UV as 202 described previously (section 2.6). 203

2.8. Wet and Dry Weight Studies. Pure soy matrix (SI) 204 specimens were immersed as described in section 2.6. They 205 were weighed at time t = 0 (initial weight). The bars were 206 withdrawn from the release media (ISS-Tris/HCl for pH 207 7.4 or ISS-CH₃COOH/CH₃COONa for pH 5.0) at prede-208 termined time intervals. They were sequentially weighed in 209 the wet state (wet weight) and dried to constant weight in a 210 vacuum oven at 40 °C during 24 h (ISO 62:1980 (E)) (dry 211 weight). All experiments were also performed in triplicate. 212



Figure 3. Release kinetics of theophylline from a soy matrix SID_{tp} (\diamond) in an ISS–acetate buffer, pH 5.0, and (\bullet) in an ISS–Tris/HCl buffer, pH 7.4 (ISS = isotonic saline solution, 9 g/L NaCl–1% sodium azide (NaN₃)).



Biomacromolecules



Figure 4. Dry and wet weight of a soy matrix SID_{tp} versus time (\triangle,\Box) in an ISS-acetate buffer, pH 5.0, and ($\blacktriangle,\blacksquare$) in an ISS-Tris/HCl buffer, pH 7.4 (ISS = isotonic saline solution, 9 g/L NaCl-1% sodium azide (NaN₃)).



Figure 5. Modeling theophylline release from a soy matrix SID_{tp} (\triangle) in an ISS–acetate buffer, pH 5.0, and (\blacklozenge) in a ISS–Tris/HCl buffer, pH 7.4 (ISS = isotonic saline solution, 9 g/L NaCl–1% sodium azide (NaN₃)). Fit of the *pure* diffusion model (considering drug transport only with constant diffusivity and stationary boundary conditions) to experiments is shown.

213 2.9. Release Kinetics. Assuming perfect sink conditions,
homogeneous initial drug distribution, and constant diffusivity and considering only drug diffusion, the diffusion
process for specimens with rectangular parallelepipedgeometry and composed of materials following the Fick's
second law can be described by eq 2:²⁸

$$\frac{M_{t}}{M_{\infty}} = 1 - \frac{512}{\pi^{6}} \sum_{m=0}^{\infty} \frac{1}{(2m+1)^{2}} \times \exp\left(-\frac{(2m+1)^{2}\pi^{2}}{a_{p}^{2}} Dt\right) \sum_{n=0}^{\infty} \frac{1}{(2n+1)^{2}} \times \exp\left(-\frac{(2n+1)^{2}\pi^{2}}{b_{p}^{2}} Dt\right) \sum_{p=0}^{\infty} \frac{1}{(2p+1)^{2}} \exp\left(-\frac{(2p+1)^{2}\pi^{2}}{c_{p}^{2}} Dt\right)$$
(2)

219 where *D* is diffusion coefficient of the drug, M_t and M_{∞} are 220 the amount of drug released at time *t* and $t = \infty$, respectively,

Table 2. Apparent Drug Diffusion Coefficients, *D*, Predicted Using $M_t/M_{\infty} = 1 - \exp(-(8/R_c^2)Dt)^a$

	app	apparent diffusion coefficient, $D \times 10^{-5}$ (cm ² /min)						
pН	SID _{tp}	0.6X-SID _{tp}	24TT-SID _{tp} ^b	SlpD _{tp}	SI-HAD _{tp}			
5.0	4.29 ± 0.02	2.86 ± 0.02	2.54 ± 0.01	3.17 ± 0.02	2.54 ± 0.04			
7.4	30.5 ± 0.4	12.4 ± 0.1	12.9 ± 0.1	21.3 ± 0.1	37.5 ± 0.3			

^a Abbreviations: SID_{tp}, thermoplastic soy matrix with encapsulated theophylline; 0.6X-SID_{tp}, glyoxal cross-linked thermoplastic soy matrix with encapsulated theophylline; 24TT-SID_{tp}, thermal-treated thermoplastic soy matrix with encapsulated theophylline; SID_{tp}, thermoplastic soy matrix with encapsulated theophylline; SID_{tp}, thermoplastic soy matrix with encapsulated theophylline extruded at pH 4; SI-HAD_{tp}, hydroxylapatite-reinforced thermoplastic soy matrix with encapsulated theophylline. ^b Subjected to thermal treatment, 24 hrs/80 °C.

and a_p , b_p , and c_p denote the rectangular parallelepiped 221 lengths along the *x*-, *y*- and *z*-axes, respectively. In the present 222 studied case, $a_p = 10$ mm, $b_p = 14a_p$, and $c_p = a_p/2.5$. 223

To simplify eq 2, the rectangular cross sections of the 224 rectangular parallelepiped specimens were converted into 225 circular cross sections (cylindrical specimen). This correction 226 was based on the hydraulic radius concept ($R_c = 227 \sqrt{(a_p c_p/\pi)}$). 228



Figure 6. Effect of the difference of cross-linking degree of the amine groups between the matrixes SID_{tp} and $0.6X-SID_{tp}$ on (a) theophylline drug release kinetics and (b) theophylline release rates when immersed in an ISS-acetate buffer, pH 5.0, and in an ISS-Tris/HCI buffer, pH 7.4 (ISS = isotonic saline solution, 9 g/L NaCl-1% sodium azide (NaN₃)). SDs were within ± 0.05 in all cases.

Taking into account radial, as well as axial, mass transfer, the cumulative amount of drug released versus time for the cylinder of radius R_c and height H_c ($H_c = b_p$) is given by eq 3:²⁸

$$\frac{M_{\rm t}}{M_{\infty}} = 1 - \frac{32}{\pi^2 v^{-1} q_v^2} \times \exp\left(-\frac{q_v^2}{R_{\rm c}^2} Dt\right) \sum_{n=0}^{\infty} \frac{1}{(2n+1)^2} \exp\left(-\frac{(2n+1)^2 \pi^2}{H_{\rm c}^2} Dt\right)$$
(3)

where q_v are the roots of the Bessel function of the first kind of order zero (eq 4):²⁸

$$J_0(q_v) = 0 \tag{4}$$

Because the ratio height/radius is higher than 10^{28} a simplification concerning the dimensionality of the problem is possible: the axial mass transfer in the cylinder can be 237 negligible compared with the radial mass transfer. Consequently, eq 3 can be approximated by eq 5:²⁸ 239

$$\frac{M_{\rm t}}{M_{\infty}} = 1 - 4\sum_{n=1}^{\infty} \frac{1}{q_v^2} \exp\left(-\frac{q_v^2}{R_{\rm c}^2}Dt\right)$$
(5)

Equation 5 can be solved for the Bessel function of first type, 240 zero order, and four roots (v = 4) 241

$$J_0(q) = 1 - \frac{q^2}{4} + \frac{q^4}{64} = 0 \tag{6}$$

resulting in the following expression:

$$\frac{M_{\rm t}}{M_{\infty}} = 1 - \exp\left(-\frac{8}{R_{\rm c}^2}Dt\right) \tag{7}$$



Biomacromolecules



Time (log (min))

Figure 7. Effect of difference in cross-linking degree due to thermal treatment between the matrixes SID_{tp} and $24TT-SID_{tp}$ on (a) theophylline drug release kinetics and (b) theophylline release rates when immersed in an ISS-acetate buffer, pH 5.0, and in an ISS-Tris/HCI buffer, pH 7.4 (ISS = isotonic saline solution, 9 g/L NaCl-1% sodium azide (NaN₃)). SDs were within ± 0.10 in all cases.

The release data obtained for all of the soy matrixes were compared with predictions of the above-described equation.

3. Results and Discussion

245

3.1. Drug Release from Soy Matrix with Encapsulated 246 **TH** (SID_{tn}). Figure 3 illustrates the pH-dependent release 247 of TH from the soy matrix SID_{tp} in the two solutions used: 248 249 ISS-acetate buffer, pH 5.0, and ISS-Tris/HCl buffer, pH 7.4. In ISS-acetate buffer, pH 5.0, the drug was completely 250 released within a 56 h time period. However, with ISS-251 Tris/HCl buffer, pH 7.4, the maximum release was 52%, 252 reached immediately after 4 h of immersion. This difference 253 254 in maximum release was attributed to the amphoteric nature of the polymer. Soy is almost insoluble at pH 5.0 because 255 its net charge is approximately zero (isoelectric point of soy 256 is 4.3–4.8). Consequently, polymer-drug interactions tend 257

to be minimal, and the maximum release can reach 100%. 258 In contrast, at pH 7.4 the net charge is negative. So, it is 259 expected that the TH is linked (or interacts) with the 260 negatively charged polymer matrix, inhibiting the respective 261 release process (48% nonreleased material). This difference 262 in the release rates can also be related with the coefficient 263 of diffusion of the TH for the two different pHs because the 264 drug solubility in both media is similar: 22.0 ± 0.5 and 18.9265 \pm 0.8 mg/mL at pH 7.4 and 5.0, respectively. 266

The behavior of the pure polymer used as a matrix (SI) 267 within both release media was also examined, including its 268 swelling and weight loss profiles. The changes in dry and 269 wet weight of SI bars in ISS-acetate buffer, pH 5.0, and in 270 ISS-Tris/HCl buffer, pH 7.4, are plotted against time in 271 Figure 4. As expected, at lower pH the SI dry weight 272 remained nearly constant because the polymer solubility 273 under these conditions is low. Interestingly, the water uptake 274



Figure 8. Effect of the differences in net charge between the matrixes SID_{tp} and $SIpD_{tp}$ on (a) theophylline drug release kinetics and (b) theophylline release rates when immersed in an ISS-acetate buffer, pH 5.0, and in an ISS-Tris/HCl buffer, pH 7.4 (ISS = isotonic saline solution, 9 g/L NaCl-1% sodium azide (NaN₃)). SDs were within ± 0.15 in all cases.

rate was high, reaching equilibrium at both pHs after 4 h of
immersion. In addition, the volume of the matrixes remained
almost constant during this time period. Therefore, because
perfect sink conditions were assured during drug release,
stationary boundary conditions can be considered to describe
the drug diffusion process.

As it can be observed from Figures 2 and 3, at pH 5 water uptake is fast compared with drug diffusion. Pure SI matrixes are saturated within 4 h, whereas drug release does not exceed 38% after this time. Thus, the drug diffusivity can be assumed to be constant and equal to the diffusivity in the fully wetted system.

287 Conversely, at pH 7.4 water uptake is synchronized with
288 the drug diffusion. Pure SI matrixes are fully wetted within
289 4 h, the time necessary for the complete drug diffusion.
290 Accordingly, the diffusivity of the drug is dependent on the
291 buffer diffusion through the matrix.

Under these conditions and considering the initial homo-292 geneous distribution of the drug through the specimen, the 293 Fick's second law (eq 2) can be approximated by the 294 analytical solutions described by eq 7. Figure 5 shows the 295 fits of eq 7 to the experimentally determined drug release 296 data. Good agreement was achieved when the release was 297 performed at pH 5, indicating the validity of this model for 298 this system ($r^2 = 0.9893$). The apparent diffusion coefficient 299 of theophylline in the ISS-acetate buffer, pH 5.0, wetted 300 soy matrix was determined assuming a value of 4.29×10^{-5} 301 cm²/min (Table 2). Not as good agreement was found for 302 the studies performed at pH 7.4 ($r^2 = 0.9755$). This is mainly 303 due to the time-variant matrix structure, nonconstant drug 304 diffusivities, and dependence of drug diffusivity on the buffer 305 diffusivity. Nevertheless, the apparent diffusion coefficient 306 of theophylline in the ISS-Tris/HCl buffer, pH 7.4, wetted 307 soy matrix was estimated (3.05 \times 10⁻⁴ cm²/min, Table 2). 308

н

Vaz et al.





Figure 9. Effect of the differences in percentage of matrix reinforcement between the matrixes SID_{tp} and SI-HAD_{tp} on (a) theophylline drug release kinetics and (b) theophylline release rates when immersed in an ISS-acetate buffer, pH 5.0, and in an ISS-Tris/HCI buffer, pH 7.4 (ISS = isotonic saline solution, 9 g/L NaCl-1% sodium azide (NaN₃)). SDs were within \pm 0.15 in all cases.

In conclusion, drug release from SID_{tp} bars in ISS-acetate buffer (pH 5.0) is purely drug-diffusion-controlled, whereas in ISS-Tris/HCl buffer (pH 7.4), the water uptake kinetics have to be taken in consideration.

3.2. Influence of Formulation. 3.2.1. Cross-Linking 313 Degree. Figures 6A and 7A show the effect of the cross-314 linking degree on the resulting drug release rate. Increasing 315 the amine group cross-linking degree from 2.5% (SID_{tp}) to 316 27.8% (24TT-SID_{tp}) and to 44.1% (0.6X-SID_{tp}) (Table 1) 317 causes a reduction of the TH release rate in ISS-Tris/HCl 318 buffer, pH 7.4. This can be attributed to differences in the 319 matrix structure. At high pH, a less-cross-linked polymer 320 matrix allows drug diffusion more efficiently than a more 321 322 tightly cross-linked structure, leading to increased diffusion rates (Table 2). At pH 7.4, a 42% cross-linking degree (0.6X-323 SID_{tp} (Table 1) resulted in about 60% reduction of the 324

apparent diffusion coefficient (Table 2), when compared with 325 the non-cross-linked material. 326

The thermal-treated matrixes proved to promote a more 327 effective reduction in the drug release rate. In fact, a 25% 328 cross-linking degree (24TT-SID_{tp}, Table 1) directs a reduction 329 of the apparent diffusion coefficient (Table 2) of about 60% 330 (at pH 7.4) when compared with SID_{tp}. This behavior can 331 be explained by the two different types of cross-linking that 332 may coexist in the soy material: (i) reaction with the amine 333 groups of lysine (or hydroxylysine) residues (27.8% cross-334 linking, Table 1) and (ii) formation of disulfide bonds by 335 oxidation of the thiol groups of cysteine residues (maximum 336 30% cross-linking through cysteine residues, eq 8). 337

$$2Prot-SH \stackrel{[0]}{\longleftarrow} Prot-S-S-Prot$$
(8)

However, at low pH values (ISS-acetate buffer, pH 5), the 338

matrixes are fairly soluble; thus, the drug diffusion rates are 339 slower and almost independent of the degree of cross-linking 340 of the matrix. Nevertheless, at pH 7.4, increasing the cross-341 linking degree of the polymer matrix is a possible route to 342adjust the system to the individual needs of the drug. 343 Depending on the pharmacokinetics of the drug to be 344 administered, this effect might be more or less important. 345

346 3.2.2. Net Charge. Because the isoelectric point of soy 347 ranges between pH 4.2 and 4.8, the extrusion performed at pH 4.0 (formulation 4) was intended to produce matrixes 348 with a net charge lower than that obtained using water as a 349 plasticizer (pH \approx 6.8). In general, the release rates of SIpD_{tp} 350 351 and SID_{tp} were rather similar both in ISS-acetate buffer, pH 5.0, and ISS-Tris/HCl buffer, pH 7.4 (Figure 8A). 352 However, at high pH, the total release for SIpD_{tp} is smaller 353 than that for SID_{tp} (Figure 8B). This effect must be related 354 355 to the leaching of acetic acid from the sample and consequent reduction of the dissolution medium pH during the release 356 357 tests.

3.2.3. Matrix Reinforcement. The addition of 30% of 358 359 hydroxylapatite to the matrix led to a significant acceleration of drug release in ISS-Tris/HCl buffer, pH 7.4 (Figure 9A,B 360 and Table 2). This can be attributed to the lack of polymer-361 reinforcement interactions in these composites.²⁶ Therefore, 362 the drug will easily diffuse through the open polymer-363 364 ceramic interfacial regions and be released out of the matrix. At low pH, no significant differences in the release rates 365 were found. 366

Thus, the choice of the appropriate type of matrix is an 367 important point in determining the performance of the drug-368 matrix system. Depending on the type of drug and desired 369 release profile, the matrix bulk material has to be carefully 370 selected. 371

372

4. Conclusions

A new type of polymeric matrix that can be used in 373 controlled release applications has been developed using 374 melt-based processing techniques, such as extrusion and 375 injection moulding. This two-step route allows for the 376 encapsulation of a drug and the production of a moulded 377 part without any subsequent finishing operations. The major 378 advantages of these systems are as follows: (i) ease of 379 production (especially at industrial scale); (ii) suitability for 380 381 a large variety of polymeric matrixes; (iii) applicability to different types of drugs; (iv) biodegradability. The in situ 382 modification of the matrix during processing by cross-linking, 383 changing of net charge, or filler reinforcement appeared to 384 be possible ways to adjust the material release patterns. 385 386 Further, these new protein matrixes showed a pH-sensitive behavior, which increases their possibility for application as 387 controlled delivery devices to carry a range of bioactive 388 389 agents.

390 Acknowledgment. Cláudia M. Vaz acknowledges the Portuguese Foundation for Science and Technology (FCT), 391 Ministry of Science and Technology, Portugal, for the 392 attribution of a PRAXIS XXI PhD scholarship. 393

Soy Matrix Drug Delivery Systems I

References and Notes

- (1) Choi, H.-G.; Jung, J.-H.; Yong, C. S.; Rhee, C.-D.; Lee, M.-K.; Han, 395 J.-H.; Park, K.-M.; Kim, C.-K. Formulation and in vivo evaluation 396 of omeprazole buccal adhesive tablet. J. Controlled Release 2000, 397 68, 405-412. 398
- (2) Macleod, G. S.; Fell, J. T.; Collett, J. H. An in vitro investigation 399 into the potential for bimodal drug release from pectin/chitosan/ 400 HPMC-coated tablets. Int. J. Pharm. 1999, 188, 11-18. 401
- (3) Castellano, I.; Goni, I.; Ferrero, M. C.; Munoz, A.; Jimenez-402 Castellanos, R.; Gurruchaga, M. Synthetic PMMA-grafted polysac-403 charides as hydrophilic matrix for controlled-release forms. Drug Dev. 404 Ind. Pharm. 1999, 25 (12), 1249-1257.
- (4) Fassihi, A.; Parker, M. Controlled drug release from a compressed 406 heterogeneous polymeric matrix: kinetics of release. Drug Dev. Ind. 407 Pharm. 1986, 12, 1649-1661.
- (5) Fassihi, A.; Parker, M.; Pourkavous, N. Solid dispersion controlled release: effect of particle size, compression force and temperature. 410 Drug Dev. Ind. Pharm. 1985, 11, 523-535.
- (6) Said, S.; Al-Shora, H. Sustained release from inert matrixes. I. Effect of microcrystalline cellulose on aminophylline and theophylline release. Int. J. Pharm. 1980, 6, 11-18.
- (7) Agabeyoglu, I. Studies on sustained release. I: The biopharmaceutical design and production of an inert matrix type sulphamethizole tablet employing polymethylmethacrylate. Drug Dev. Ind. Pharm. 1985, 11, 2021-2041.
- (8) Flanders, P.; Dyer, G.A.; Jordan, D. The control of drug release from conventional melt granulation matrixes. Drug Dev. Ind. Pharm. 1987, 13. 1001-1022
- (9) McTaggart, C.; Canley, J.; Sickmueller, A.; Walker, J. The evaluation of formulation and processing conditions of a melt granulation process. Int. J. Pharm. 1984, 19, 139-148.
- (10) Broman, E.; Khoo, C.; Taylor, L.S. A comparison of alternative polymer excipients and processing methods for making solid dispersions of a poorly water soluble drug. Int. J. Pharm. 2001, 222 (1), 139 - 151.
- (11) Zhou, F.; Vervaet, C.; Schelkens, M.; Lefebvre, R.; Remon, J. P. Bioavailability of ibuprofen from matrix pellets based on the combination of waxes and starch derivatives. Int. J. Pharm. 1998, 168, 79-84.
- (12) Onay-Basaran, S.; Olsen, J. Formulation of long-acting quinacrin hydrochloride pellets in different matrixes. Drug Dev. Ind. Pharm. 1985, 11 (12), 2143-2154.
- (13) Henrist, D.; Remon, J. P. Influence of formulation composition on the in vitro characteristics of hot stage extrudates. Int. J. Pharm. 1999, 188, 11-119.
- (14) Sprockel, O. L.; Sen, M.; Shivanand, P.; Prapaitrakul, W. A meltextrusion process for manufacturing matrix drug delivery systems. Int. J. Pharm. 1997, 155, 191-199.
- (15) Yilmaz, G.; Jongboom, R.; Feil, H.; Hennink, W. E. Modulated release of a volatile compound from starch matrixes. Biomacromolecules 2002, 3 (2), 305-311.
- (16) Seal, R. Industrial soya protein technology. In Applied protein chemistry; Grant, R. A., ed.; Applied Science Publishers Ltd: London, 1980; pp 87-112.
- (17) Ferreira, S. H. P.; Arêas, J. A. G. Protein-protein interactions in the extrusion of soya at various temperatures and moisture contents. J. Food Sci. 1993, 58, 378-381.
- (18) Silva, G. A.; Vaz, C. M.; Coutinho, O. P.; Cunha, A. M.; Reis, R. L. In vitro degradation and cytocompatibility of novel soy and sodiumcaseinate-based membrane biomaterials. J. Mater. Sci. Mater. Med., submitted for publication, 2003.
- (19) Otaigbe, J. U.; Adams, D. O. Bioabsorbable soy protein plastic composites: Effect of polyphosphate fillers on water absorption and mechanical properties. J. Environ. Polym. Degrad. 1997, 5 (4), 199-208
- (20) Paetau, I.; Chen, C.-Z.; Jane, J. Biodegradable plastic made from soybean products. II. Effects of cross-linking and cellulose incorporation on the mechanical properties and water absorption. J. Environ. Polym. Degrad. 1994, 2 (3), 211-217.
- (21) Paetau, I.; Chen, C.-Z.; Jane, J. Biodegradable plastics made from 463 soybean products. 1. Effects of preparation and processing on mechanical properties and water absorption. Ind. Eng. Chem. Res. 1994, 33, 1821-1827.
- (22) Miyazaki, K. Availability of soy isoflavones and fermented soy 467 products in foods and cosmetics. Foods Food Ingredients J. Jpn. 468 2002, 204, 1. 469

460

461

462

464

465

466

394

405

408

409

J Vaz et al. PAGE EST: 9.2

Biomacromolecules

- (23) Vaz, C. M.; Fossen, M.; van Tuil, R. F.; de Graaf, L. A.; Reis, R. 470 471 L.; Cunha, A. M. Mechanical, dynamic-mechanical and thermal properties of soy protein-based thermoplastics with potential bio-472 473 medical applications. J. Macromol. Sci. Phys. 2002, B41, 33-46.
- 474 (24) Vaz, C. M.; de Graaf, L. A.; Reis, R. L.; Cunha, A. M. Soy proteinbased systems for different tissue regeneration applications. In 475476 Polymer Based Systems on Tissue Engineering, Replacement and Regeneration, vol. 86; Reis, R. L., Cohn, D., Eds.; Kluwer Academic 477 478 Publishers: Dordrecht, The Netherlands, 2003; pp 86, 93-110.
- 479 (25) Weadock, K.; Olson, R. M.; Silver, F. H. Evaluation of collagen cross-linking techniques. Biomater., Med. Devices, Artif. Organs 480 481 **1983**, 11, 293-318.
- (26) Vaz, C. M.; Fossen, M.; van Tuil, R. F.; de Graaf, L. A.; Reis, R. 482 L.; Cunha, A. M. Casein and soybean protein-based thermoplastics and composites as alternative biodegradable polymers for biomedical applications. J. Biomed. Mater. Res., in press.
- (27) Bertrand-Harb, C.; Nicolas, M.-G.; Dalgalarrondo, M.; Chobert, J.-M. Determination of alkylation degree by three colorimetric methods and amino acid analysis. A comparative study. Sci. Aliments 1993, 488 13, 577-584. 489
- (28) Crank, J. Mathematics of Diffusion; Claredon Press: Oxford, U.K., 490 1975. 491
 - BM034050I

492