Design of liposomal formulations for cell targeting

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ARTICLE INFO

Article history:

Received 22 July 2015

Received in revised form

16 September 2015

Accepted 18 September 2015

Available online 25 September 2015

Keywords:

Drug delivery

Ligand

Liposome characterization

Liposome preparation

Targeting

Stealth

ABSTRACT

Liposomes have gained extensive attention as carriers for a wide range of drugs due to being both non-toxic and biodegradable as they are composed of substances naturally occurring in biological membranes. Active targeting for cells has explored specific modification of the liposome surface by functionalizing it with specific targeting ligands in order to increase accumulation and intracellular uptake into target cells. None of the Food and Drug Administration-licensed liposomes or lipid nanoparticles are coated with ligands or target moieties to delivery for homing drugs to target tissues, cells or subcellular organelles. Targeted therapies (with or without controlled drug release) are an emerging and relevant research area. Despite of the numerous liposomes reviews published in the last decades, this area is in constant development. Updates urgently needed to integrate new advances in targeted liposomes research. This review highlights the evolution of liposomes from passive to active targeting and challenges in the development of targeted liposomes for specific therapies.

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1. Introduction

Liposomes were first discovered in the late 1960s by the British hematologist Dr. Banghan [1] and Horne when testing a new electron microscope with a negative stain to dry phospholipids [2]. The resemblance between the captured micrographies and the plasmalemma served as the first evidence for the bilayer lipid structure of cell membranes [3]. In the following years, a variety of enclosed phospholipid bilayer structures consisting of single bilayers, initially termed 'bangosomes' and then 'liposomes' [4], were described. Early pioneers such as Gregory Gregoriadis, established the concept that liposomes could encapsulate drugs and thus be used as drug delivery systems [5,6].

Liposomes were defined as artificial microscopic vesicles consisting of an aqueous core surrounded by one or more concentric phospholipid layers (lamellas) [7]. Liposomes showed a wide range of potential application as they are able to incorporate hydrophilic (in the aqueous compartment), hydrophobic (within lipidic membrane) and amphiphilic substances (lipid aqueous interface) [8]. For all these reasons, liposomes are the most popular nanocarrier systems used since they were discovered.

Being both nontoxic and biodegradable, liposomes have gained extensive attention as carriers for a several therapeutic agents as they are composed of naturally occurring components. Biologically active materials encapsulated into the liposomes are protected from immediate dilution or degradation. The unique ability of liposomes to entrap drugs both in the aqueous and in the lipid phase make them attractive delivery systems for hydrophilic and hydrophobic drugs (Fig. 1a) [9].

In liposome formation, dissolved lipid molecules, consisting of a hydrophilic head group and a hydrophobic tail, self-assemble into bimolecular lipid leaflets upon reduction of their solubility in the surrounding medium. In contrast to common amphiphiles, that have critical micelle concentrations (CMC) of 10^{-2} – 10^{-4} M, the CMC of bilayer forming lipids is four to five orders of magnitude smaller, meaning that the water solubility of these materials is extremely low [10]. When the lipids are exposed to an aqueous environment, interactions between them (hydrophilic interactions between polar head groups and van der Waals interactions between hydrocarbon chains and hydrogen bonding with water molecules) lead to spontaneous formation of closed bilayers, involved within a certain volume of solvent [11]. These structures may consist of one or several concentric membranes, varying in size from 20 nm to few μm in diameter, with a membrane thickness of approximately 4–5 nm [12,13].

1.1. Phospholipids

The lipids used to produce the liposomes may be changed or modified to customize the properties of the liposomal surface and membrane layer [14]. The most commonly used lipids are phospholipids, the major structural components of biological membranes. They are amphipathic molecules in which a glycerol bridge links a pair of hydrophobic chains and a hydrophilic polar head group, containing a phosphate group and a simple organic molecule [15]. One exception to this rule is sphingomyelin, which is derived from sphingosine instead of glycerol. The hydrophobic part consists of two hydrocarbon chains of saturated or unsaturated fatty acids, which esterify the same number of hydroxyl groups of glycerol, and each chain can present 10–28 carbon atoms. The nature of the fatty acid residues in each lipid molecule, namely the number of double bonds in the chain, is responsible for fundamental bilayer properties such as elasticity and phase behavior [10]. The addition of organic molecules to the phosphate head group creates a variety of phospholipid species such as phosphatidylethanolamine

(PE), phosphatidylserine (PS), phosphatidylglycerol (PG) and phosphatidylcholine (PC) (Table 1) [16].

Phospholipids are abundant in nature and certainly those containing choline are the most used in the preparation of liposomes [15]. These are called phosphatidylcholine or lecithin (of the "lekithos" a Greek word meaning egg yolk) and are dipolar molecules at physiological pH, with the quaternary ammonium group presenting a positive charge and a negative charge in the phosphate group [17].

Each class of phospholipid comprises a wide variety of molecules, concerning the type of fatty acids linked to the glycerol skeleton. Lecithin, as well as the other phosphatidic acids, can also be obtained by synthesis, presenting a well-defined composition, particularly with respect to acyl chains, which can be obtained from saturated or unsaturated hydrocarbon chains, with more or less number of carbon atoms [13]. These type of phospholipids can be easily characterized for various thermodynamic parameters, in comparison to the heterogeneous populations in natural phospholipids.

1.2. Cholesterol

Liposomes may suffer chemical and physical alterations, which shorten their shelf-life, limiting drug stability and storage conditions. This physical instability leads to problems of high permeability which induce undesired or too fast leakage rates [17]. To overcome these issues, the manipulation of lipid membrane components constitute the first attempt to modify bilayer fluidity. The addition of sterols is often required to modulate membrane rigidity and stability [18]. Sterols are vital constituents of natural membranes, playing a critical role in the regulation of membrane fluidity. Cholesterol is the most common sterol in mammalian membranes, being present in several liposomal based therapies approved by Food and Drug Administration (FDA) including DaunoXome®, Myocet®, Depocyt®, Marqibo® and Doxil® [19]. The cholesterol molecules are phospholipids with a tricyclic ring inserted between the first few carbons of the fatty acyl chains, into the hydrocarbon core of the bilayer, and the hydroxyl group facing the water phase [15].

Cholesterol reduces the permeability of water soluble molecules through the membrane, improves the fluidity as well as the stability of bilayer membrane in the presence of biological fluids such as blood/plasma [8]. Indeed, cholesterol prevents the interaction and destabilization of liposomes by blood proteins such as albumin, *m*-transferrin and macroglobulin [15]. The reduction of this interaction with blood proteins results in decreased binding of plasma opsonins responsible for rapid clearance of liposomes from the systemic circulation [20]. Variations in cholesterol composition can have profound effects on liposome formation, membrane stability and permeability. Incorporation of cholesterol into liposomes at 30 mol% eliminates the phase transition of diacylphospholipids, reduces membrane permeability and forces the bilayer into a stable gel-like state. Below 30 mol% cholesterol does not pack uniformly in diacylphospholipids and fails to completely eliminate their phase transition, while above 50 mol%, cholesterol phase separates in the membrane and can form crystals [19].

1.3. Polyethylene glycol

Unmodified nanoparticles do not survive long in circulation, being recognized by macrophages of the reticuloendothelial system (RES) within a few hours after administration [21]. The main sites of nanoparticle clearance are liver and spleen, where macrophages are in direct contact with the bloodstream [22]. Although several approaches for design and engineering of long circulating nanoparticles have been described, surface stabilization of nanoparticles

Fig. 1. (a) Schematic illustration of the fundamental self-assembly process from individual phospholipid molecules to bilayer membrane leaflets, followed by transformation into liposomes. (b) Schematic representation of the different types of liposomes classified according to the size and number of lipid bilayers.

Table 1
Examples of phospholipids.

Phosphatidic acid	Abbreviation	Derivate synthetic phospholipid	Abbreviation
Phosphatidylcholine —CH ₂ —CH ₂ —N(CH ₃) ₃ ⁺	PC	1,2-Dimyristoyl-sn-glycero-3-phosphocholine	DMPC
		1,2-Dioleoyl-sn-glycero-3-phosphocholine	DOPC
		1,2-Dipalmitoyl-sn-glycero-3-phosphocholine	DPPC
		1,2-Distearoyl-sn-glycero-3-phosphocholine	DSPC
Phosphotidyl- ethanolamine —CH ₂ —CH ₂ —NH ₃ ⁺	PE	1,2-Dimyristoyl-sn-glycero-3-phosphoethanolamine	DMPE
		1,2-Dioleoyl-sn-glycero-3-phosphoethanolamine	DOPE
		1,2-Dipalmitoyl-sn-glycero-3-phosphoethanolamine	DPPE
		1,2-Distearoyl-sn-glycero-3-phosphoethanolamine	DSPE
Phosphotidylserine —CH ₂ CH—NH ₂ COOH	PS	1,2-Dimyristoyl-sn-glycero-3-phosphoserine	DMPS
		1,2-Dioleoyl-sn-glycero-3-phosphoserine	DOPS
		1,2-Dipalmitoyl-sn-glycero-3-phosphoserine	DPPS
		1,2-Distearoyl-sn-glycero-3-phosphoserine	DSPS
Phosphatidyglycerol —CH ₂ —CHOH—CH ₂ OH	PG	1,2-Dimyristoyl-sn-glycero-3-phosphoglycerol	DMPG
		1,2-Dioleoyl-sn-glycero-3-phosphoglycerol	DOPG
		1,2-Dipalmitoyl-sn-glycero-3-phosphoglycerol	DPPG
		1,2-Distearoyl-sn-glycero-3-phosphoglycerol	DSPG

with a range of nonionic surfactants or polymeric macromolecules has proved to be one of the most successful [23,24]. The unique physical properties make polyethylene glycol (PEG) commonly used to improve the stability and biological performance of colloidal drug carriers. The grafting of PEG to the surface of liposomes clearly extends its circulation lifetime [21]. This ability of PEG has been attributed mostly to its physical properties such as unlimited water solubility, large excluded volume and high degree of conformational entropy [25]. Some authors also support the reduction or prevention of protein adsorption [26] as well as a key role of PEG steric barrier that prevents aggregation of colloidal carriers and thus enhances their stability *in vivo* [27]. More recently, some studies have suggested a “dysopsonization” phenomenon where PEG actually promotes binding of certain proteins that then act to mask the vehicle [28]. We recently demonstrated a reduction in internalization of PEGylated nanoparticles by activated RES macrophages [29]. We showed that by increasing PEG concentration from 5 to 10%, the stealth degree of nanoparticles clearly improved, as the internalization of nanoparticles by macrophages is greatly reduced, which is consistent with the current scaling models for polymers at interfaces. These models predict a mushroom-brush transition in PEG conformation at 5% of PEG-lipid, when PEG coils start to repel each other and extend out from the surface on to which they are grafted [30]. If the polymer density is low it is said to be in the mushroom regime, when the graft density is high the polymers are said to be in the brush regime [31].

Conversely, recent reports demonstrate that repeated injections of PEGylated liposomes in the same animals at certain intervals induces significant immune responses, resulting in a loss of long-circulating properties [32,33]. This effect is called the “accelerated blood clearance (ABC)” phenomenon. Ishida and coworkers suggests that anti-PEG IgM, produced by the spleen in response to the first dose, selectively binds to the PEG chains on a second dose administered several days later, and subsequently activates the complement system, one of the major opsonins, and enhances hepatic uptake of the subsequent doses [34,35]. The occurrence and the magnitude of the ABC induction by liposomes are influenced by the dose and physicochemical properties of the PEGylated liposomes, the time interval between repeated injections, and by the species of the encapsulated drugs [36]. However, many approaches have been applied to minimize the immunogenicity of the PEG moiety upon repeated administration. It has been reported that PEG-lipids with a shorter alkyl chain could dissociate more rapidly from the lipid bilayer than conventional PEG-lipids, such as mPEG-DSPE and mPEG-CH, attenuating the occurrence of the ABC phenomenon [37,38].

2. Classification of liposomes based on structure

The size of liposomes vary from very small (0.025 μm) to large (2.5 μm) vesicles, showing one or several bilayer membranes. Vesicle size is a critical factor in determining the circulation half-life of liposomes and, together with the number of bilayers, affects the amount of drug encapsulation in the liposomes. According to their size and number of bilayers, liposomes can also be classified into one of two categories: (1) multilamellar vesicles (MLV) and (2) unilamellar vesicles. Unilamellar vesicles can also be classified into three categories: (1) giant unilamellar vesicles (GUV), (2) large unilamellar vesicles (LUV) and (3) small unilamellar vesicles (SUV) (Fig. 1b) [8]. While in unilamellar liposomes the vesicle has a single phospholipid bilayer sphere enclosing the aqueous solution, in multilamellar liposomes it has an onion like structure. Typically, several unilamellar vesicles will form on the inside of the other, building a multilamellar structure of concentric phospholipid spheres separated by layers of water [39].

3. Preparation of liposomes

The widespread use of liposomes for several purposes has created the need to develop preparation methods which should be efficient, reproducible and with the greatest simplicity possible. There are different methods available for preparation of liposomes, with numerous variants. Because of its simplicity, most laboratory use the lipid thin-film hydration method, first described in 1965 [40]. This method involves drying a solution of lipids, forming a thin film at the bottom of round-bottom flask and then hydrating the film with an aqueous buffer and vortexing the dispersion for some time [41]. The temperature of the hydrating medium should be above the gel liquid crystal transition temperature of the lipid, which should be maintained during the hydration period [42]. The compounds to be encapsulated are added either to an aqueous buffer or to an organic solvent containing lipids, depending on their solubility [43]. To reduce particle size and form unilamellar vesicle, sonication, homogenization or extrusion is applied.

Disruption of MLV suspensions by sonic energy (sonication) normally produces SUV, with diameters in the range of 15–50 nm. The commonest equipment for preparation of sonicated particles are bath and probe tip sonicators [44]. Homogenization techniques depend on high-velocity collisions to reduce particle size. Mayhew *et al.* [45] have developed a microemulsifier that splits a sample of large, heterogeneous lipid particles into two streams and recombine them in a continuous, multicycle, high-velocity, high shear-force collision, producing monodisperse liposomes with a diameter of less than 100 nm [3]. In membrane extrusion, size is reduced by passing the liposomes through a membrane filter of defined pore size. The nucleation track type filter is composed of thin continuous sheet of polycarbonate, consisting of straight pore holes of exact diameter offering less resistance to liposomes passage [43].

As an alternative to the thin-film hydration method, liposomes can be produced by mixing the organic phase containing the dissolved lipid with the aqueous phase. Reverse-phase evaporation methods are based on this strategy, creating inverted micelles. The slow elimination of the organic phase leads to the disruption of these inverted micelles and consequent formation of liposomes [39]. An alternative approach is the rapid injection of lipids dissolved in organic solvent into an excess of aqueous solution. First described in 1973 by Batzri and Korn [46], the ethanol injection method ensures a narrow distribution of small liposomes (under 100 nm), by simply injecting an ethanolic lipid solution in water, without the need of any size reduction method [8].

Detergent dialysis or depletion procedure can be used as an alternative for the manipulation of agents sensitive to denaturation, such as some proteins and oligonucleotides. Lipids are mixed with a surfactant or detergent in aqueous solution to produce micelles, and subsequent dilution or removal of the detergent result in liposomes encapsulating proteins and oligonucleotides in their native form [3].

There are other methods described for liposome preparation, including double emulsion, freeze-thaw, dehydration-rehydration, fast-extrusion and, recently, the use of supercritical carbon dioxide. In this procedure, a phospholipid solution is sprayed through supercritical carbon dioxide, causing the rapid evaporation of the solvent [47]. This leads to phospholipid precipitation as empty vesicles that can then be rehydrated using a range of aqueous solutions.

In summary, although there are several methods of liposome preparation, scale-up issues should be considered early in the development of new formulations for pharmaceutical application. Relevant advantages and disadvantages of the techniques discussed above are summarized in Table 2.

Table 2

Select methods of liposome preparations and their advantages in scale-up procedures (adaptated from Kraft et al.) [3].

Method	Basic technique	Advantage for scaling
Formulation method		
Thin film hydration	Solvent evaporation followed by rehydration in aqueous phase	Simple
Reverse-phase evaporation	Mixing of immiscible solvent with aqueous phase to form emulsion followed by evaporation of solvent	Simple
Solvent injection	Injection of miscible solvent (generally ethanol) into aqueous phase	Single-step process
Detergent depletion (dialysis)	Mixed-micelle formation with detergent followed by detergent dilution or removal	Continuous processing
Supercritical fluid	Solvation of lipids in supercritical carbon dioxide followed by injection into low-pressure aqueous phase	Gentle
		No organic solvent
		Sterility
Size reduction		
Sonication	Ultrasonic energy to disrupt vesicles	Simple
High-pressure homogenization	High-velocity collisions mechanically disrupt vesicles	Monodisperse population
		Reproducible
		Continuous processing
Membrane extrusion	Forcing through a filter of defined pore size	Monodisperse population
		Reproducible
		Continuous processing

4. Characterization of liposomes

The performance of liposomes as drug delivery systems is strongly related to their structure at supramolecular, microscopic and nanoscopic level. The characterization of the structural vesicles is relevant for understanding the performance of these devices [17]. It is vital to assess the liposome quality and to obtain quantitative measures that allow comparison between different batches of liposomes [8]. The next section aims to highlight the importance of some structural parameters of vesicular systems, and present analytical techniques used to measure them.

4.1. Size

The range and vesicle size distribution gives an idea of the size homogeneity of vesicles forming the same system. These are therefore essential parameters for a good characterization of a vesicular formulation [17]. It is known that size influences pharmacokinetics, tissue distribution and clearance of liposomes. Indeed, hepatic uptake and accumulation, tissue extravasation, tissue diffusion and kidney excretion is deeply dependent of particle size. In contrast to small liposomes, that exit or enter fenestrated vessels in the liver endothelium or tumor microenvironment, bigger liposomes

are taken up by phagocytes or remain in the tissues for an extended time. Thereafter, the phagocytes with liposomes accumulate in the spleen and liver for eventual elimination. Furthermore, cellular internalization pathways may also be influenced by particle size [3].

Several techniques are available for evaluating the size and size distribution of liposomes, including microscopy techniques, size-exclusion chromatography (SEC), field-flow fractionation and static or dynamic light scattering (DLS) [8]. Among them, DLS, also referred to as photon correlation spectroscopy or quasi-elastic light scattering, represents a fast technique for size measurements. DLS is an analysis of time dependence of intensity fluctuation in scattered laser light due to Brownian motion of particles in solution. Small particles diffuse more rapidly than large particles and the rate of fluctuation of scattered light intensity varies accordingly. Thus, the translational diffusion coefficient (D) can be measured, which in turn can be used to determine the mean hydrodynamic radius (r_h) of particles using Stoke–Einstein equation (Eq. (1)), where k is the Boltzmann constant, T is the temperature, and η is the solvent viscosity [43].

$$r_h = \frac{KT}{6\pi\eta D} \quad (1)$$

Table 3

Liposomes classification based on composition and mode of drug delivery (adapted from Sharma et al.) [60].

Type	Composition	Characteristics
Conventional liposomes	Neutral and/or negatively charged phospholipids and cholesterol	Subject to coated pit endocytosis contents ultimately delivered to lysosomes if they do not fuse from the endosome, useful for RES targeting
pH sensitive liposomes	Phospholipid such as PE, DOPE	Subject to coated pit endocytosis at low pH fuse with cell or endosome membrane and release their contents in the cytoplasm
Cationic liposomes	Cationic lipids	Possibly fuse with cell or endosome membrane; suitable for delivery of negatively charged macromolecules (DNA, RNA); ease of formation; toxic at high dose
Long circulating liposomes or stealth liposomes	Neutral high transition temperature; lipid, cholesterol and 5–10% of PEG–DSPE	Hydrophilic surface coating; low opsonisation and thus low uptake by RES; long circulating half life
Immunoliposomes	Conventional or long circulating liposomes with attached monoclonal antibody or recognition sequence	Subject to receptor mediated endocytosis; cell specific binding; can release contents extracellularly near the target tissue and drug diffuse through plasma membrane to produce their effects
Magnetic liposomes	PC, cholesterol, small amounts of linear chain aldehyde and colloidal particles of magnetic iron oxide	Liposomes that indigenously contain binding sites for attaching other molecules like antibodies on their exterior surface. Can be made use of by an external magnetic field in their deliberate, on site and rupture immediately release of their contents
Temperature or heat sensitive liposomes	DPPE	Vesicles shows maximum release at 41 °C. The phase transition temperature of DPPE liposomes released the entrapped contents at the target cell surface

Determination of particle diameter using Stokes–Einstein equation.

The value of polydispersity index (PDI), indicative of the degree of heterogeneity regarding sample size, is another parameter possible to calculate. Size distribution can be monodisperse (narrow distribution) or polydisperse (broad distribution). The calculation of PDI takes into account the particle mean size, the refractive index of the solvent, the measurement angle and the variance of the distribution [48]. If a scale from 0 to 1 is considered, a PDI lower than 0.1 indicates a high homogeneity of the particle population, whereas high PI values suggest a broad size distribution or even several populations [49].

A new methodology for the characterization of vesicular systems was recently developed: the nanoparticle tracking analysis (NTA) [17]. This technique allows a direct and real-time visualization and analysis of nanoparticles in liquids, by combining laser light scattering microscopy with a charge-coupled device camera [50]. During NTA analysis, a laser beam illuminates the particles in suspension and the light scattered by each particle is focused by the microscope onto the image sensor of the video camera. The NTA software identifies and tracks each particle, thus enabling measurement of the mean square displacement of particle movement. This parameter, together with the temperature and the viscosity of the liquid containing the particle, is used to calculate particle size through the Stokes–Einstein equation [51]. The capacity of NTA to simultaneously measure size and particle scattering intensity, besides allowing to distinguish particles of different refractive index within the same sample solution, makes a direct estimation of particle concentration [17].

4.2. Zeta-potential

The nature and density of the surface charge of liposomes may influence stability, biodistribution, pharmacokinetics, and cellular affinity and drug internalization. The net charge may vary from negative, neutral or positive, depending on the lipid composition and the head group of lipids. The overall net charge of the vesicles is classically expressed as surface or zeta potential [3]. In this way, zeta potential is a physical property characteristic of all particles in suspension. The particles dispersed in an aqueous medium will acquire a surface charge, by ionization of surface groups or adsorption of charged species. These ions create a layer surrounding the particle surface, commonly named the Stern layer. Another layer outside the Stern layer is also formed, where ions diffuse more freely [52]. Within the diffuse layer, there is a notional boundary where the ions and particles form a stable entity, and when a particle moves, ions within the boundary move accordingly. The zeta potential is the potential at the boundary (surface of hydrodynamic shear) beyond which ions stay with the bulk dispersant [17].

To measure the zeta potential, a laser beam passes through the center of the sample cell and the scattered light at an angle of about 13° is detected. When an electric field is applied to the cell, any particles moving through the measurement volume will lead to fluctuation of the detected light with a frequency proportional to the particle speed [8]. The velocity of a particle in a unit electric field is referred to as its electrophoretic mobility. Zeta potential is related to the electrophoretic mobility by the Henry equation, (Eq. (2)) where, U_E is the electrophoretic mobility, the zeta (ζ)-potential, ϵ is the dielectric constant, $f(Ka)$ is the role of Henry and η is the viscosity of the medium [53].

$$U_E = \frac{2\epsilon\zeta f(Ka)}{3\eta} \quad (2)$$

Determination of zeta-potential using the Henry equation.

4.3. Electron microscopy

Apart from size and surface properties, other parameters are vital for a proper characterization of vesicles, such as analysis of their morphology. Microscopy is the ideal tool for this purpose, since it allows the direct observation of the sample and evaluation of its morphological characteristics, such as shape and lamellarity [17]. The evolution of drug delivery systems to a nanometer scale lead to replacement of classical light microscopy by electron microscopy techniques, indispensable tools for the analysis of nanoemulsions. Indeed, the high resolution and methodological versatility of electron microscopy provide sufficient resolution for the visualization of nano-sized particles [54]. Several variants on electron microscopy (EM), such as transmission EM (TEM) and scanning EM (SEM), allows the visualization of each individual vesicle, to obtain precise information about the profile of liposome population(s) [43]. In TEM, a small amount of hydrated sample is placed in direct contact with the grid surface, which may affect their orientation or morphology before they are viewed. A negative stain, such as Uranyl Acetate or Osmium Tetroxide, is then used, resulting in the liposomes appearing as white against a black background [54–56]. In SEM, the sample is dried and its surface coated with a conductive layer, normally of gold. The structure is scanned point by point with the electron beam and secondary electrons that are emitted by the sample are detected. In this way, a three-dimensional (3-D) impression of the structures in the sample, or of their surface, is obtained [54,55]. In scanning transmission electron microscopy (STEM), TEMs are equipped with a scanner unit. This low-energy technique aims to overcome the charging effects of the nanoparticles, which may disturb normal SEM images that are obtained by analysis of secondary electrons with energies in the eV range [55]. EM techniques are very time consuming and require costly equipment, often inaccessible. Furthermore, sample preparation requires removal of liposomes from their native environment, which can generate artefacts, induce shrinkage and shape distortion. Attention should be given to sample preparation to overcome some of these problems and obtain reproducible and accurate results [8]. A new microscopy technique was developed to study liposome morphology, size and stability, the atomic force microscopy (AFM). This system allows the analysis of soft and biological materials, when it is possible to perform high-resolution imaging under conditions conducive, such liquid and without applying vacuum. In these conditions it is possible to evaluate the influence of temperature, addition of surfactant, ions, polymers, adsorption/desorption kinetics and biomolecules–membrane interactions [57].

4.4. Encapsulation efficiency

In addition to an extensive structural characterization, the capacity to encapsulate therapeutic agents is vital to evaluate the potential of vesicles as drug delivery systems. This ability can be expressed as encapsulation efficiency (EE), the percentage of drug encapsulated inside the vesicle aqueous core in relation to the initial amount of drug used for encapsulation (Eq. (3)). If it is not known where the drug is adsorbed/integrated in the vesicular membrane, it is more recommendable to use trapping or incorporation efficiencies [17].

Encapsulation efficiency (%)

$$= \frac{\text{mass of encapsulated drug}}{\text{mass of drug}} \times 100 \quad (3)$$

Determination of the encapsulation efficiency of drugs.

As an alternative, capture (or encapsulation) volume is used for vesicular systems in which the cargo does not interact with

the vesicular membrane. The entrapped volume of liposome (in $\mu\text{L}/\text{mg}$ phospholipids) is calculated from measurements of the total quantity of solute entrapped inside the liposome, assuring that the concentration of solute in the inner aqueous medium is the same after separation from non-encapsulated material [2,58].

Classically, the quantification of encapsulated cargo requires the execution of the following steps: separation of the nonencapsulated cargo, disruption of the vesicular membrane, and quantification of the released material [17]. Size exclusion chromatography is a commonly used separation technique, based on the existing size difference between liposomes loaded with drug and the free drug. We might use dialysis membrane with an appropriate cut-off, or ultracentrifugation, a simple and fast method for the separation of drug-loaded liposomes from their medium. The lipidic bilayer can be disrupted with methanol or Triton X-100 and the released material is then quantifiable. Methods used for this quantification step depend on the nature of the cargo and include spectrophotometry, fluorescence spectroscopy, enzyme-based methods and electrochemical techniques [8].

5. Classification of liposomes based on composition

Liposomes have a key advantage in comparison with colloidal carrier systems: they allow innumerable alterations of their structural and physicochemical characteristics [59]. This flexibility possibilitates the modification of liposome behavior *in vivo* and their design according to specific therapeutic needs. In this way, it is possible to classify the possible liposome versions based on composition and *in vivo* application (Table 3).

5.1. pH sensitive liposomes

PH-sensitive liposomes are stable at physiologic pH (7.4) but under acidic environments destabilize and acquire fusogenic characteristics, thus leading to the release of their aqueous contents. In the literature, several classes of pH sensitive liposomes, based on the mechanism of triggering pH sensitivity, are described. The best known pH sensitive component is PE and its derivatives with compound containing an acidic group that acts as a stabilizer at neutral pH [61]. In contrast to the majority of the phospholipids, the head-group of PE is poorly hydrated and small, occupying a lower volume as compared to the respective hydrocarbon chains. In this way, to the contrary of cylinder shape of bilayerstabilizing phospholipids, PE presents a cone shape, which prevents the formation of a lamellar phase. The cone shape of PE molecules allows the creation of strong intermolecular interactions between the amine and phosphate groups of the polar headgroups [62]. Amphiphilic molecules containing a protonatable acidic group that is negatively charged at physiological pH can be incorporated alongside PE in the lipidic bilayer. These molecules allow the formation of bilayer structures and liposomes at physiological pH and temperature because, in addition to causing electrostatic repulsion between bilayers, they also disrupt the strong interactions between PE headgroups [63].

With this approach, stable liposomes are formed at physiological pH and, upon pH reduction, the carboxylic group of the amphiphiles reduces their stabilizing effect, converting the lipid membrane from a conventional bilayer sheet to inverted hexagonal phase [64].

5.2. Cationic liposomes

In contrast to neutral or negatively charged liposomes, cationic liposomes establish electrostatic interactions with negatively charged molecules such as nucleic acids, including plasmids, messenger RNAs and synthetic oligonucleotides, or proteins and peptides [65].

Fig. 2. Schematic illustration of passive targeting via the EPR effect.

Since the landmark publications in the late 1980s [66], cationic liposomes are one of the most efficient synthetic gene delivery reagents *in vitro* [67]. Felgner and colleagues demonstrated that when DNA is mixed with cationic liposomes it forms a cationic particle (lipoplex), able to protect DNA from enzymatic degradation and deliver it into cells by interacting with the negatively charged cell membrane [66]. Cationic lipids are positively charged amphiphilic molecules constituted by a cationic polar head group (typically amino groups), a hydrophobic domain (alkyl chains or cholesterol), and a linker linking the polar head group with the non-polar tail. They can form positively charged liposomes, usually in combination with a neutral helper lipid [65]. However, some disadvantages have been reported, representing limitations to their wider successful application. Cationic liposomes have limited delivery efficiency and gene silencing and toxicity at higher concentrations. Furthermore, adverse interactions may come from the presence of negatively charged macromolecules in serum and cell surfaces, and impaired ability to reach tissues beyond the vasculature unless directly injected into the tissue [68]. Toxicity may be associated to the large size of the complexes, and the high positive zeta potential necessary for their uptake. Indeed, the toxicity may result from the charge ratio between cationic lipid species and nucleic acids, as well as the high dose of lipoplexes administered [69].

6. Targeting

Specific targeting to the disease cell/tissue without affecting the healthy cells is the Holy Grail for clinical application. Targeting of tissues and cells can either be passive, for long circulating liposomes, or active, for liposomes conjugated to ligands with specific affinity for receptors within a tissue, cell or intracellular target [70].

6.1. Passive targeting

The knowledge that large particle potentiates uptake by phagocytic cells was the basis for the design of liposome-encapsulated amphotericin B. Enhanced drug accumulation in phagolysosomes is observed within the same phagocytes that engulfed the pathogenic fungi. Since phagocytes accumulate in the spleen, the antifungal drug amphotericin B can reach the intravesicular sites of fungal growth even without existing ligand–receptor interactions. This approach that exploits cellular and physiological pathways and the knowledge about particle clearance mechanisms is called passive targeting [3].

In the oncology field, passive targeting depends on the Enhanced Permeability and Retention effect (EPR). Due to abnormal leaky (new or neo) vasculature and impaired lymphatic drainage, small non targeted particles (<400 nm) circulating in the blood tend to accumulate in the interstitial space of tumors and inflamed tis-

Table 4
Molecular targets and their targeting ligands.

Type of ligand	Molecular target	Targeting ligand	Cell type	References
Antibodies	mAb anti HER2	HER2	Breast cancer	[74–78]
	mAb anti VEGF	VEGF	Angiogenesis in tumor environment	[79–83]
Aptamers	Aptamer against E-selectin	E-selectine	Inflamed vasculature in advanced tumors	[84,85]
	Aptamer against nucleolin	Nucleolin	Breast cancer	[86–90]
Protein	Transferrin	Transferrin receptor	Cancer cells	[91–100]
	EGF	EGF receptor	Cancer cells	[101–105]
Peptides	RGD peptide	α v β 3 integrin	Tumor neovasculature	[106–110]
	Octreotide	SSTR2	Ovarian cancer	[111–115]
Carbohydrates	Galactose	ASGPR	Hepatocytes	[116–120]
Vitamines	Folic acid	Folate receptor	Cancer cells, activated macrophages	[121–130]

sues, a known hallmark of cancer (Fig. 2) [3]. Fast growing tumor results in tissue anoxia and expression of growth factors like the vascular endothelial growth factor (VEGF), responsible by rapid angiogenesis. Newly formed blood vessels show a higher rate of vascular fenestration and consequent permeability in tumors. In this way, nanoparticles cross the walls of such blood vessels and can be retained in the tumor tissue [70].

However, the passive targeting effect is restricted to some tumors. Indeed, the EPR effect is only achieved in some solid tumors that are larger than approximately 4.6 mm in diameter. Moreover, the porosity and pore size of tumor vessels depends on the type and status of tumors [71]. The EPR effect is also affected by other factors, such as tumor location, the surrounding stroma, amount of infiltration by macrophages, patient characteristics such as age and gender, and additional medications [72]. Furthermore, nascent tumors and nonvascularized disease sites are unlikely to benefit from this EPR effect [3]. In passive targeting, prolonged blood circulation liposomes are particularly important, as to avoid their sequestration by macrophages of RES, and ensure their accumulation in tumor cells [70]. Due to the unpredictability of the EPR effect and their limitation to some tumors, a greater focus on cancer targeted therapy is imperative in the future.

6.2. Active targeting

In 1906, Lehner *et al.* introduced the concept of active targeting by describing a “magic bullet” needed to direct specific drug delivery within the body [70]. Active targeting exploits specific modification of liposomal surface with a targeting ligand, which can lead to their accumulation at the target site or intracellular delivery to target cells. The inclusion of certain ligands in liposomes allows the release of their contents intracellularly by receptor-mediated endocytosis. This mechanism, in addition to increasing the efficacy of the carried drug, may also overcome drug resistance at least partially by reducing their diffusion from the tumor [71].

The targeted molecule is typically selected based on its selectivity or overexpression in target cells. A number of targeting ligands have been proposed for development of targeted liposomal formulations. These include proteins (antibodies or antibody fragments), nucleic acids (aptamers) and other receptor ligands (peptides, carbohydrates, and vitamins) (Table 4). There are several aspects taken into account in the selection of target molecules, which include: relative degree of overexpression or selective expression on the target, target cell uptake of ligand-targeted formulation, and degree of covering of the target molecule [71,73].

Active targeting requires a targeting moiety to remain lipid associated in biologic environments. The crucial goal of targeted ligand conjugation is to bind a targeting moiety without losing its functionality after inclusion in the liposome [131]. Furthermore, it is also vital to ensure their stability in fluid flows, as well as the ease of preparation, scaling, and functional capacity to bind to target cells. If the targeting moiety is hydrophilic just can be stable in the membrane surface by conjugation to phospholipid or fatty

acyl chains [3]. Otherwise, a hydrophobic anchor for a targeting moiety is only possible by chemical conjugation. Several procedures have been described in the literature with varying degrees of success and complexity (Fig. 3). The wide range of head group-modified lipids commercially available has encouraged researchers to develop more complex liposomal formulations to meet clinical challenges [131]. The use of PEG–lipid conjugates for surface coating of liposomes is widespread. These conjugates are derived from mPEG, which has nonreactive methoxy terminal groups. To attach a targeting moiety to liposomes, previous approaches have been used to functionalize PEG molecules in PEG–lipid conjugates [71]. Furthermore, the broad spectrum of chemical approaches can also be used to conjugate therapeutic molecules, or contrast agents to liposome surface [131].

Typically, there are three main approaches available to functionalize liposomes. One approach is binding the desired targeting ligand to a lipid prior to mixing them with other lipid components during liposome preparation [132]. In another approach, immediately after preparation, liposomes are functionalized with the required targeting ligand [132]. Head group-modified lipids with a PEG spacer functionalized at the end with amine, carboxylic acid, thiol or maleimide groups represent available options for this approach [133]. Alternatively, the postinsertion of the functionalized lipid in preformed liposomes, was proposed. This method is based on the spontaneous incorporation of functionalized lipids from the micellar phase into preformed and even drug-loaded liposomes [134]. Derivatization of the targeting molecule happens in a separated step, this approach prevents the interference of activated lipids with other liposomal components such as those present in the buffer [134].

6.2.1. Folic acid

Folic acid is one of the most studied ligands in targeted drug delivery. Folic acid is a high affinity ligand for the folate receptor (FR), which remains even after derivatization through one of its carboxyl groups. FRs mediate cellular internalization of folate conjugates via receptor-mediated endocytosis being folate conjugation a method for targeted intracellular delivery of therapeutic agents to FR expressing cells [135]. Receptor-mediated endocytosis beginning with folate conjugates binding to a cell surface FR, which is succeeded by distinct steps [136]. After membrane invagination and internalization to form an endocytic vesicle, the pH of the vesicle lumen decreases by action of proton pumps localized in the endosomal membrane. This acidification allows the release of bound ligand and their delivery in to the cytosol, through a conformational change in the FR protein [137]. Finally, the FR recycles back to the cell surface, allowing for delivery of additional folate conjugates in to the target cell [136].

Apart from their high affinity for its receptor, folate has other unique properties being one attractive ligand for use in drug targeting. These features include its convenient availability, low molecular weight, facile conjugation chemistry, lack of immunogenicity, water solubility, stability to diverse solvents, pH and

Fig. 3. Classical methods for coupling ligands. Schematic representation of a cross section of a liposome bilayer containing functional groups to illustrate (a) crosslinking of primary amines by glutaraldehyde, (b) carbonyl-amine bond formation, (c) amide bond formation by the reaction of para-nitrophenylcarbonyl with primary amine, (d) disulfide bond formation, (e) thioester bond formation by the maleimidethiol addition reaction, and (f) hydrazone bond formation. Coupling ligands are represented by stars (adapted from Marqués-Gallego et al.) [132].

temperature. Furthermore, the small size of the folate ligand also allows good tissue penetration and rapid clearance from receptor negative tissues [136]. Therefore, a wide range of molecules and drug carriers have been conjugated to folate and evaluated for FR targeting [135].

A usual method to incorporate folate in a liposome coated with PEG polymers is to conjugate the ligand to the outer end of the PEG chain. Folate may be conjugated to amino-PEG through the gamma-carboxyl to a phospholipid or cholesterol anchor and incorporated into the bilayer during liposome preparation. As described above, the affinity of the resulting conjugate to FR is about 10-fold lower than free folic acid. However, since liposome binding is mediated by several ligands, its overall affinity for a target cell is the product of the individual affinities. In this way, the affinity of folate conjugates for FR-expressing cells is much higher, and is needed nearly a 1000-fold greater concentration of free folic acid to compete effectively with folate conjugates for FR binding [138]. Although these folate conjugates were shown to successfully target FR-overexpressing cancer cells, self-aggregation on the liposome surface has often been observed when using such formulations, leading to reduced FR targeting efficiency [139]. Furthermore, there are concerns over the two negative charges carried by folate-PEG-phospholipid and the use of a carbamate linker in folate-PEG-cholesterol, which has limited hydrolytic stability [140,141].

7. Factors that influence nanoparticle–cell interactions

To produce nanoparticles that are capable of navigating the body and reach target cells, it is necessary to understand the physicochemical

properties and their influence in the interaction with biological systems. Indeed, to design nanoparticles to accumulate in the target cell, it is crucial to understand the contribution of size, shape, and chemistry to this delivery process [142]. Only in this way it will be possible for researchers to develop complex nanoparticles that ensure the highest possible delivery efficiency while maximizing signal strength [143]. The next points describe some factors that influence nanoparticle–cell interactions (Fig. 4):

- Size: small nanoparticles have less ligand-to-receptor interaction than do larger nanoparticles.
- Shape: rods show the highest uptake, followed by spheres, cylinders, and cubes: greater membrane wrapping time required for the elongated particles.
- Charge: positively charged nanoparticles are taken up at a faster rate: the cell membrane possesses a slight negative charge and cell uptake is driven by electrostatic attractions.
- Ligand density: ligand's binding affinity increases proportionally to the size of a nanoparticle owing to a higher ligand density on the nanoparticle surface.
- Receptor expression levels: enough receptors with close proximity to one another to produce enough free energy to drive membrane wrapping.
- Internalization mechanism: each cell type can utilize different internalization pathways.
- Cell properties: because each cell type possesses a unique phenotype, optimal nanoparticle uptake size may depend on the cell being assayed.

Fig. 4. List of factors that can influence nanoparticle–cell interactions at the nano-bio interface (adapted from Albanese et al.) [143].

Table 5
Marketed liposomal products.

Trade name	Company	Drug	Indication	Route of administration
Antifungal				
Abelcet	Enzon	Amphotericin B	Invasive aspergillosis	Intravenous
AmBisome	Gilead	Amphotericin B	Leishmaniasis	Intravenous
Amphotec	Intermune	Amphotericin B	Invasive aspergillosis	Intravenous
Amphocil	Three Rivers Pharmaceutical LLC	Amphotericin B	Invasive fungal	Intravenous
Cancer therapy				
DaunoXome	Galen	Daunorubicin	Kaposi's sarcoma	Intravenous
Myocet	Cephalon	Doxorubicin	Breast cancer + cyclophosphamide	Intravenous
Doxil, Caelyx	Johnson & Johnson	Doxorubicin	Kaposi's sarcoma, ovarian cancer, breast cancer, multiple myeloma	Intravenous
Lipo-Dox	Taiwan liposome	Doxorubicin	Kaposi's sarcoma, breast and ovarian cancer	Intravenous
Marqibo	Talon	Vincristine	Acute lymphoblastic leukemia	Intravenous
Onco TCS	INEX Pharmaceuticals	Vincristine	Non-Hodgkin's Lymphoma	Intravenous
Lipusu	Luye Pharma Group Ltd.	Paclitaxel	Solids tumors	Intravenous
DepoCyt	Pacira	Cytarabine	Lymphomatous, meningitis, neoplastic, meningitis	Spinal
Vaccine				
Epaxal	Crucell	Inactivated hepatitis A virus (strain RG-SB)	Anti-viral: hepatitis A virus	Intramuscular
Inflexal V	Crucell	Inactivated hemagglutinine of Influenza virus strains A and B	Anti-viral: influenza virus strains A and B	Intramuscular
Analgesics				
DepoDur	Pacira	Morphine	Postsurgical analgesia	Epidural
Diprivan	AstraZeneca	Propofol	Anesthetic	Epidural
Exparel	Pacira	Bupivacaine	Analgesia	Epidural
Other				
Visudyne	QLT	Verteporfin	Neovascularization: wet macular degeneration	Intravenous
Estrasorb	King	Estrogen	Menopausal therapy	Topical

8. Marketed liposomes

In 1995, Doxil (PEGylated liposome-encapsulated doxorubicin) became the first liposome drug delivery system approved for human use by the US FDA [144]. Currently, Doxil and other liposomal formulations encapsulating doxorubicin and daunorubicin are widely used to treat ovarian cancer and Kaposi's sarcoma, and to protect patients from anthracycline cardiotoxicity [145]. As shown in Table 5, about 19 liposome and lipid-based drug formulations are approved for human use. Eight are treatments intended for cancers; others are treatments against fungi or microbes, preventive vaccination, analgesia, macular degeneration, and hormone replacement.

Actually, all human clinical trials proposed for product licensing approval by the FDA must be registered with ClinicalTrials.gov, a US Department of Health and Human Services sponsored clinical trial registry. According to ClinicalTrials.gov, there are 1562 interventional drug studies with a liposome platform as of May 2015. Interestingly, no FDA-licensed liposome or lipid nanoparticle is coated with ligands or targeting moieties for homing drug to target tissues, cells or subcellular organelles [3]. Such targeted therapeutics (with or without precise and controlled drug release) are an emerging area of research.

9. Conclusion

In the 50 plus years since the concept of their clinical utility was pushed forward by Banghan, liposomes have drawn attention of researchers. Currently, they remain a topical issue, where new preparation methods have been developed as well as new characterization techniques applied. The accumulation of many novel experiences studying the practical aspects of liposomes is reflected in the increasing number of clinical trials involving liposomes. Indeed, with the success of Doxil and other liposomal drug

delivery systems, liposomes have already made their transition into the clinic, where they have resulted in improved patient outcomes. This immense challenge was successfully overcome due to suitable stealth degree of liposomes. Application of unmodified nanoparticles are limited by their rapid recognition by macrophages of the RES within a few hours of administration. The inclusion of stealth agents, such as PEG, extend the circulation lifetime of the vehicle. In this way, in contrast to free forms, encapsulated drugs are selectively retained in plasma and are not subject to immediate absorption and filtering by the main organs. Consequently, therapeutic agent would be present at its target in low but stable amounts sufficient to exert an effect.

However, current clinically available liposome-based therapies do not exhibit active targeting at the cellular level and therefore do not benefit from the full potential that nanotechnology can provide. To make a significant therapeutic impact, liposomes must serve as a drug carrier not only to improve drug stability and exposure, but also to enhance the accumulation of a significant amount of drug in the target tissue. Advances in molecular design and chemistry for inclusion of ligand molecules on the liposomal surface improve their interaction with target cells. In the development of ligand-targeted liposomal therapeutics, several considerations should be taken into account. The target antigen should be selectively expressed or overexpressed on the target cells in sufficient quantity so as to ensure firm binding of liposomes to cancer cells. Furthermore, in targeted ligand conjugation, it is crucial to achieve binding of a targeting moiety without losing its functionality after attachment to the liposome.

Future generations of liposomal drug delivery systems are likely to possess multiple and complementary functionalities for stealth, targeting and controlled drug release, and the key topics developed in this review may resolve the current challenges in their development. The continuous study on the design refinement and optimization contribute to the potential of targeting liposomes to

provide major advances in clinical therapy that could dramatically improve patient outcome.

Acknowledgements

Eugénia Nogueira (SFRH/BD/81269/2011) holds a scholarship from Fundação para a Ciência e a Tecnologia (FCT). This study was funded by the European Union Seventh Framework Programme (FP7/2007–2013) under grant agreement NMP4-LA-2009-228827 NANOFOL. This study was also supported by FEDER through POFC—COMPETE and by national funds from FCT through the project PEst-C/BIA/UI4050/2014 and the strategic funding of ID/BIO/04469/2013 unit. This work was supported by FCT I.P. through the strategic funding UID/BIA/04050/2013.

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