

# The contribute of using vernacular materials and techniques for sustainable building

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**ABSTRACT:** The use of local materials and techniques is one of the main features from vernacular architecture. When compared with industrially-produced materials, vernacular materials have low environmental impacts, being an alternative for sustainable building. However, industrialization have brought new standardized materials that led to the homogenization of the different building approaches and spawned a universal architecture often with no context concerns and with significant environmental impacts. As for sustainability, vernacular materials have potential to evolve and to be adapted to contemporary needs, helping to reduce the embodied energy and environmental impacts. Therefore, this paper addresses potential advantages of using local materials and techniques in the Portuguese context. Moreover, this paper establishes a comparison between vernacular and industrially-produced at level of environmental indicators (embodied energy and global warming potencial).

## 1 INTRODUCTION

With the Industrial Revolution, and later with the Modern Movement, the increasing use of new industrially-produced and standardized materials led to the homogenization of the different used construction approaches, until then dependent on available local materials. Their wide dissemination meant that the use of these materials became predominant and traditional techniques and materials fell into disuse. Modern architecture, based on the use of industrially-produced materials, spawned a universal architecture often with no context concerns and very dependent on energy consumption (Montaner 2001; Graça 2000). Beyond this, industrially-produced materials require a high energy-intensity and have considerable environmental impacts (Mota et al. 2012). On the other hand, using alternative materials and techniques, like the vernacular ones (lime, adobe, timber, vaulted ceilings, etc.), the total embodied energy of a building can be significantly reduced, as well as environmental impacts (Venkatarama Reddy & Jagadish 2003; Shukla et al. 2009; Sanz-Calcedo et al. 2012). For example, natural materials such as timber have positive impacts in the overall life-cycle assessment (Mota et al. 2012).

These issues are particularly relevant for the building sector as it has significant environmental impacts, being responsible for almost a third of all carbon emissions (Ürge-Vorsatz et al. 2007) and for consuming more energy and raw materials than any other economic sector (Pacheco-Torgal & Jalali 2012) — with some existing reserves forecasted to last only a few dozen years more (Berge 2009).

Nowadays, energy efficiency and sustainability of buildings are important research issues. As the buildings become more energy efficient during the operation phase, the concern with the embodied energy in building materials is emphasized, thus demonstrating the need to also look at the energy used to produce components (Ramesh 2012). In life-cycle assessment of buildings, environmental impacts associated with all life stages of products are estimated (Bragança & Mateus 2011). One of the components of major relevance in this evaluation is the global warming potential, related to the emission of greenhouse gases (GHG) (Bragança & Mateus 2011), in particular

carbon dioxide, which is closely related to energy consumption, or embodied energy (Cabeza et al. 2013). In this topic, LCA includes the operational energy (energy required for the operation of the building, i.e. HVAC, lighting, etc.) and the embodied energy (energy demanded for all processes of production, on-site construction, and final demolition and disposal of materials) (Cabeza et al. 2013). In the Portuguese context, Mateus et al. (2007) estimated for a conventional building (with a 50-year life-cycle) that the embodied energy in the building materials was of about 10-15% of the total energy consumed during the operation phase. Recently, Pacheco-Torgal et al. (2012) estimated for a set of nearly 100 flats in Oporto that the embodied energy accounted for about 25% of the operational energy for a 50-year life cycle. The same authors consider that with the decrease of the operational energy, by implementing the EPBD directive, the embodied energy will represent approximately 400% of the operational energy (Pacheco-Torgal et al. 2012).

In this sense, reducing the embodied energy in materials is a premise to reduce the environmental impacts and achieve more efficient and sustainable buildings, and it will also (Ramesh 2012). Additionally, it will also decrease the cost of materials and in particular of the buildings as a whole (Ramesh 2012).

Attending to these considerations, and knowing that construction materials have considerably environmental impacts, vernacular materials have from sustainability point of view several advantages that should be highlighted. To better understand the following chapters, vernacular materials should be perceived as those that are locally sourced and used and closely related to specific local conditions (lithology, climate, agricultural crops, etc.), being an identity factor of architectural differentiation.

## 2 RESEARCH METHODOLOGY

The research methodology of this study is based on examples present in Portuguese vernacular architecture, using a deductive approach and combining qualitative and quantitative analyses. Thus, this article focuses specifically on the importance of using local materials as well as local construction techniques for sustainable development. Data collection was based mainly on primary and secondary sources. To relate the use of vernacular materials to specific local conditions, several examples were chosen along the Portuguese territory. Moreover, it was established a relation to lithology, climate, agricultural and the tree crops. To assess the contribution of these materials to sustainability, a comparison between some vernacular materials and current industrial materials, in terms of environmental indicators, was carried out.

## 3 USE OF VERNACULAR BUILDING MATERIALS AND TECHNIQUES

### 3.1 *The Portuguese context*

As far as Portuguese vernacular architecture is concerned it can be concisely stated that where stone exists people build with this; where there is lack of it, people build with earth, wood or other vegetable materials (Oliveira & Galhano 1992). The materials used were obtained from the geographical area where the buildings were erected. Even in areas of lithological frontier the examples of constructions that use stone from the neighbouring region are rare, because the scarce economic resources of the population did not allow them to access to materials that were not found locally. Only the wealthiest families, or those with some economic ease, could bear the costs of transporting materials (AAVV 1980). The industrialisation brought the habit of using industrially-produced materials, produced far from building sites, what led to the disuse of local traditional materials and techniques.

Even being a small country, Portugal is a territory full of contrasts, not only in climate — with significant variations in air temperature and precipitation (Santos et al. 2002) — but also in the lithological contrast between regions. In Portuguese vernacular architecture it is particularly noticeable that there is an almost perfect correlation between the distribution of the construction materials used and the lithological characteristics of Portuguese territory (Fernandes 2012). To state this fact some examples are highlighted in the following chapters.

### 3.2 Advantages of using vernacular materials and techniques on sustainable buildings design

Vernacular materials and techniques have from the sustainability point of view several advantages that should be promoted. Among these, environmental issues stand out, but there are also social and economical benefits. In this sense, the studies developed by Morel et al. (2001) and Ramesh (2012) concluded that using local materials has environmental and socio-economical advantages, such as: to reduce the amount of embodied energy in buildings; to reduce building costs; and promotion of local economies through local payment of the cost of materials and workmanship. Therefore, it is pertinent to highlight some of the advantages of using certain types of vernacular materials, in opposition to current industrially-produced ones, as one of the paths to achieve a more Sustainable Building.

#### 3.2.1 Environmental advantages

Generally, the most relevant environmental advantages related to local materials are: no need of transportation; less energy intensive production process and consequently lower embodied energy and CO<sub>2</sub> emissions; they are natural materials, often organic, renewable and biodegradable, with a life cycle from "cradle to cradle"; low environmental impact during maintenance operations. A brief comparison regarding environmental aspects between local and industrially-produced materials is presented in Figure 1. To compare environmental impacts resulting from the use of materials in construction systems, the total weight of each material must be quantified in advance.

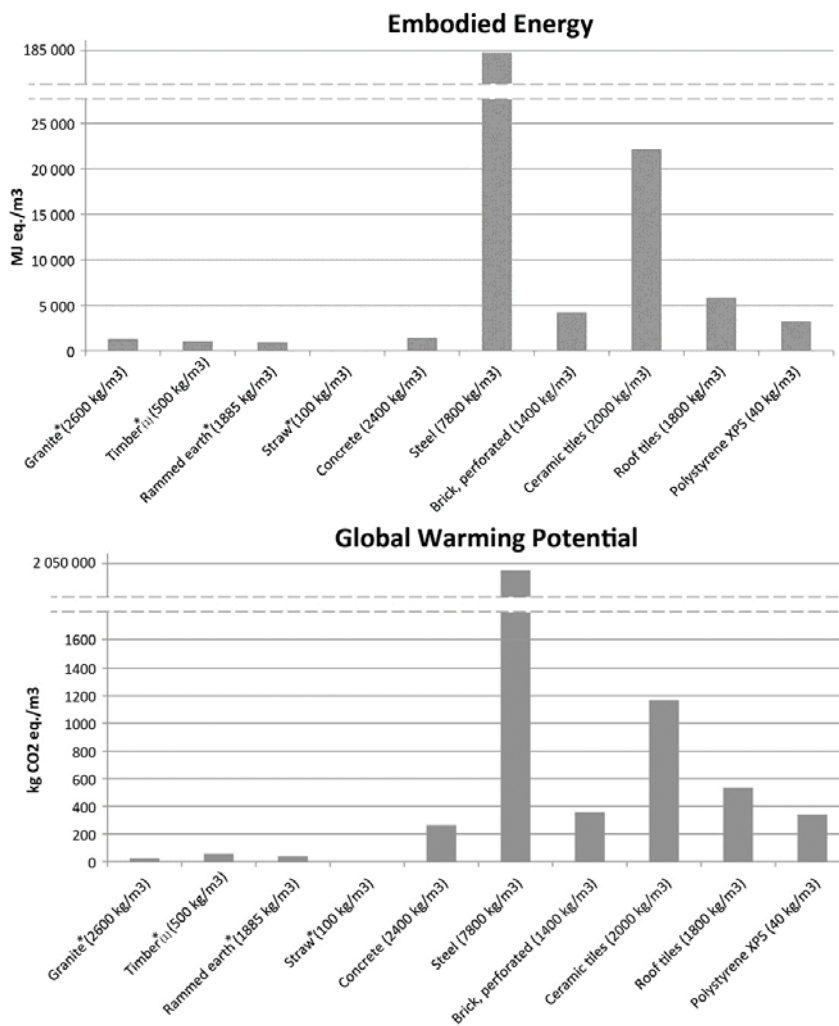


Figure 1. Embodied energy and Global Warming Potential (cradle-to-gate) comparison between some vernacular and conventional building materials. Sources: Bragança & Mateus 2011; \*Berge 2009. Notes: (1) Sawn timber, air dried, including planning processes.

In the underneath paragraphs, some advantages related to specific materials and techniques used in Portuguese vernacular architecture will be presented and discussed.

**Thatch/straw** — In regions of harsh winters and rye crops, as the Montemuro mountains, roofs were made of straw — a waste from cereal production. This coating ensures simultaneous protection against rain and some thermal insulation. This material has the advantages of being a natural material, fully biodegradable, low cost, with a good performance against natural elements, such as rain and snow, as well as insulation properties. There is no specific data on thermal conductivity of the straw applied in roofing solutions, the most common application in vernacular architecture, but it is possible to extrapolate this value from the straw bales (a 60cm thick straw bale has a U-value bounded between 0.12 to 0.09 W/m<sup>2</sup>°C) (Sassi 2006). The disadvantages of straw, mainly in relation to ceramic tiles insulated with extruded polystyrene are: lower fire resistance; and the need for periodic replacement, even considering the reduced cost of this process. In a sustainable building design, it is possible to use this material in contemporary applications and it has good potential for integration with new materials (Yuan & Sun 2010).

**Rammed earth and adobe** — Rammed earth is the most widespread construction technique in the Alentejo region. In this area the good quality of soil for this type of construction technique is reflected in the profuse use of it (AAVV 1980; Fernandes & Correia 2005). The high mass that characterizes earthen constructions allows them to respond appropriately to the scorching summer heat of Alentejo. In coastal regions, as the Vouga estuary, where there is no wide availability of stone but where the alluvial soils and clays abound, the buildings are mostly built of adobe. These are two good examples of traditional materials made from soil of the construction site, an abundant resource with reduced environmental impacts associated with its extraction (Sassi 2006). Although most of these constructions are in developing countries, the number of these constructions in developed countries has been increasing thanks to the importance given to sustainable construction (Pacheco-Torgal & Jalali 2012). Earthen architecture, due to its multiple advantages, continues to make sense in the Portuguese context, especially in areas that traditionally have used this material. Some advantages, among many others, are (Wargocki et al. 1999; Gutiérrez et al. 2005): i) strong thermal inertia ii) ability to influence the quality of indoor air, since it has no VOCs associated; iii) hygroscopic inertia, ie, acting as moisture regulators, retaining it in the appropriate proportions to human health (from 40 to 60%), contributing to the stability of the indoor microclimate; iv) low embodied energy; v) low carbon emissions and low environmental impact; vi) low cost material; vii) if performed on raw earth it can be reused indefinitely. In the case of adobe constructions, the study of Shukla et al. (2009), a life-cycle assessment (LCA) of a dwelling mainly built in adobe, concluded that for every 100m<sup>2</sup> of built area adobe housing presented an embodied energy of 475 GJ, while the conventional housing had 720 GJ.

The disadvantages commonly associated with earthen architecture can be seen from a different perspective, namely: these buildings may have great durability; there are numerous cases with hundreds of years and some over 1000 years old that have reached to the 21<sup>st</sup> century (Pacheco-Torgal & Jalali 2012); and despite the need for periodic maintenance to ensure its durability this does not entail a high cost.

Research in this field shows that there is still potential to improve the properties of these materials, as exemplified in the study conducted by Pereira & Correia da Silva (2012). The authors demonstrated the possibility of improving the thermal resistance of rammed earth walls, in order to comply with the Portuguese regulations for thermal performance, without changing their environmental characteristics, by adding a mixture of granulated cork.

**Vaulted ceilings** — The practice of building using this technique began to disappear in the first third of the 20<sup>th</sup> century with the increasing use of reinforced concrete slabs. However, recent studies have disclosed that the use of this technique in traditional vaulted brick ceilings is more sustainable than conventional concrete slabs. In a life cycle analysis of the traditional vaulted ceilings, compared with concrete slabs, require for their construction 75% less energy, produce 69% less CO<sub>2</sub>, have a similar or lower average cost and produce less 171% of waste (Sanz-Calcedo et al. 2012). The same study states that it is a technique that meets the current requirements of sustainability and can be integrated into current construction techniques, being very economic and functional. The lessons learned from this study come to support that vaults continue to be viable in contemporary construction, in addition to the significant contribution to the sustainability of the building stock. The need for more skilled workmanship is presented as a disad-

vantage but, taking into consideration that the cost of these structures is not superior to conventional concrete slabs, the allocation of structure cost to manpower appears to be an added value. In order to have this technique properly valued and spread it is necessary that its advantages are disseminated among all construction stakeholders and that new professionals can be trained in the implementation of this technique.

**Loam roofs** — In the island of Porto Santo there are some examples of vernacular constructions that use loam roof systems. This system is locally identified as “salão”. This kind of loam from the island is distinguished by its dynamic physical behaviour, perfectly suited to the climate of the island (high temperatures, dryness and low rainfall), i.e., in summer it cracks allowing continuous ventilation; in winter, with the first rains, and due to its natural gum, it quickly aggregates becoming waterproof (Mestre 2002). Besides the highlighted advantages it is also an economical and easy to maintain material (Mestre 2002). Additionally, it is an environmentally friendly material and although there is no detailed published data about this technique, by affinity with other materials such as rammed earth and adobe, it is possible to say that it has low embodied energy. Its application in the construction requires no special treatment and its maintenance is carried out with the simple application of another layer of loam. This technique is currently in disuse on the island, where the use of ceramic tile is dominant (Mestre 2002). In order to protect and reintegrate this technique, future economic and feasibility studies are needed to scientifically sustain the suitability of its use in the specific context of Porto Santo.

**Timber** — This building material is omnipresent in Portuguese vernacular architecture. Depending on local availability its use in construction ranges from occasional use as structural element to the integral construction of housing. As for the latter, coast’s wooden buildings “palheiros” and the houses of Santana are noteworthy examples. Forest cover of these areas helps obtaining this material and allows the construction to be almost entirely made of it. In the coast, particularly in the closest constructions to shore, timber construction is the most appropriate in relation to the sandy soil and sea moisture (AAVV 1980). The advantages of wooden construction today, which were already visible in the vernacular examples, are: it is renewable, biodegradable and recyclable; it requires little processing to be used in construction; and it allows prefabrication — which contributes to reduce construction waste. Depending on the method of construction we can also consider the chance of a more efficient and economic maintenance, with the possibility to replace piece-by-piece, as in “palheiros”, without changing the structure of the building. Since it requires low processing to be applied in construction it has a relatively low embodied energy. The study of Coelho et al. (2012) on the life cycle assessment of a wooden house, reveals the importance of using local resources of raw materials and local production to reduce transportation needs that affect the environmental performance of this kind of construction. Having in mind the abovementioned advantages of wood construction, this construction system should be encouraged, especially in places where this is suitable. The incentives to wood construction can also be an incentive for sustainable forest management. This one needed to tackle climate change that is enforcing new challenges to forest preservation, including the maintenance of viable ecosystems to ensure the productivity and retention of forest environmental services (Silva 2007). The planning of forestation still has several environmental advantages, including the ability to increase carbon sequestration, help to regulate the climate, control soil erosion, retain water in the soil and create conditions for the development of biodiversity (fauna and flora) (Marques 2008).

### 3.2.2 *Social and economic advantages*

To achieve a truly sustainable development it is also necessary to take into account the social and economic dimensions. In the construction sector it is critical to have the ability to understand these three dimensions. Edum-Fotwe & Price (2009) divided the process of building in three levels — urban, buildings and materials — and for the latter defined a set of social parameters to be considered for improving the sustainability of the built environment, such as: employment; health; safety; wellbeing; education and training skills; and culture/heritage. Analyzing, even superficially, the potential benefits of using vernacular materials, we can conclude that it fits all the social parameters defined in the abovementioned study.

Regarding employment, several studies report the great need of more and skilled workmanship as a disadvantage of traditional construction techniques. But taking into account that the direct

cost of these materials and structures is often inferior to that of conventional building systems, the allocation of the structure cost to manpower seems to be an advantage. The distribution of the income among more stakeholders is socially fairer than just allocating it to the price of a material. The local production of materials is not only economically cheaper, as it also enables creating jobs for unemployed people (Sanya 2007 cited in Pacheco-Torgal & Jalali 2012). Additionally, the need for skilled workmanship leads to education and training on these vernacular building systems, contributing not only to improve the qualifications of the several construction stakeholders but also to preserve and continue a local heritage and cultural legacy. The education in vernacular building systems is also crucial for politicians, sociologists and economists who make decisions about the built environment (Oliver 2006).

The fact that these materials came from the same local climatic conditions where they were applied has the following advantages: greater adaptability, economy and increased durability (Singh et al. 2011).

In matters of health, advantages are mainly related to the fact that these materials are of natural origin, with low toxicity, no volatile organic compounds, some of them with properties capable of regulating the temperature and indoor air quality (Berge 2009), as referred in the example of earthen architecture.

In terms of economy, Goodman (1968 cited in Berge 2009) argues that an industry of ecological construction must have their production units near the place of consumption, using local renewable resources, focusing on processes that require little energy and produce reduced pollution. Furthermore he argues that decentralization can increase corporate decision-making centres and have a clearer idea of the context in which they labour, especially relationships between decision-makers and local resources. In this sense Oliver (2006) also argues that the discourse on sustainability is too oriented at the cities scale, requiring the implementation of decentralization policies in economies that contribute to the regeneration of rural areas. The redevelopment of these areas could be a way to stop the expansion of cities.

In order to promote and implement this kind of intent it is necessary to involve the local authorities. Each site has its own idiosyncrasies that must be taken into consideration in the definition of specific policies adapted to its context (Dumreicher & Kolb 2008). Supporting sustainable local development means also preserving a cultural heritage of construction knowledge inherent to regions.

#### 4 CONCLUSIONS

In the past, due to lack of technological solutions capable of producing more advanced materials and to transport them over long distances, materials used in vernacular constructions had low-tech profile and were restricted to those available on sites. These were mostly natural, had low processing, low embodied energy and consequently reduced environmental impacts. In contrast, today's technology allows the production of hi-tech materials, available on global scale, although usually requiring an energy-intensive processing. In addition, centralized production of these materials implies large energy requirements for transportation, from the extraction point of raw materials to the distribution of finished products. Taking into consideration that traditional materials are closely related to local conditions and have significantly less environmental impacts and embodied energy than current construction materials, their use means a potential to reduce impacts throughout the life-cycle of buildings, in a "cradle-to-grave" approach and, in some cases, a "cradle-to-cradle" approach.

Analysing the abovementioned vernacular examples it is noticeable that the plurality of the Portuguese territory offers a profuse expression of different vernacular building materials. These examples illustrate a close relationship with the characteristics of the sites (lithology, climate, crops and forest cover) where they are used. Materials and techniques used in Portuguese vernacular architecture have potential for contributing positively to the sustainability of the built environment. Nevertheless, there is still a lack of information regarding Portuguese context on this subject. Therefore, although vernacular construction materials are perceived to be more environmental friendly, there are no scientific-based studies that prove this better environmental performance. So, more studies are needed to interpret and understand the best vernacular techniques so that they can be improved and transposed to contemporaneity, in order to be scientifically validated.

ed, giving them credibility and encouraging their use among the stakeholders in the building sector.

Thus, to achieve sustainability, architecture should seek integration between tradition and contemporaneity, using the best of both in technologies and materials. Beyond the environmental issues, promoting the use of local materials may have a positive impact on local social and economical developments. It is up to designers to use their creativity to improve and adapt these techniques to new functional building requirements.

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