

# A note on preservation of strong normalisation in the $\lambda$ -calculus

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## Abstract

An auxiliary notion of reduction  $\rho$  on the  $\lambda$ -terms preserves strong normalisation if all strongly normalising terms for  $\beta$  are also strongly normalising for  $\beta \cup \rho$ . We give a sufficient condition for  $\rho$  to preserve strong normalisation. As an example of application, we check easily the sufficient condition for Regnier's  $\sigma$ -reduction rules and the "assoc"-reduction rule inspired by calculi with let-expressions. This gives the simplest proof so far that the union of all these rules preserves strong normalisation.

## 1 Introduction

The study of auxiliary notions of reduction in the  $\lambda$ -calculus arises in different contexts and with diverse motivations (see e.g. [6]). A context where auxiliary notions of reduction are natural is in the study of translations from, or into, the  $\lambda$ -calculus. When the  $\lambda$ -calculus is the source of the translation, we may need to modify the equality generated by  $\beta$ , in order to characterize when two terms have the same image. This is the origin of the  $\sigma$ -rules of Regnier [10] (for a translation of the  $\lambda$ -calculus into proof nets), or the  $A$ -rules of Sabry-Felleisen [11] (for a CPS-translation), just to give two examples.

On the other hand, when the  $\lambda$ -calculus is the target of some translation, we may wish to simulate the reductions of the source calculus. For a number of related translations [2, 8, 4], based on the simple idea of translating as  $\beta$ -redexes a number of related constructors (let-expressions, generalised applications, explicit substitutions), a single set of auxiliary notions of reduction suffices in the target, in addition to  $\beta$ , for the purposes of simulation: it is the set consisting of rules named  $\pi_1$  and  $\pi_2$  in [4]. The first rule is nothing but one of the  $\sigma$ -rules,

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named  $\sigma_1$  here, and, simultaneously, a particular case of one of the  $A$ -rules of [11] - the rule named  $\beta_{ift}$ . On the other hand, rule  $\pi_2$  (named  $\theta_3$  and  $\beta'$  in [6] and [2] resp.) is a mild generalisation of a rule sometimes called **assoc** [8, 7]; and the latter, in turn, is another particular case of  $\beta_{ift}$ , and also a mere translation into the ordinary syntax of the  $\lambda$ -calculus of the “associativity” of let-expressions, a rule of Moggi’s computational  $\lambda$ -calculus [9].

Whether  $\rho$  is  $\sigma$ , or  $\pi := \pi_1 \cup \pi_2$ , or other auxiliary notion of reduction, it is often desirable that all the  $\lambda$ -terms strongly normalising for  $\beta$  remain so for  $\beta \cup \rho$ . When this happens we say that  $\rho$  *preserves strong normalisation*. For instance, if the translation  $f : \mathcal{S} \rightarrow \lambda$  sends typable expressions of the system  $\mathcal{S}$  to typable  $\lambda$ -terms, and if  $f$  sends reduction steps of the source to non-empty  $\beta\rho$ -reduction sequences in the  $\lambda$ -calculus, then preservation of strong normalisation by  $\rho$  entails that all typable expressions of  $\mathcal{S}$  are strongly normalising.

In this note we prove a sufficient condition for an *arbitrary* notion of reduction  $\rho$  to preserve strong normalisation. Then, as an example of application, we check the sufficient condition for  $\sigma \cup \pi$ . The sufficient condition is the conjunction of three restrictions: (i)  $\rho$  is “substitutive” and “variable-preserving”, which is a very mild requirement, trivial to check; (ii)  $\rho$  is itself strongly normalising, which is often known and/or easy; (iii) a certain property holds of weak head  $\rho$ -reduction. The proof that this conjunction of requirements is indeed sufficient relies on a single technical argument, showing roughly that once (iii) is true, the property mentioned in (iii) holds of full  $\rho$ -reduction. For the particular case of  $\sigma \cup \pi$ , (i) is immediate and (ii) is essentially known; it remains the verification of (iii), which is straightforward and short.

The rest of this note is organised as follows. Section 2 fixes notation and terminology. Section 3 proves the sufficient condition. Section 4 applies the sufficient condition to the notion of reduction  $\sigma \cup \pi$ . Section 5 reviews the literature and concludes.

## 2 Background

The set of  $\lambda$ -terms is denoted  $\Lambda$ , and ranged over by  $M, N, P, Q, L, R$ .  $\vec{Q}$  ranges over (possibly empty) sequences of  $\lambda$ -terms. If, say,  $\vec{Q} = N_1, N_2$ , we denote by  $M\vec{Q}$  the  $\lambda$ -term  $MN_1N_2$ . If  $\vec{Q}$  is the empty sequence (denoted  $-$ ) then  $M\vec{Q}$  denotes  $M$ .  $FV(M)$  denotes the of variables with free occurrences in  $M$ . Barendregt’s variable convention is adopted. Substitution is written  $[N/x]M$ . The size of  $\lambda$ -term  $M$ , denoted  $|M|$ , is defined as follows:  $|x| = 1$ ;  $|\lambda x.M| = 1 + |M|$ ;  $|MN| = 1 + |M| + |N|$ .

A notion of reduction, or reduction rule,  $\rho$  is a binary relation on  $\Lambda$ .  $M \mapsto_\rho N$  ( $\rho$ -reduction at root position) means  $(M, N) \in \rho$ . For instance,  $\beta$  is the notion of reduction

$$(\lambda x.M)N \mapsto [N/x]M .$$

The other notions of reduction considered in this paper are:

$$\begin{array}{llll}
(\pi_1/\sigma_1) & (\lambda x.M)NP & \mapsto & (\lambda x.MP)N & (x \notin FV(P)) \\
(\sigma_2) & (\lambda x.\lambda y.M)N & \mapsto & \lambda y.(\lambda x.M)N & (y \notin FV(N)) \\
(\pi_2) & M((\lambda x.P)N) & \mapsto & (\lambda x.MP)N & (x \notin FV(M))
\end{array}$$

We allow two different names for the first rule. Let  $\sigma = \sigma_1 \cup \sigma_2$  and  $\pi = \pi_1 \cup \pi_2$ .  $\sigma$  is introduced in [10],  $\pi$  is studied in [4] as a set of rules for “delaying” a “substitution”  $(\lambda x.\_)N$ . A particular case of  $\pi_2$  is

$$(\text{assoc}) \quad (\lambda y.Q)((\lambda x.P)N) \mapsto (\lambda x.(\lambda y.Q)P)N \quad (x \notin FV(Q))$$

which is a translation of the “associativity” of let-expressions [9]<sup>1</sup>

$$\text{let } y = (\text{let } x = N \text{ in } P) \text{ in } Q \mapsto \text{let } x = N \text{ in } (\text{let } y = P \text{ in } Q) \quad (x \notin FV(Q))$$

Given  $\rho$  notion of reduction,  $\rightarrow_\rho$  denotes  $\rho$ -reduction, that is, the compatible closure of  $\rho$ .  $\rightarrow_\rho^n$  (resp.  $\rightarrow_\rho^*$ ) denotes the  $n$ -fold self-composition (resp. the reflexive-transitive closure) of  $\rightarrow_\rho$ . It is an exercise to see that  $\rightarrow_\rho^*$  is the same relation as the reflexive-transitive-compatible closure of  $\rho$ .  $M \rightarrow_{wh\rho} N$  ( $M$  weak head  $\rho$ -reduces to  $N$ ) is defined by: there are  $L, R, \vec{Q} \in \Lambda$  such that  $M = L\vec{Q}$ ,  $N = R\vec{Q}$ , and  $L \mapsto_\rho R$ . Given another notion of reduction  $\rho'$ , we usually write  $\rho\rho'$  instead of  $\rho \cup \rho'$ .

A reduction sequence  $M = M_0 \rightarrow_\rho M_1 \rightarrow_\rho M_2 \rightarrow_\rho \dots$  (finite or infinite) is said a  $\rho$ -reduction sequence from  $M$ . We say that  $M$  is strongly normalising for  $\rho$  (abbreviated  $M$  is  $\rho$ -SN, or  $M \in \rho\text{-SN}$ ), if all  $\rho$ -reduction sequences from  $M$  are finite. We say that  $\rightarrow_\rho$  is strongly normalising (abbreviated  $\rightarrow_\rho$  is SN) if  $M$  is  $\rho$ -SN, for all  $M$ .

Let  $\|M\|_\rho : \Lambda \rightarrow \omega + 1$  be defined by:  $\|M\|_\rho$  is the length of the longest  $\rho$ -reduction sequence from the term  $M$ , if  $M$  is  $\beta$ -SN; and  $\|M\|_\rho = \omega$ , otherwise ( $\omega + 1$  is the ordinal  $\{0, 1, 2, \dots, \omega\}$ )<sup>2</sup>.

**Definition 1** *A notion of reduction  $\rho$  preserves strong normalisation if it holds that:  $M$  is  $\beta$ -SN iff  $M$  is  $\beta\rho$ -SN.*

Perpetual reduction  $\rightarrow_B$  is the binary relation on  $\Lambda$  inductively defined by

<sup>1</sup>Here is a guide for the name of these rules in the literature:

Regnier [10]	Kfour-Wells [6]	Lengrand [7]	David [3]	This paper
$\sigma(1)$	$\theta_1$	-	$\gamma$	$\pi_1/\sigma_1$
$\sigma(2)$	$\gamma$	-	$\delta$	$\sigma_2$
-	$\theta_3$	-	<b>assoc</b>	$\pi_2$
-	-	<b>assoc</b>	-	<b>assoc</b>

Notice that this use of the name  $\sigma$  is inconsistent with its use in the explicit substitution literature, e.g. [4].

<sup>2</sup>In [4, 5],  $\|M\|_\rho$  is defined only for  $\rho$ -SN terms.

$$\begin{array}{c}
\frac{N \rightarrow_B N'}{\lambda x.N \rightarrow_B \lambda x.N'} \\
\\
\frac{N_i \rightarrow_B N'_i}{xN_1 \cdots N_{i-1}N_i\vec{Q} \rightarrow_B xN_1 \cdots N_{i-1}N'_i\vec{Q}} \quad (i) \\
\\
\frac{}{(\lambda x.M)N\vec{Q} \rightarrow_B ([N/x]M)\vec{Q}} \quad (ii) \\
\\
\frac{N \rightarrow_B N'}{(\lambda x.M)N\vec{Q} \rightarrow_B (\lambda x.M)N'\vec{Q}} \quad (iii)
\end{array}$$

Provisos: (i)  $i \geq 1$  and  $\forall 1 \leq j < i$   $N_j$   $\beta$ -nf. (ii)  $x \in FV(M)$  or  $N$  a  $\beta$ -nf. (iii)  $x \notin FV(M)$  and  $N$  not a  $\beta$ -nf.

It is easy to see that  $\rightarrow_B$  is actually a partial function, which we name  $B$ , such that  $B(M)$  is undefined iff  $M$  is a  $\beta$ -nf.  $\|M\|_B$  denotes the Barendregt's norm of  $M$ , that is, the length of the perpetual reduction sequence from  $M$ , if  $M \in \beta - SN$ ; or  $\omega$ , otherwise.  $\rightarrow_B$  is important because of two properties: (i)  $M$  is  $\beta$ -SN iff the perpetual reduction from  $M$  is finite [1]; (ii)  $\|M\|_\beta = \|M\|_B$  [10, 12]. When this norm is meant, we may drop the subscript.

### 3 Sufficient condition for PSN

We say that:

- $\rho$  is *substitutive* if  $L \mapsto_\rho R$  implies, for all  $N \in \Lambda$ ,  $[N/x]L \mapsto_\rho [N/x]R$ .
- $\rho$  is *variable-preserving* if  $M \mapsto_\rho N$  implies  $FV(M) = FV(N)$ .

It is routine to show that, for  $\rho$  substitutive, if  $L \rightarrow_\rho R$  then, for all  $N \in \Lambda$ ,  $[N/x]L \rightarrow_\rho [N/x]R$  and  $[L/x]N \rightarrow_\rho^* [R/x]N$ . On the other hand, it is clear that, if  $\rho$  is variable-preserving, then  $M \rightarrow_\rho N$  implies  $FV(M) = FV(N)$ .

We need the following abbreviation:  $\phi(L, R, \vec{Q})$  iff there are  $M \in \Lambda$  and natural numbers  $m \geq n \geq 0$  such that  $L\vec{Q} \rightarrow_B^m M$  and  $R\vec{Q} \rightarrow_B^n M$ . If  $\vec{Q}$  is empty, we may write  $\phi(L, R)$  instead of  $\phi(L, R, -)$ .

The crucial part of our sufficient condition for PSN is the following condition over  $\rho$ :

$$\forall L, R, \vec{Q} \in \Lambda \cdot (L\vec{Q} \in \beta - SN \ \& \ L \mapsto_\rho R) \Rightarrow \phi(L, R, \vec{Q}) \quad (1)$$

This condition is equivalent to

$$(M \in \beta - SN \ \& \ M \rightarrow_{wh\rho} N) \Rightarrow \phi(M, N) \ ,$$

which obviously entails that weak head  $\rho$ -reduction does not increase the norm  $\|-\|$ , that is

$$M \rightarrow_{wh\rho} N \Rightarrow \|M\| \geq \|N\| .$$

What is not so obvious is that, if condition (1) holds, then full  $\rho$ -reduction does not increase the norm  $\|\cdot\|$ :

$$M \rightarrow_{\rho} N \Rightarrow \|M\| \geq \|N\| .$$

**Theorem 1 (Sufficient condition for PSN)** *Let  $\rho$  be a substitutive, variable-preserving notion of reduction, satisfying condition (1). Then  $\rho$ -reduction does not increase  $\|\cdot\|_{\beta}$ ; in addition, if  $\rightarrow_{\rho}$  is SN, then  $\rho$  preserves strong normalisation.*

**Proof:** All there is to prove is that  $\rho$  satisfies

$$\forall L, R, \vec{Q} \in \Lambda \cdot (L\vec{Q} \in \beta - SN \ \& \ L \rightarrow_{\rho}^* R) \Rightarrow \phi(L, R, \vec{Q}) . \quad (2)$$

Indeed, from this it follows that  $\rho$ -reduction does not increase  $\|\cdot\|_{\beta}$ . In addition, if  $\rightarrow_{\rho}$  is SN and  $M$  is  $\beta$ -SN, then we conclude, by induction on  $(\|M\|_{\beta}, \|M\|_{\rho})$ , that all  $\beta\rho$ -reduction sequences from  $M$  are finite (since  $\rho$ -reduction does not increase  $\|\cdot\|_{\beta}$ ).

So we finish by proving (2). Suppose  $L\vec{Q} \in \beta - SN$  and  $L \rightarrow_{\rho}^* R$ . We prove  $\phi(L, R, \vec{Q})$ , that is, we want to exhibit  $M \in \Lambda$  and natural numbers  $m \geq n \geq 0$  such that  $L\vec{Q} \rightarrow_{\beta}^m M$  and  $R\vec{Q} \rightarrow_{\beta}^n M$ . The proof is by induction on  $\|L\vec{Q}\|$  and sub-induction on  $L \rightarrow_{\rho}^* R$ . Cases according to the last closure rule used in deriving  $L \rightarrow_{\rho}^* R$ .

First case:  $L \mapsto_{\rho} R$ .  $\phi(L, R, \vec{Q})$  follows from (1).

Second case:  $L = R$ .  $\phi(L, R, \vec{Q})$  is proved by taking  $M \equiv L\vec{Q} \equiv R\vec{Q}$  and  $m = n = 0$ .

Third case:  $L \equiv L_0Q_0 \rightarrow_{\rho}^* R_0Q_0 \equiv R$ , with  $L_0 \rightarrow_{\rho}^* R_0$ .  $\|L\vec{Q}\| = \|L_0Q_0\vec{Q}\|$ . By sub-IH, one obtains  $\phi(L_0, R_0, Q_0\vec{Q})$ , which is equivalent to  $\phi(L, R, \vec{Q})$ .

Fourth case:  $L \equiv \lambda x.L_0 \rightarrow_{\rho}^* \lambda x.R_0 \equiv R$ , with  $L_0 \rightarrow_{\rho}^* R_0$ . There are two sub-cases.

- First subcase:  $\vec{Q}$  is empty.  $\|\lambda x.L_0\| = \|L_0\|$ . By sub-IH,  $\phi(L_0, R_0, -)$ , that is, there are  $M_0 \in \Lambda$  and  $m \geq n \geq 0$  such that  $L_0 \rightarrow_{\beta}^m M_0$  and  $R_0 \rightarrow_{\beta}^n M_0$ . But then  $\lambda x.L_0 \rightarrow_{\beta}^m \lambda x.M_0$  and  $\lambda x.R_0 \rightarrow_{\beta}^n \lambda x.M_0$ . So it suffices to take  $M = \lambda x.M_0$ .
- Second sub-case:  $\vec{Q} = Q_0\vec{P}$ , say. By variable-preservation,  $FV(L_0) = FV(R_0)$ . Then

$$(\lambda x.L_0)Q_0\vec{P} \rightarrow_{\beta}^k ([Q'_0/x]L_0)\vec{P} \text{ and } (\lambda x.R_0)Q_0\vec{P} \rightarrow_{\beta}^k ([Q'_0/x]R_0)\vec{P} \quad (*)$$

where  $k$  is 1 and  $Q'_0 = Q_0$  (resp.  $k$  is  $1 + \|Q_0\|$  and  $Q'_0$  is the  $\beta$ -nf of  $Q_0$ ) if  $x \in FV(L_0)$  (resp.  $x \notin FV(L_0)$ ). In addition,  $\|(\lambda x.L_0)Q_0\vec{P}\| >$

$\|([Q'_0/x]L_0)\vec{P}\|$ , and  $([Q'_0/x]L_0)\vec{P} \rightarrow_\rho^* ([Q'_0/x]R_0)\vec{P}$  by substitutivity. By IH,  $\phi(([Q'_0/x]L_0), ([Q'_0/x]R_0), \vec{P})$  holds. From this fact and (\*) it follows that  $\phi(\lambda x.L_0, \lambda x.R_0, Q_0\vec{P})$

Fifth Case:  $L \equiv PL_0 \rightarrow_\rho^* PR_0 \equiv R$ , with  $L_0 \rightarrow_\rho^* R_0$ . There are two subcases.

- First subcase: the  $\beta$ -nf of  $P$  is  $xN_1 \cdots N_q$ , with  $q \geq 0$  and each  $N_i$   $\beta$ -nf. Then, for some  $k$ ,

$$PL_0\vec{Q} \rightarrow_B^k xN_1 \cdots N_q L_0\vec{Q} \text{ and } PR_0\vec{Q} \rightarrow_B^k xN_1 \cdots N_q R_0\vec{Q} \quad (*)$$

Notice that  $\|PL_0\vec{Q}\| \geq \|L_0\|$ . So, by IH or sub-IH,  $\phi(L_0, R_0, -)$  holds, whence  $\phi(xN_1 \cdots N_q L_0, xN_1 \cdots N_q R_0, \vec{Q})$ . From this fact and (\*) follows  $\phi(PL_0, PR_0, \vec{Q})$ .

- Second subcase: the  $\beta$ -nf of  $P$  is an abstraction. Then, for some  $k, x$ , and  $P_0$ ,

$$PL_0\vec{Q} \rightarrow_B^k (\lambda x.P_0)L_0\vec{Q} \text{ and } PR_0\vec{Q} \rightarrow_B^k (\lambda x.P_0)R_0\vec{Q} \quad (**)$$

Next we face a further, and last, bifurcation.

(i)  $x \notin FV(P_0)$ . Similarly to the first sub-case, we conclude, from IH or sub-IH, that  $\phi(L_0, R_0, -)$  holds. Then  $\phi((\lambda x.P_0)L_0, (\lambda x.P_0)R_0, \vec{Q})$ . From this fact and (\*\*) follows  $\phi(PL_0, PR_0, \vec{Q})$ .

(ii)  $x \in FV(P_0)$ . From (\*\*) we get

$$PL_0\vec{Q} \rightarrow_B^{k+1} ([L_0/x]P_0)\vec{Q} \text{ and } PR_0\vec{Q} \rightarrow_B^{k+1} ([R_0/x]P_0)\vec{Q} \quad (***)$$

Now  $\|PL_0\vec{Q}\| > \|([L_0/x]P_0)\vec{Q}\|$  and  $([L_0/x]P_0)\vec{Q} \rightarrow_\rho^* ([R_0/x]P_0)\vec{Q}$  by substitutivity. So, by IH,  $\phi(([L_0/x]P_0), ([R_0/x]P_0), \vec{Q})$  holds. From this fact and (\*\*\*) follows  $\phi(PL_0, PR_0, \vec{Q})$ .

Sixth, and last, case: there is  $P$  such that  $L \rightarrow_\rho^* P$  and  $P \rightarrow_\rho^* R$ . By sub-IH, there are  $M_1 \in \Lambda$  and natural numbers  $m_1 \geq n_1 \geq 0$  such that  $L\vec{Q} \rightarrow_B^{m_1} M_1$  and  $P\vec{Q} \rightarrow_B^{n_1} M_1$ . So  $P\vec{Q}$  is  $\beta$ -SN and  $\|L\vec{Q}\| \geq \|P\vec{Q}\|$ . Hence, by IH or sub-IH, there are  $M_2 \in \Lambda$  and natural numbers  $m_2 \geq n_2 \geq 0$  such that  $P\vec{Q} \rightarrow_B^{m_2} M_2$  and  $R\vec{Q} \rightarrow_B^{n_2} M_2$ . From  $P\vec{Q} \rightarrow_B^{n_1} M_1$  and  $P\vec{Q} \rightarrow_B^{m_2} M_2$  and the fact that  $\rightarrow_B$  is a function, we see that there are three subcases:

- First subcase:  $n_1 > m_2$  and  $M_2$  is a term in the reduction sequence  $P\vec{Q} \rightarrow_B^{n_1} M_1$ . Take  $M = M_1$ ,  $m = m_1$  and  $n = n_2 + (n_1 - m_2)$ . Then  $m_1 \geq n_1 = m_2 + (n_1 - m_2) \geq n_2 + (n_1 - m_2) = n$ .

- Second subcase:  $n_1 = m_2$  and  $M_1 = M_2$ . Take  $M = M_1 = M_2$ ,  $m = m_1$ , and  $n = n_2$ . Then  $m = m_1 \geq n_1 = m_2 \geq n_2 = n$ .
- Third subcase:  $n_1 < m_2$  and  $M_1$  is a term in the reduction sequence  $P\vec{Q} \xrightarrow{m_2}_B M_2$ . Take  $M = M_2$ ,  $m = m_1 + (m_2 - n_1)$ , and  $n = n_2$ . Then  $m = m_1 + (m_2 - n_1) \geq n_1 + (m_2 - n_1) = m_2 \geq n_2 = n$ . ■

## 4 Example

Here we exemplify the use of Theorem 1 for  $\sigma \cup \pi$ .

**Proposition 1 (Sufficient condition for  $\sigma \cup \pi$ )**

1.  $\sigma \cup \pi$  is substitutive and variable preserving.
2.  $\rightarrow_{\sigma\pi}$  is SN.
3.  $\sigma \cup \pi$  satisfies condition (1).

**Proof:** 1. Immediate.

2. We extend to  $\sigma\pi = \sigma\pi_2$  the proof for  $\pi = \pi_1\pi_2$  in [4]. The argument is the same, let us repeat it. Strong normalisation of  $\rightarrow_\sigma$  is in [10]. Define  $w(M)$ , the *weight* of a  $\lambda$ -term  $M$ , as follows:  $w(x) = 0$ ;  $w(\lambda x.M) = w(M)$ ;  $w(MN) = |N| + w(M) + w(N)$ . It holds that, if  $M \rightarrow_\sigma N$ , then  $w(M) = w(N)$  and  $|M| = |N|$ ; and that, if  $M \rightarrow_{\pi_2} N$ , then  $w(M) > w(N)$  and  $|M| = |N|$ . The proofs are by induction on  $M \rightarrow_\sigma N$  and  $M \rightarrow_{\pi_2} N$ , respectively (the statements about size are induction loading). Finally, one proves that all  $\sigma\pi_2$ -reduction sequences from  $M$  are finite by induction on  $(w(M), \|M\|_\sigma)$ .

3. Let us prove the condition for each of  $\sigma_1$ ,  $\sigma_2$ , and  $\pi_2$ .

**Case  $\sigma_1$ :** Let  $Q_0 = L\vec{Q} = (\lambda x.M)NP\vec{Q}$  be  $\beta$ -SN and  $Q_1 = R\vec{Q} = (\lambda x.MP)N\vec{Q}$ . Let  $k$  be either  $\|N\|$ , if  $x \notin FV(M)$ ; or 0, otherwise. Then  $Q_i \xrightarrow{B}^{k+1} ([N/x]M)P\vec{Q}$ ,  $i = 0, 1$ . So  $\phi(L, R, \vec{Q})$ .

**Case  $\sigma_2$ :** Let  $Q_0 = L\vec{Q} = (\lambda x.\lambda y.M)N\vec{Q}$  be  $\beta$ -SN and  $Q_1 = R\vec{Q} = (\lambda y.(\lambda x.M)N)\vec{Q}$ . There are two subcases.

First sub-case:  $\vec{Q} = -$ . Let  $k$  be either  $\|N\|$ , if  $x \notin FV(M)$ ; or 0, otherwise. Then  $Q_i \xrightarrow{B}^{k+1} (\lambda y.[N/x]M)$ ,  $i = 0, 1$ . So  $\phi(L, R)$ .

Second sub-case:  $\vec{Q} = Q\vec{P}$ , say. Let  $k$  and  $l$  be defined as follows. If  $x \notin FV(M)$ , then  $k = \|N\|$ ; otherwise,  $k = 0$ . If  $y \notin FV(M)$ , then  $l = \|Q\|$ ; otherwise,  $l = 0$ .  $\phi(L, R, \vec{Q})$  is verified as follows:

$$\begin{aligned}
Q_0 &= (\lambda x.\lambda y.M)NQ\vec{P} \\
&\xrightarrow{B}^{k+1} (\lambda y.[N/x]M)Q\vec{P} \\
&\xrightarrow{B}^{l+1} ([Q/y][N/x]M)\vec{P} \\
&= ([N/x][Q/y]M)\vec{P},
\end{aligned}$$

where the last equality is by substitution lemma and  $y \notin FV(N)$ .

$$\begin{aligned}
Q_1 &= (\lambda y.(\lambda x.M)N)Q\vec{P} \\
&\xrightarrow{B}^{l+1} (\lambda x.[Q/y]M)N\vec{P} \\
&\xrightarrow{B}^{k+1} ([N/x][Q/y]M)\vec{P} .
\end{aligned}$$

**Case  $\pi_2$ :** Let  $Q_0 = M((\lambda x.P)N)\vec{Q}$  be  $\beta$ -SN and  $Q_1 = (\lambda x.MP)N\vec{Q}$ . The goal is to exhibit  $M_0$  and  $m \geq n \geq 0$  such that  $Q_0 \xrightarrow{B}^m M_0$  and  $Q_1 \xrightarrow{B}^n M_0$ .  $Q_0$  and  $Q_1$  have a common reduct, namely  $M([N/x]P)\vec{Q}$  ( $=MP\vec{Q}$ , if  $x \notin FV(P)$ ), a common reduct to which  $Q_1$  reduces by perpetual reduction. Since the common reduct is a reduct of  $Q_0$ , it is  $\beta$ -SN. So, the perpetual reduction of  $Q_1$  terminates and  $Q_1$  is  $\beta$ -SN as well. In addition,  $Q_0$  and  $Q_1$  have the same  $\beta$ -nf. Let  $M_0$  be this  $\beta$ -nf. Take  $m = \|Q_0\|$  and  $n = \|Q_1\|$ . Hence  $Q_0 \xrightarrow{B}^m M_0$  and  $Q_1 \xrightarrow{B}^n M_0$ . We show  $m \geq n$ . If  $x \notin FV(P)$ , then  $Q_0 \xrightarrow{\beta}^{k+1} MP\vec{Q}$  and  $Q_1 \xrightarrow{B}^{k+1} MP\vec{Q}$ , where  $k = \|N\|$ ; so,  $\|Q_0\| \geq k + 1 + \|MP\vec{Q}\| = \|Q_1\|$ . If, on the other hand,  $x \in FV(P)$ , then  $Q_0 \xrightarrow{\beta} M([N/x]P)\vec{Q}$  and  $Q_1 \xrightarrow{B} M([N/x]P)\vec{Q}$ ; so,  $\|Q_0\| \geq 1 + \|M([N/x]P)\vec{Q}\| = \|Q_1\|$ . ■

**Theorem 2 (PSN for  $\sigma \cup \pi$ )**

1.  $M \rightarrow_{\sigma\pi} N \Rightarrow \|M\| \geq \|N\|$ .
2.  $M \in \beta\sigma\pi - SN \Leftrightarrow M \in \beta - SN$ .

**Proof:** From the previous Proposition and Theorem 1.<sup>3</sup>■

## 5 Final remarks

Preservation of strong normalisation was addressed in several papers [2, 8, 4, 7, 3]. The rule  $\pi_2$  was considered in [2], but only the finiteness of developments for  $\beta \cup \pi_2$  was proved. In [4] preservation of strong normalisation by  $\pi$  is stated, but the proof of one auxiliary result is incomplete<sup>4</sup>. In [8, 7] preservation of strong normalisation by  $\text{assoc} \subset \pi_2$  is considered, but only [7] gives a full proof, by refining the idea of postponing  $\text{assoc}$ -steps. [3] proves that all  $\lambda$ -terms typable in the well known intersection type system  $\mathcal{D}$  are strongly normalising for  $\beta \cup \sigma \cup \pi$ , from which preservation of strong normalisation by  $\sigma \cup \pi$  follows.

<sup>3</sup>A side remark on  $\sigma$ -reduction. Regnier [10] observes that

$$M \rightarrow_{\sigma} N \Rightarrow \|M\| \leq \|N\|$$

is an immediate consequence of the commutation between  $\sigma$  and  $\beta$  (Corollary 3.5 in [10]), but obtains the other inequality only after a quite complex argument. The other inequality is contained in the first statement of this theorem.

<sup>4</sup>That's Proposition 6 on page 173, saying that  $\pi$ -reduction does not increase the norm  $\| \cdot \|_{\beta}$ . The author thanks Stéphane Lengrand for pointing this out to him. The present paper, in particular, closes this gap. A preliminary version of the present paper was made publicly available in the author's web page [5]. The author also thanks Ralph Matthes for comments on [5].



In this paper we offer a generic method for proving preservation of strong normalisation, so that, whenever confronted with a particular reduction rule  $\rho$ , all there is to do is to verify the sufficient condition. It is not discussed in [7, 3] whether the methods of these papers are extensible to other reduction rules. What is clear though is that, for the particular case of  $\rho = \sigma \cup \pi$ , the effort of checking the sufficient condition is much smaller than the effort in [3]. The same remark applies to  $\rho = \text{assoc}$  and [7].

A notable example of notion of reduction which does not satisfy condition (1) is  $\eta$ : just observe that  $\phi(\lambda x.yx, y, -)$  is false. Yet,  $\eta$  preserves strong normalisation. This follows easily from termination of  $\rightarrow_\eta$  and a result of postponement: if  $M \rightarrow_\eta N \rightarrow_\beta P$ , then there is  $Q$  such that  $M \rightarrow_\beta^+ Q \rightarrow_\eta^* P$ ; the latter, in turn, is easily proved by induction on  $M \rightarrow_\eta N$ . Incidentally, we have just seen that our condition for PSN, albeit sufficient, is not necessary.

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