

1 The use of genetically modified *Saccharomyces cerevisiae* strains in the wine industry

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22 **Abstract**

23 During the last decades, science and food technology have contributed at an accelerated rate
24 to the introduction of new products to satisfy nutritional, socio-economic and quality
25 requirements. With the emergence of modern molecular genetics, the industrial importance of
26 *Saccharomyces cerevisiae*, continuously extended. The demand for suitable genetically
27 modified (GM) *S. cerevisiae* strains for the biofuel, bakery and beverage industries or for the
28 production of biotechnological products (e.g. enzymes, pharmaceutical products) will be
29 continuously growing in the future.

30 Numerous specialized *S. cerevisiae* wine strains were obtained in the last years, possessing a
31 wide range of optimized or novel oenological properties, capable to satisfy the demanding
32 nature of modern winemaking practice. Unlocking the transcriptome, proteome and
33 metabolome complexities contributes decisively to the knowledge about the genetic make-up
34 of commercial yeast strains and will influence wine strain improvement by genetic
35 engineering.

36 The most relevant advances regarding the importance and implications of the use of
37 genetically modified yeast strains in the wine industry are discussed in this Mini-Review,
38 considering a variety of aspects such as the strategies used for the construction of the strains
39 with respect to current legislation requirements, environmental risk evaluations concerning
40 the deliberate release of genetically modified yeast strains, methods for the detection of
41 recombinant DNA and protein that are currently under evaluation, and the reasons for the
42 critical public perception towards the application of such strains.

43 **Introduction**

44 The inoculation of selected pure yeast cultures into must is an oenological practice established
45 since the seventies, in order to produce wine with desirable organoleptical characteristics and
46 to guarantee the homogeneity of successive vintages. Nowadays, most of the European wine
47 production relies on the use of such commercial starter yeasts that were selected mainly due
48 to their good fermentation performance. Extensive biogeographical surveys over years and the
49 evaluation of the fermentative flora of a given viticultural region of were the point of
50 departure for further strain selection and improvement programs. However, the natural
51 availability of yeast strains possessing an ideal combination of oenological characteristics is
52 improbable. In the years following the publication of the *S. cerevisiae* genome sequence
53 (Goffeau et al. 1996), new genetic tools turned the construction of genetically modified wine
54 yeast (GMY) strains a great challenge. Currently, numerous research laboratories worldwide
55 have obtained engineered strains, capable of improving for example processing efficiency,
56 fermentation performance and wine's sensory quality. Their performance under oenological
57 conditions has also been extensively evaluated. A future introduction of genetically modified
58 wine yeast (GMY) also requires, in agreement with current legislation, a detailed safety and
59 environmental impact evaluation and strains obtained by self-cloning, based on the use of
60 host-derived genetic material, are most likely to receive approval. However, the critical
61 attitudes of consumers towards the use of genetically modified yeasts for wine production has
62 not changed significantly during the last 10 years, and are the most relevant reason for the
63 absence of recombinant strains in the wine industry.

64 The present paper makes a global analysis of recent advances regarding the importance and
65 implications of the use of genetically modified yeast strains in the wine industry, considering
66 a variety of aspects such as the strategies used for the construction of the strains with respect

67 to current legislation requirements, environmental risk evaluations concerning the deliberate
68 release of GMY strains, most relevant and sensitive methods for the detection of recombinant
69 DNA and protein, and the reasons for the critical attitudes of consumers towards the
70 application of such strains.

71

72 **Selection of commercial wine yeast strains**

73 Recent findings showed that residues inside one of the earliest known wine jars from Egypt
74 contained ribosomal DNA from *S. cerevisiae*, indicating that this yeast was responsible for
75 wine fermentation by at least 3150 B.C. (Cavalieri et al. 2003). Selection for millennia of
76 wine-making may have created unique and interesting oenological traits, but they are not
77 widely distributed, nor can be found in combination in one strain. Clonal selection of wild
78 *Saccharomyces* strains isolated from natural environments belonging to the viticultural areas
79 of interest is always the starting point for a wine yeast selection program. Selected yeast
80 starters are nowadays widely used since they possess very good fermentative and oenological
81 capabilities, contributing to both standardization of fermentation process and wine quality.
82 Currently, about 150 different wine yeast strains, mainly *S. cerevisiae*, are commercially
83 available. Considering the current trend towards the production of high quality wines with
84 distinctive and very characteristic properties, the wine-makers demand “special yeasts for
85 special traits” still remains to be satisfied (Mannazzu et al. 2002, Pretorius 2000, Romano et
86 al. 2003b).

87 Definition of the appropriate selection strategy should always depend on the traits that a wine
88 strain is supposed to harbor and the number of strains to be screened. The numerous
89 compounds synthesized can vary greatly between *S. cerevisiae* strains, in particular within

90 different yeast species. As summarized in Table 1, numerous oenological characteristics were
91 proposed to be evaluated. Technologically relevant data can be obtained by monitoring the
92 fermentation progress, and quantitative traits are determined by chemical analysis at the end
93 of fermentation.

94 Finding wine yeast strains possessing an ideal combination of oenological characteristics is
95 highly improbable and therefore strain selection was extended to non-*Saccharomyces* yeasts,
96 e.g. *Candida*, *Kloeckera*, *Debaryomyces*, *Hanseniaspora*, *Hansenula*, *Pichia*, *Metschnikowia*,
97 *Schizosaccharomyces*, *Saccharomyces* or *Rhodotorula*. Although non-*Saccharomyces*
98 species lack competitiveness in oenological conditions mainly because they are not
99 vigorously fermenting and display a lower stress resistance when compared to *S. cerevisiae*,
100 the use of mixed starter cultures or sequential fermentation (e.g. *C. cantarellii*/*S. cerevisiae*)
101 for directing fermentations towards enhanced glycerol and reduced acetic acid production has
102 been successfully used (Toro and Vazquez 2002). The yeasts *Torulaspota delbrueckii* and
103 *Candida stellata* are considered to be positive contributors to the overall organoleptic wine
104 characteristics, while apiculate yeasts such as *Kloeckera apiculata* have a negative influence
105 on wine quality due to pronounced acetic acid and ethyl acetate formation associated with low
106 ethanol production (Ciani and Maccarelli 1998).

107 Countless references report the beneficial and detrimental influence of non-*Saccharomyces*
108 yeasts on the volatile composition of musts from varying grape varieties (Ciani and
109 Maccarelli 1998, Clemente-Jimenez et al. 2004, Granchi et al. 2002, Mingorance-Cazorla et
110 al. 2003, Plata et al. 2003, Romano et al. 2003c), and considerable differences regarding these
111 compounds were also found among commercial or autochthonous *S. cerevisiae* strains (Patel
112 and Shibamoto 2003, Romano et al. 2003a, Steger and Lambrechts 2000).

113 Non-*Saccharomyces* strains produce and secrete several enzymes e.g. pectinase (increases
114 juice extraction, improves clarification and facilitates wine filtration), β -glycosidases
115 (hydrolyse non-volatile glycosidic aromatic precursors from the grape) proteases (improve
116 clarification process), esterases (contribute to aroma compound formation) or lipase (degrade
117 lipids from grape or yeast autolytic reactions), interacting with grape-derived precursor
118 compounds, contributing thus to reveal the varietal aroma and improve the winemaking
119 process (Esteve-Zarzoso et al. 1998, Fernandez et al. 2000, Fleet and Heard 1993, Otero et al.
120 2003). *S. cerevisiae* is not a significant producer of enzymes with relevance in wine
121 production, being mainly β -glycosidase production reported for this species (Restuccia et al.
122 2002, Rodriguez et al. 2004). Non-*Saccharomyces* yeasts are commercially available, for
123 example immobilized *Schizosaccharomyces pombe* cells (ProMalic, commercialized by
124 PROENOL) for the deacidification of must by malic acid consumption (Silva et al. 2003).

125

126 **Genetic engineering of *S. cerevisiae* wine yeast strains**

127 Due to the demanding nature of modern winemaking practice, there is a continuously growing
128 quest for specialized *S. cerevisiae* strains possessing a wide range of optimized or novel
129 oenological properties. Genetic improvement of industrial strains by classical genetics (e.g.
130 mutagenesis or protoplast fusion) was followed in the last 20 years by the use of recombinant
131 DNA technologies. The publication of the complete *S. cerevisiae* genome (Goffeau et al.
132 1996), together with a growing arsenal of recombinant DNA technologies led to major
133 advances in the fields of molecular genetics, physiology and biotechnology, and made the
134 construction of specialized commercial strains possible, mainly by heterologous gene
135 expression or by altered gene dosage (overexpression or deletion).

136 The most important targets for strain improvement relate to the improved production
137 technology and quality, such as enhancement of fermentation performance, higher ethanol
138 tolerance, better sugar utilization and nitrogen assimilation, enhanced organoleptical
139 properties through altered sensorial characteristics as summarized by several reviewers
140 (Blondin and Dequin 1998, Dequin 2001, Dequin et al. 2003, Pretorius 2000, Pretorius and
141 Bauer 2002, Pretorius et al. 2003) and shown in table 2.

142 In general, all genetic material used for the construction of microorganisms used for food
143 fermentation should be derived from the host species (self-cloning) or GRAS (generally
144 regarded as safe) organisms with a history of safe food use, while the use of DNA sequences
145 from species taxonomically closely related to pathogenic species should be avoided.
146 Heterologous gene expression was used in most cases, being the genes of interest isolated for
147 example from *Lactobacillus casei* (*LDH*), *Lactobacillus plantarum* (*pdC*), *Bacillus subtilis*
148 (*padC*), *Pediococcus acidilactici* (*pedA*), *Schizosaccharomyces pombe* (*mae1* and *mae2*),
149 hybrid poplar (*4CL216*), grapevine (*vst1*), *Aspergillus* sp. (*egl1*, *abfB*, *xlnA*, *rhaA*) or
150 *Fusarium solani* (*pelA*), being others, such as *ATF1*, *GPD1* or *PGU1* derived from *S.*
151 *cerevisiae* (Table 2).

152 In most cases strong promoters and terminators were used, derived from glycolytic enzymes
153 that are constitutively expressed under fermentative conditions (*ADH1*, *ADH2*, *PGK*) but also
154 from the actin gene (*ACT*). Industrial yeasts usually do not have auxotrophic markers (*LEU2*,
155 *URA2*), therefore the yeast-derived cycloheximide resistance gene *CYH2* or heterologous
156 drug-resistance markers were used such as *ble* (Tn5) or *G418* (Tn903), conferring resistance
157 to phleomycine and geneticine, respectively. Engineering industrial strains with multi-copy
158 shuttle vectors bearing *Escherichia coli* ampiciline resistance and yeast drug-resistance
159 markers is not recommended, since the possibility of DNA transfer to gut microflora is

160 considered remote but existent. Nevertheless, for wine yeast strains this should not be relevant
161 since cells are removed at the end of fermentation. Plasmid-encoded genes should be
162 preferably integrated, since the elements inserted have to be stable in the newly constructed
163 organism, but such approaches were used in few cases (Lilly et al. 2000, Malherbe et al. 2003,
164 Volschenk et al. 2001). One-step gene disruption with auxotrophic markers as performed for
165 the *GPD* gene (Michnick et al. 1997) results in a self-cloning strain, as previously defined
166 (ILSI 1999), a much less problematic approach in terms of acceptability evaluation. Secretion
167 of extracellular proteins, for example the *pedA* - encoding pediocin or *gox*-encoding glucose
168 oxidase, was usually directed by the mating pheromone α factor's secretion signal (*MFa1_s*)
169 (Malherbe et al. 2003, Schoeman et al. 1999).

170 The introduced modifications should not change essential characteristics of the host in the
171 fermentation process. For most genetic modifications it could be shown that apart from the
172 introduced metabolic change, no significant differences were found between wines produced
173 with commercial strain and the corresponding modified strain regarding their oenological
174 characteristics. Contrarily, enhanced glycerol production due to modulated *GPD* expression
175 led to a decreased ethanol yield (1%, v/v) and by-product accumulation such as pyruvate,
176 acetate, acetoin and 2,3-butanediol in consequence of carbon flux redirection (Michnick et al.
177 1997). Deletion of *ALD6* led to reduced acetic acid production (-40-70%) and re-routed the
178 carbon flux towards glycerol, succinate and butanediol (Remize et al. 2000). It was also
179 shown that grape must acidification due to enhanced *LDH* expression and consequent L(+)
180 lactic acid production depends on the *S. cerevisiae* genetic background and also on the grape
181 variety used for must preparation (Dequin et al. 1999). Wines containing 1.8-2.0% less
182 alcohol were obtained from glucose-oxidase overexpressing strains, since this enzyme
183 produced also D-glucono- δ -lactone and gluconic acid from glucose (Malherbe et al. 2003).

184 Recently, a sake yeast strain was approved as self-cloning yeast by the Japanese Government
185 and does not need to be treated as GMY (Akada 2002). A two-step gene replacement was
186 used for the construction of a strain free of bacterial and drug-resistant marker sequences. A
187 point mutation (Gly1250Ser) in the yeast fatty acid synthetase *FAS2* confers cerulenin
188 resistance and is associated with a higher production of the apple-like flavor component ethyl
189 caproate in Japanese sake. A novel counter-selection marker was used, that consisted of a
190 galactose-inducible overexpression promoter and the *GIN11* growth inhibitory sequence
191 (*GALp-GIN11*). Cells that retain the marker do not grow on galactose because of the growth
192 inhibitory effect mediated by *GIN11* overexpression. A plasmid containing the mutated *FAS2*
193 gene, a drug resistance marker and the counter-selectable marker was integrated into the wild-
194 type *FAS2* locus, and the loss of plasmid sequences from the integrants was done by growth
195 on galactose, which is permissive for the loss of *GALp-GIN11*. Counter-selected strains
196 contained either the wild type or the mutated *FAS2* allele, but not the plasmid sequences, and
197 the resulting difference between the described mutant and the corresponding wild type strain
198 is a single base (Akada et al. 1999, Aritomi et al. 2004). The mentioned type of counter-
199 selections can also be used for multiple chromosomal gene introductions, as required for
200 engineering of metabolic pathways. Other strategies, for example site-directed mutagenesis of
201 the sulfite-reductase *MET10* gene were used to develop wine yeast with lowered ability to
202 produce hydrogen sulfide (Sutherland et al. 2003). The allele *LEU4-1* confers resistance to
203 5,5,5-trifluoro-DL-leucine and the corresponding strains produce twice the amount of
204 isoamyl-alcohol in laboratory-scale fermentations as the respective parental strains (Bendoni
205 et al. 1999).

206 *S. cerevisiae* was the first eukaryotic genome sequenced, and will probably become the first
207 organism whose transcriptome, proteome and metabolome complexities will be unlocked.

208 Since many physiological traits are consequences of complicated multigene regulation,
209 understanding the way genes are expressed during wine fermentation will contribute to the
210 knowledge about the genetic make-up of commercial yeast strains and influence wine strain
211 improvement by genetic engineering. The same approaches are the most appropriate to show
212 that the introduced changes are not associated with adverse or unexpected side-effects such as
213 the production of toxic substances.

214 Specific strains may serve in future as a natural gene pool for yeast improvement programs,
215 since linking observed phenotypes with global-expression analysis provides further
216 information that might be useful for the construction of self-cloning yeast strains. Genes could
217 be uncoupled from their regulatory controls and induced only under fermentation-specific
218 conditions. Such *S. cerevisiae* strains could be for example strains possessing β -glycosidase
219 activity (Rodriguez et al. 2004) or the capability to reduce copper content in the must by
220 excessive intracellular accumulation (Brandolini et al. 2002), strains with absent sulphite
221 reductase activity (Mendes-Ferreira et al. 2002, Spiropoulos et al. 2000), or strains producing
222 low amounts of acetic acid (Romano et al. 2003a).

223

224 **Regulations concerning genetically modified organisms for food use**

225 In May 1997 the European Regulation EC258/97 on novel foods and novel food ingredients
226 (EC 1997) came into force and includes within its scope foods and food ingredients
227 containing or consisting of genetically modified organisms (GMO) or produced by genetically
228 modified organisms, whereas these are not present in the food. The safety of a food derived
229 from a genetically modified organism had to be evaluated by comparing it with the most
230 similar food which has a history of safe use. This means that, if a food derived from a GMO is
231 substantially equivalent, it is “as safe as” the corresponding conventional food item and

232 should be treated as such, whereas identified differences are the subject for further
233 toxicological, analytical and nutritional investigations. Detailed knowledge of both the overall
234 characteristics and genetic background of the organisms, the source of the transferred gene(s)
235 and the function of the modified genes is essential for this evaluation. Considering that the
236 final outcome of a genetic modification is based on processes that are controlled by numerous
237 different genes, whereas the function of many genes is still poorly understood, powerful
238 methods for the identification and characterization of unintended effects on a genomic,
239 proteomic and metabolomic scale are currently evaluated for their routine use (Corpillo et al.
240 2004, Kuiper and Kleter 2003, Kuiper et al. 2002).

241 The Novel Food Regulation has been recently amended by three new regulations concerning
242 genetically modified organisms including derived foods and feeds: EC1829/2003 (EC 2003a),
243 1830/2003 (EC 2003b) and 65/2004 (EC 2004), which define the procedures for
244 authorization, labeling and traceability. Regulation 1829/2003 describes the information to be
245 provided by an applicant seeking authorization to place a product on the market. The
246 applicant has to show that the referred food must not (i) have adverse effects on human and
247 animal health and the environment, (ii) mislead the consumer and (iii) differ from the food
248 which it is intended to replace to such an extent that its normal consumption would be
249 nutritionally disadvantageous for the consumer. Such products must undergo a safety
250 assessment before being placed on the market, including a technical dossier with detailed
251 information concerning results obtained from research and developmental releases in order to
252 evaluate the GMOs impact on human health and environment. This is defined in Annex III of
253 Directive 2001/18/EC (EC 2001) on the deliberate release into the environment of genetically
254 modified organisms for placing on the market or for any other purpose, that repealed the
255 former Council Directive 90/220/EC (EC 1990). Since placing on the market includes

256 deliberate release into the environment, an environmental risk assessment in accordance with
257 Annex II of Directive 2001/18/EC has to be carried out (EC 2002). The product then goes
258 through the approval procedure between the European Food Safety Agency (EFSA) in
259 Brussels, the European Commission and member states. Labeling is mandatory, even if the
260 recombinant DNA or the corresponding protein cannot be detected in the final product. Foods
261 containing GMOs have to be labeled “genetically modified” or “produced from genetically
262 modified (name of the ingredient)”. Labeling is not required for foods containing traces of
263 GMOs, which are adventitious and technically unavoidable, in a proportion lower than the
264 threshold of 0.9% of the food ingredients (relation between recombinant and non-recombinant
265 ingredient). Whereas the Novel Food Regulation was based on the principle of evidence, in
266 the sense of mandatory labeling for food products containing more than 1% GMOs,
267 Regulation EC1829/2003 is supported by the principle of application, making the declaration
268 of GMO use during the production of food compulsory, but declaration does not rely on the
269 detection of recombinant DNA or protein in the final product. According to Regulations N°
270 1830/2003 (EC 2003b) and 65/2004 (EC 2004), GMOs and products derived from GMOs
271 must be traceable during all stages of their placing on the market through the production and
272 distribution chain, in order to facilitate withdrawal of products when necessary and to
273 facilitate the implementation of risk management measures.

274 USA regulations do not require mandatory labeling and segregation of genetically modified
275 products. No special labeling is required for “bioengineered foods” the term used by FDA for
276 those derived by GM technology, “as they are not considered to differ from other foods in any
277 meaningful or uniform way or, as a class, to present any different or greater safety concern
278 than foods developed by traditional plant breeding” (Federal Register of May 29, 1992 57 FR
279 22984). Evaluation and approval before marketing is only required when the introduced gene

280 encodes a product that had never been a component of any other food, such as a new
281 sweetening agent for example. The labeling requirements that apply to foods in general
282 therefore also apply to foods using biotechnology. A label must “reveal all material facts”
283 about a food, for example if a bioengineered food is significantly different from its traditional
284 counterpart, has a significantly different nutritional property or if a potential allergen is
285 present.

286 Wines produced by GMY should be, in general, considered as substantially equivalent to
287 “traditional” wines. Compounds like glycerol, acetate ester, malic or lactic acid are natural
288 wine substances, and their content would be merely adjusted or optimized in the sense of
289 enhanced organoleptical characteristics. The expected concentration is very likely to lie
290 within the range that can be found in different wine styles. Besides, facilitated and more
291 economic technological process such as the use of a *S. cerevisiae* strain expressing pectolytic
292 enzymes will have no impact on the composition or properties of the final product since the
293 addition of commercial enzymes is a habitual oenological practice. Anyway, a careful
294 evaluation based on a case-by-case study is indispensable.

295

296 **Assessing environmental risks associated with the use of genetically modified yeasts**

297 The future use of genetically modified yeasts will be dependent on the ability to assess
298 potential or theoretical risks associated with their introduction into natural ecosystems.

299 Tracking the spreading of industrial yeast strains in vineyards close to the wineries where
300 these strains were used during the last 5-10 years was used as an experimental model to assess
301 the fate of genetically modified yeast strains in natural environments. These large-scale
302 studies, carried out over a 3-years period in vineyards located in North Portugal and South

303 France, revealed that dissemination of commercial yeast in the vineyard is limited to short
304 distances and periods of times and is largely favoured by the presence of water runoff. In
305 samples taken at distances from wineries higher than 100 m, less than 2% of the fermentative
306 microflora had a genetic profile identical to that of commercial yeast. In samples taken at very
307 close proximity to the winery and to water rills, the proportion of commercial yeasts increased
308 to 10-43%. The vast majority (94%) of commercial yeasts were found at a distance of
309 between 10 and 200 m from the winery. Commercial strains, despite their intensive annual
310 utilization, do not seem to implant in vineyards, and do not predominate over the indigenous
311 flora, being their presence characterized by natural fluctuations of periodical
312 appearance/dissappearance as autochthonous strains (Valero, personal communication)

313 The behavior of genetically modified yeast strains (GMY) within microbial populations of a
314 confined wine cellar and greenhouse vineyard has also been evaluated. From the commercial
315 strain VIN13 different genetically modified strains were constructed, containing heterologous
316 genes expressing α -amylase (*LKAI*), endo- β -1,4-glucanase (*end1*), xylanase (*XYN4*) or
317 pectate lyase (*peh1*) under the control of strong promoters and terminators and using the
318 *kanMX* or *SMR-410* resistance markers. After initial characterization of the autochthonous
319 yeast flora of a newly established greenhouse vineyard, the vines of four blocks (each
320 consisting of 20 vines) were sprayed with yeast suspensions containing 2.5×10^6 CFU/ml
321 according to a previously defined scheme. Despite of the high initial cellular concentrations,
322 only few *S. cerevisiae* strains were isolated during the weekly monitoring of yeast populations
323 on grapes, leaves, stems and soil. Results showed that (i) no significant difference between
324 the occurrence of the modified strains compared to the parental commercial strains was
325 evident, even for GM strains that were supposed to have a selective advantage over the
326 parental strains (secreting glucanases and pectinases) showing that the mentioned

327 modifications did not confer any fitness advantage (ii) the overall yeast populations on the
328 sprayed blocks were very similar to the untreated control vines, leading to the conclusion that
329 neither commercial strains nor GMY affect the ecological balance of vineyard-associated
330 flora in a confined system, (iii) no significant differences among the strains were detected
331 concerning their fermentation performance during spontaneous micro-vinifications (Bauer et
332 al. 2003).

333 Horizontal DNA transfer can occur between yeast species belonging to the *sensu stricto*
334 complex, generating viable hybrids with both parental chromosomal sets (Marinoni et al.
335 1999). Natural transformation of baker's yeast with plasmid DNA was observed under non-
336 artificial starvation conditions when non-growing cells metabolize sugars without additional
337 nutrients. This was proposed to be an evolutionary mechanism contributing to genetic
338 diversity, being a plausible scenario in natural environments (Nevoigt et al. 2000). At present,
339 studies are underway to evaluate the likelihood of both horizontal and vertical gene transfer
340 among modified commercial wine yeast strains under wine production conditions (Bauer et
341 al. 2003).

342 Another issue, equally important for the safety assessment of GMY use in wine production, is
343 the evaluation of the potential release and stability of recombinant DNA and the
344 corresponding protein(s) during alcoholic fermentation and wine aging on yeast lees.
345 Autolysis of yeast cells is characterized by a loss of membrane permeability, hydrolysis of
346 cellular macromolecules such as DNA and proteins, followed by leakage of the breakdown
347 products in the extracellular environment and occurs after yeast cells have completed their life
348 cycle and entered the death phase. Autolysis experiments were performed in laboratory
349 culture media and showed that incubation at 40°C during 10-14 days at pH 4.0-7.0 led to

350 degradation of 55% of total DNA, associated with leakage of mainly deoxyribonucleotides and
351 a fewer amount of polynucleotides into the extracellular environment (Zhao and Fleet 2003).

352

353 **Methods for the detection of genetically modified DNA or protein**

354 In “experimental” wines produced by genetically modified yeast (GMY), no data are so far
355 available about the occurrence and concentration of recombinant cells, DNA and protein. It
356 can be estimated that the number of recombinant cells per bottle would be rather low (1-10
357 cells), since they are removed by filtration or inactivated by thermal treatment. This implies
358 the use of highly sensitive techniques for tracing recombinant DNA during the wine
359 production chain and in final products. Taking into account the recent European Regulations
360 N° 1829/2003 and 1830/2003, it is clear that reliable and accurate analytical methods are
361 necessary for food containing GMO or produced from GMO. During the past years, both
362 protein- and DNA-based methods have been developed and applied mostly for detection of
363 transgenic soy and maize and their derivatives.

364 For protein-based detection, specific monoclonal and polyclonal antibodies have been
365 developed mainly for immunochemical detection, Western blot analysis and ELISA (enzyme-
366 linked immunosorbent assays). The immunochromatographic assays, also known as lateral
367 flow strip tests, Reveal[®]CP4 and Reveal[®]Cry9C detect EPSPS (5-enol-pyruvyl-shikimate-3-
368 phosphate synthase) derived from *Agrobacterium* sp. strain CP4 which confers resistance to
369 the herbicide glyphosate in soybeans and corn, and *Bacillus thuringiensis* Cry proteins that
370 confers protection against insects in corn plants, seeds and grains, respectively. Both kits are
371 commercialized by Neogen (www.neogen.com) and detect GMO presence in 5-20 minutes at
372 a low price, with high sensitivity (< 0.125% mass fraction of GMO) being a reliable field test

373 for controlling the distribution of biotechnology-derived products (Ahmed 2002, Auer 2003,
374 Brett et al. 1999, Rogan et al. 1999, Stave 1999, van Duijn et al. 1999, van Duijn et al. 2002).

375 PCR-based methods are also applied for detection of GMOs by amplification of genetic
376 elements present in most currently available GMOs in Europe. Detection limits range between
377 20 pg and 10 ng target DNA, which can correspond to 0.0001 – 1% mass fraction of GMO.
378 (Ahmed 2002, Auer 2003, ILSI 1998, ILSI 2001, Meyer 1999, van Duijn et al. 1999, van
379 Duijn et al. 2002). Quantitative-competitive PCR (QC-PCR) relies on parallel amplification
380 of the transgene and of an endogenous reference gene that provides a control for both the lack
381 of inhibition and amplifiability of the target DNA in the sample. Quantification is possible
382 by comparing PCR product concentrations from amplifications with varying proportions of
383 target DNA:standard DNA. This approach was successfully tested in collaborative studies
384 involving 12 European control laboratories, and allowed the detection of 0.1% GMO DNA
385 (Hübner et al. 1999, Lüthy 1999). A hybrid method consisting of multiplex quantitative PCR
386 coupled to subsequent DNA array technology (MQDA-PCR) was able to test a variety of food
387 and feed products for seven different maize constructs simultaneously at levels as low as
388 0.1% GM (Rudi et al. 2003). Real-time PCR technologies are highly sensitive and suitable for
389 precise DNA quantification at low thresholds, measuring the production of DNA amplicons
390 during the log-linear phase of PCR amplification. (Ronning et al. 2003, Vaitilingom et al.
391 1999). PCR products quantitation by means of enzyme linked immunoabsorbent assays (PCR-
392 ELISA) were recently described as a highly sensitive and cheap alternative to real-time PCR
393 (Liu et al. 2004, Petit et al. 2003).

394 While raw foods can readily be identified as GMOs, detection is more difficult when they are
395 processed: complex processed foodstuffs contain degraded DNA and substances that interfere
396 even with the PCR reaction. Inter-laboratory assessment of procedures was essential and gave

397 rise to international standards development (e.g. DIN, ISO, EN) concerning sampling (DIN
398 2003), DNA extraction (DIN 2002b), DNA-based GMO detection (DIN 2002a) and protein-
399 based GMO detection (DIN 2002c).

400 Technological evolution in GMO design, modifications of government regulations and
401 adoption of risk-assessment guidelines will continue to drive the development of analytical
402 techniques that will be in the future applied to genetically modified organisms. New profiling
403 methods using transcriptomics, proteomics and metabolomics were proposed as the most
404 adequate non-targeted approaches to detect secondary effects (Kuiper and Kleter 2003) and
405 proteome analysis demonstrated “substantial equivalence” between a genetically modified
406 virus-resistant tomato and the unmodified hybrids (Corpillo et al. 2004).

407

408 **Consumer’s perceptions and attitudes**

409 In 1988, Gist-Brocade obtained a baker’s strain where the genes coding for maltose permease
410 and maltase were substituted with a more efficient set of genes from another strain. Since no
411 non-*Saccharomyces* DNA was present, the UK authorities granted consent in 1989. A few
412 years later, a recombinant brewer’s strain, obtained in 1993 by Brewing Research
413 International was equally approved. This *S. cerevisiae* strain contained an amylase gene from
414 *Saccharomyces diastaticus* together with a gene for copper resistance. Because of the
415 unwillingness of the industries to face a negative consumer reaction none of the strains has
416 gone into commercial production (Moseley 1999). For the same reasons, no application for
417 the industrial use of genetically modified wine strains has been submitted in the last few
418 years, although many strains were developed, as previously shown in Table 2, in consequence
419 of the increased demand for diversity and innovation within the fermented beverage industry.

420 One of the most extensive (in terms of the number of people surveyed) public opinion
421 analysis conducted in Europe is the Eurobarometer survey, that has been monitoring changes
422 in attitude towards biotechnology in different European member states since the early 1990s.
423 The last survey conducted in 2001 (Anonymous 2001) questioning 16 000 Europeans showed
424 a generalized positive view of science and technology, but scientific advance is not regarded
425 as an universal panacea for all problems. Almost all (95%) respondents indicated the
426 consumer's lack of choice about consuming genetically modified food (GMF) as main reason
427 for their negative attitude and 60% expressed the view that GMOs had the potential to have
428 negative effects on the environment. In view of the fact that many scientific concepts are
429 unknown to the public, the consumer's risk perception and attitudes to risk differ significantly
430 from those defended by scientific risk experts, turning discussions about transgenic
431 technologies complex, increasing at the same time distrust and negativity towards
432 biotechnology in general, and GMO in particular. The fears by the critics of GM technology
433 include alterations in nutritional quality of foods, potential toxicity, possible antibiotic
434 resistance, potential allergenicity and carcinogenicity from consuming GM foods,
435 environmental pollution, unintentional gene transfer, possible creation of new viruses and
436 toxins, religious, cultural and ethical concerns, as well as fear from the unknown (Uzogara
437 2000).

438 As shown in Figure 1, consumer's concern about genetic modification depended on many
439 factors, being minor modifications to food products associated with minor concern, whereas
440 the need for them and the advantages they offer were also rated low. For GM applications in
441 food, benefits were perceived to be marginal, abstract or only on the producer's side. This was
442 verified especially for genetically modified beer, followed by tomatoes, strawberries and
443 salmon. Being beer a traditional lifestyle and convenience beverage like wine, it can be

444 estimated that wine produced by gene technology use would share a comparable consumer
445 opinion. Any modification involving humans and animals was associated with high levels of
446 ethical concern, whereas medical applications such as pharmaceuticals and applications
447 relevant to hereditary disease were perceived to be the most important and necessary (Frewer
448 2003, Frewer et al. 1997).

449 In conclusion, the recent availability of clear legal regulations defining requirements for
450 construction and safety evaluation of genetically modified organisms as well as the labeling
451 of products obtained by their use can be considered as a crucial step to assist the consumer in
452 making an informed choice, and the next future will show whether this strategy was
453 appropriate to contribute towards a less negative consumer attitude. The construction of
454 genetically modified wine yeast strains should be obtained by strategies based on self-cloning.
455 In this context, the exploration of specific strains in winemaking environments, harboring
456 desirable oenological traits, may serve in future as a natural gene pool for the construction of
457 such strains, conferring the exploration of strain diversity a new dimension.

458

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462 para a Ciência e Tecnologia, Portugal.

463 Table 1

464 Oenological characteristics considered in the selection of *Saccharomyces cerevisiae* wine
465 strains (Brandolini et al. 2002, Caridi et al. 2002, Esteve-Zarzoso et al. 2000, Guerra et al.
466 1999, Maifreni et al. 1999, Mannazzu et al. 2002, Martinez-Rodriguez et al. 2001, Mendes-
467 Ferreira et al. 2002, Perez-Coello et al. 1999, Rainieri and Pretorius 2000, Regodon et al.
468 1997, Romano et al. 1998, Steger and Lambrechts 2000).

469

470 Table 2

471 Targets for *S. cerevisiae* strain improvement (adapted from Pretorius 2000, Pretorius et al.
472 2003) , indicating, whenever possible, examples of the strategies used for genetic
473 modifications.

474

475 Figure 1

476 Public perceptions of risk versus benefit of genetically modified foods (adapted from Frewer
477 2003) .

478

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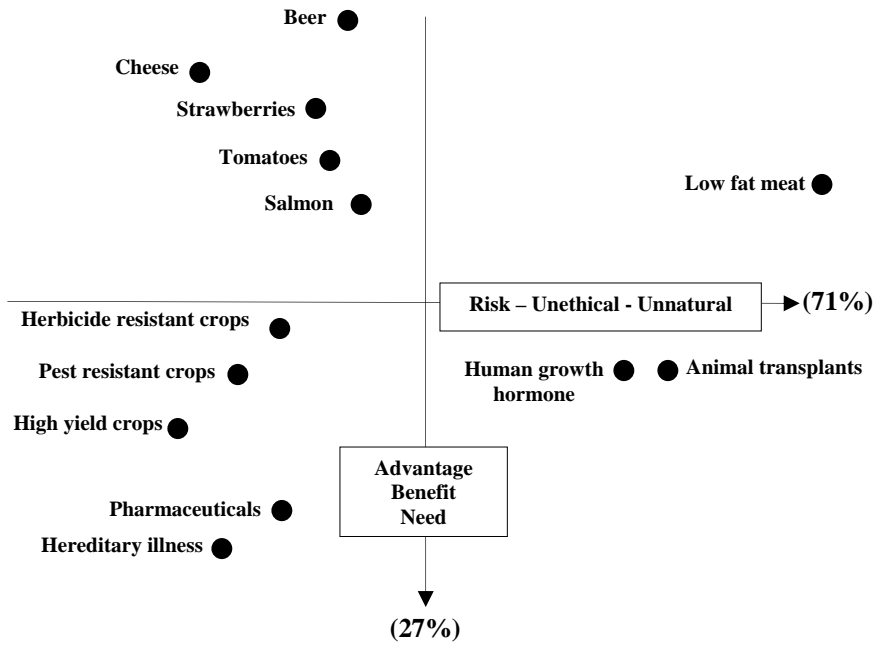
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- 779

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783

784 Figure 1

Table 1

Oenological characteristics	Comment
Fermentation vigor	Maximum amount of ethanol (% , v/v) produced at the end of the fermentation Desirable: good ethanol production
Fermentation rate	Grams of CO ₂ produced during the first 48 hours of fermentation Desirable: prompt fermentation initiation
Mode of growth in liquid medium	Dispersed or flocculent growth, sedimentation speed Desirable: dispersed yeast growth during, but sedimentation at the end of fermentation
Foam production	Height of foam produced during fermentation Undesirable: increased foam production
Optimum fermentation temperature	Thermotolerance and cryotolerance is related to oenological properties Optimum fermentation temperature ranges between 18 and 28°C

Table 1 (cont.)

Volatile acidity, acetic acid production	Selected strains should not release more than 100 – 400 mg l ⁻¹ during fermentation Undesirable : increased volatile acidity/acetic acid production
Malic acid degradation or production	Whether degradation of production is desirable depends on the characteristics of the must. Malic acid degradation varies between 0-20% depending on the <i>S. cerevisiae</i> strain
Glycerol production	Desirable major fermentation by-product (5-8 g l ⁻¹) contributing to wine sweetness, body and fullness
Acetaldehyde production	Desirable metabolite in sherry, dessert and port wines being an important character for selection of strains to be applied in wine ageing
Esters, higher alcohols and volatile compounds	Desirable metabolites, markedly influence wine flavor and depend on the presence of precursors related to both grape cultivar and grape maturity. Limited amounts contribute positively to global sensorial characteristics
SO ₂ tolerance and production	Antioxidant and antimicrobial agent Desirable: high fermentation vigor and rate in the presence of SO ₂ concentrations usually applied in winemaking; Undesirable: excessive SO ₂ production

Table 1 (cont.)

H₂S production

Determined as the strains colony color on a bismuth containing indicator medium, e.g. BIGGY Agar

H₂S is detrimental to wine quality, considered as off-flavor with very low threshold value (50-80 µg/l)

Stress resistance

Tolerance to combined acid/osmotic stress

Copper resistance

High copper concentrations may cause stuck fermentations

Desirable: high copper resistance and the ability to reduce the copper content

Table 2

Improvement	Metabolism / protein(s)	Gene(s)	Source	Construction					Reference	
				P	T	Pla	M	Chr		
Sensory quality	Aroma-liberating enzymes	Endoglucanase	<i>egl1</i>	<i>Trichoderma longibrachiatum</i>	<i>ACT</i>	-	2μ	<i>CYH2</i>	-	(Pérez-González et al. 1993)
		Arabinofuranosidase	<i>abfB</i>	<i>Aspergillus niger</i>	<i>ACT</i>	-	2μ	<i>CYH2</i>	-	(Sanchez-Torres et al. 1996)
		Endoxylanase	<i>xlnA</i>	<i>Aspergillus nidulans</i>	<i>ACT</i>	-	2μ	<i>CYH2</i>	-	(Ganga et al. 1999)
	Rhamnosidase	<i>rhaA</i>	<i>Aspergillus aculeatus</i>	<i>GPD</i>	<i>PGK</i>		<i>TRP</i>	-	(Manzanares et al. 2003)	
	Malate permease	<i>mae1</i>	<i>Schizosaccharomyces pombe</i>	<i>PGK1</i>	<i>PGK1</i>	2μ	<i>SMR1-</i>	+	(Volschenk et al. 2001)	
	Malic enzyme	<i>mae2</i>					<i>140</i>			
Background flavor complexity and intensity	Acidity adjustment	Malolactic enzyme	<i>mleS</i>	<i>Lactococcus lactis</i>	<i>PGK1</i>	<i>PGK1</i>	2μ	<i>URA3</i>	(Volschenk et al. 1997)	
		Acetaldehyde dehydrogenase	<i>ALD6</i> (deletion)	<i>Saccharomyces cerevisiae</i>				<i>kanMX4</i>	(Remize et al. 2000)	
	Lactate dehydrogenase	<i>LDH</i>	<i>Lactobacillus casei</i>	<i>ADH1</i>	<i>ADH1</i>	2μ	<i>G418</i> (<i>Tn903</i>)	-	(Dequin et al. 1999)	
	Glycerol production	Glycerol-3-phosphate dehydrogenase	<i>GPD1</i>	<i>Saccharomyces cerevisiae</i>	<i>ADH1</i>	<i>ADH1</i>	2μ	<i>ble</i> (<i>Tn5</i>)	-	(Michnick et al. 1997, Remize et al. 1999)

Table 2 (cont.)

	Volatile phenol formation	Phenolic acid decarboxylase	<i>pdc</i>	<i>Lactobacillus plantarum</i>	<i>PGK1</i>	<i>PGK1</i>	2μ	<i>URA3</i>		(Smit et al. 2003)
			<i>pdc</i>	<i>Bacillus subtilis</i>						
	Acetate ester production	Alcohol acetyltransferase	<i>ATF1</i>	<i>Saccharomyces cerevisiae</i>	<i>PGK1</i>	<i>PGK1</i>	2μ	<i>LEU2</i>	+	(Lilly et al. 2000)
	Hydrogen sulphide production	Sulphite reductase	<i>MET10</i>	<i>Saccharomyces cerevisiae</i>						(Sutherland et al. 2003)
Safety and health aspects		β-glucosidase	<i>bglN</i>	<i>Candida molischiana</i>	<i>ACT</i>	<i>ACT</i>	2μ	<i>CYH2</i>	-	(Gonzalez-Candelas et al. 2000)
	Resveratrol production	Resveratrol synthase	<i>4CL216</i>	Hybrid poplar	<i>ADH2</i>	<i>ADH2</i>	2μ	<i>URA3</i>	-	(Becker et al. 2003)
		Coenzyme-A ligase	<i>vst1</i>	Grapevine	<i>ENO2</i>	<i>ENO2</i>	2μ	<i>LEU2</i>	-	
	Ethyl carbamate elimination	Blocking urea secretion	<i>CAR1</i> (deletion)	<i>Saccharomyces cerevisiae</i>						(Pretorius et al. 2003)
Spoilage microorganism control		Pediocin	<i>pedA</i>	<i>Pediococcus acidilactici</i>	<i>ADH1</i>	<i>ADH1</i>	2μ	<i>URA3</i>	-	(Schoeman et al. 1999)
	Production of antimicrobial enzymes	Chitinase	<i>CTS1-2</i>	<i>Saccharomyces cerevisiae</i>	<i>PGK1</i>	<i>PGK1</i>	2μ		-	(Carstens et al. 2003)
		Leucocin	<i>lcaB</i>	<i>Leuconostoc carnosum</i>	<i>ADH1</i>	<i>ADH1</i>	2μ	<i>URA3</i>	-	(du Toit and Pretorius 2000)
		Glucose oxidase	<i>gox</i>	<i>Aspergillus niger</i>	<i>PGH1</i>	<i>PGK1</i>		<i>URA3</i>	+	(Malherbe et al. 2003)

Table 2 (cont.)

Fermentation performance	Stress tolerance	Trehalose	<i>TPS1, TPS2,</i>	<i>Saccharomyces cerevisiae</i>	(Pretorius et al. 2003)
			<i>ATH1</i>		
		Glycogen	<i>GSY1, GSY2</i>		
		Sterols	<i>SUT1, SUT2</i>		
Fermentation performance	Sugar uptake and assimilation	Hexose transporters	<i>HXT1-18</i>	<i>Saccharomyces cerevisiae</i>	(Pretorius et al. 2003)
		Hexose kinases	<i>HXK1, HXK2</i>		
Achieving a complete conversion of sugar to alcohol and CO ₂ without the development of off-flavors	Nitrogen assimilation	Proline oxidase	<i>PUT1</i>	<i>Saccharomyces cerevisiae</i>	(Pretorius et al. 2003)
		Pyrroline-5-carboxylate dehydrogenase	<i>PUT2</i>		
		<i>PUT1</i> and <i>PUT2</i> repressor	<i>ure2</i>		
			<i>Saccharomyces cerevisiae</i>		
Fermentation performance	Ethanol tolerance	Sterol accumulation	<i>SUT1, SUT2,</i>	<i>Saccharomyces cerevisiae</i>	(Pretorius et al. 2003)
		Membrane ATPase activity	<i>PMA1, PMA2</i>		
	Agrochemicals resistance	Copper chelatin	<i>CUP1</i>	<i>Saccharomyces cerevisiae</i>	(Pretorius et al. 2003)

Table 2 (cont.)

Processing efficiency	Removal of filter-clogging polysaccharides	Endopolygalacturonase	<i>PGU1</i>	<i>Saccharomyces cerevisiae</i>	<i>PGK1</i>	<i>PGK1</i>	<i>LEU2</i>	-	(Vilanova et al. 2000)
	Fining and clarification	Pectate Lyase	<i>pelA</i>	<i>Fusarium solani</i>	<i>ACT</i>	-	<i>CYH</i>	<i>2μ</i>	(Gonzalez-Candelas et al. 1995)
	Flocculation timing	Flocculin	<i>FLO1</i> , <i>FLO11</i>	<i>Saccharomyces cerevisiae</i>	<i>HSP30</i>				(Pretorius et al. 2003)

P: promoter; T: terminator; Pla: Plasmid; M: Marker; Chr: Chromosomal integration